

Accepted Manuscript

Nanoemulsion Delivery Systems for Oil-Soluble Vitamins: Influence of Carrier Oil Type on Lipid Digestion and Vitamin D₃ Bioaccessibility

Bengu Ozturk, Sanem Argin, Mustafa Ozilgen, David Julian McClements

PII: S0308-8146(15)00611-1

DOI: <http://dx.doi.org/10.1016/j.foodchem.2015.04.065>

Reference: FOCH 17466

To appear in: *Food Chemistry*

Received Date: 8 January 2015

Revised Date: 23 March 2015

Accepted Date: 16 April 2015



Please cite this article as: Ozturk, B., Argin, S., Ozilgen, M., McClements, D.J., Nanoemulsion Delivery Systems for Oil-Soluble Vitamins: Influence of Carrier Oil Type on Lipid Digestion and Vitamin D₃ Bioaccessibility, *Food Chemistry* (2015), doi: <http://dx.doi.org/10.1016/j.foodchem.2015.04.065>

This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

1 **Nanoemulsion Delivery Systems for Oil-Soluble Vitamins:**
2 **Influence of Carrier Oil Type on Lipid Digestion and Vitamin D₃**
3 **Bioaccessibility**

4 **Bengu Ozturk^{a,b,e}, Sanem Argin^c, Mustafa Ozilgen^c, David Julian**
5 **McClements^{a,d*}**

6 *^a Department of Food Science, University of Massachusetts, Chenoweth*
7 *Laboratory, Amherst, MA, USA*

8 *^b Department of Chemical Engineering, Yeditepe University, Kayisdagi, Istanbul,*
9 *Turkey*

10 *^c Department of Food Engineering, Yeditepe University, Kayisdagi, Istanbul,*
11 *Turkey*

12 *^d Production of Bioproducts for Industrial Applications Research Group,*
13 *Department of Biochemistry, Faculty of Science, King Abdulaziz University, P. O.*
14 *Box 80203 Jeddah 21589 Saudi Arabia*

15 *^e Food Institute, TÜBİTAK Marmara Research Center, P.O. Box 21, 41470*
16 *Gebze-Kocaeli, Turkey*

17

18 **Journal:** Food Chemistry

19 **Submitted:** January 2015

20 ***Corresponding Author**

21 *Address:* Department of Food Science, University of Massachusetts, Chenoweth
22 *Laboratory, Amherst, MA, 01003 USA*

23 *Tel:* 413 545 1019; *Fax:* 413 545 1262; *E-mail:* mcclements@foodsci.umass.edu

24

25 ABSTRACT

26 The influence of carrier oil type on the bioaccessibility of vitamin D₃
27 encapsulated within oil-in-water nanoemulsions prepared using a natural
28 surfactant (quillaja saponin) was studied using a simulated gastrointestinal tract
29 (GIT) model: mouth; stomach; small intestine. The rate of free fatty acid release
30 during lipid digestion decreased in the following order: medium chain
31 triglycerides (MCT) > corn oil ≈ fish oil > orange oil > mineral oil. Conversely,
32 the measured bioaccessibility of vitamin D₃ decreased in the following order: corn
33 oil ≈ fish oil > orange oil > mineral oil > MCT. These results show that carrier oil
34 type has a considerable impact on lipid digestion and vitamin bioaccessibility,
35 which was attributed to differences in the release of bioactives from lipid droplets,
36 and their solubilization in mixed micelles. Nanoemulsions prepared using long
37 chain triglycerides (corn or fish oil) were most effective at increasing vitamin
38 bioaccessibility.

39 **Keywords:** nanoemulsions; vitamin D₃; cholecalciferol, calcifediol, calcitriol,
40 digestion; quillaja saponin; carrier oil; bioaccessibility; bioavailability.

41

42

43

44 1. Introduction

45 Vitamin D is an oil-soluble micronutrient that is essential in the human diet
46 for maintaining good health. It has two major chemical forms: vitamin D₂
47 (ergocalciferol) and D₃ (cholecalciferol) (Luo, Teng, & Wang, 2012). Vitamin D₃

48 has more potency than vitamin D₂ in humans and it is usually synthesized in the
49 skin after exposure to light. Vitamin D₃ may exist in a number of different
50 chemical forms depending on environmental conditions, *e.g.* calciol, calcidiol, and
51 calcitriol. Calcitriol (25-dihydroxyvitamin D₃) is the biologically active form of
52 vitamin D₃ and controls calcium and phosphorus homeostasis, intestinal transport,
53 bone metabolism, and renal calcium reabsorption, blood pressure, and insulin
54 secretion (Gonnet, Lethuaut, & Boury, 2010).

55 Vitamin D₃ deficiency often occurs in people who are not exposed to
56 sufficient sunlight, and in individuals with metabolic (*e.g.* obesity or
57 hyperparathyroidism) or gastrointestinal (*e.g.* celiac or inflammatory bowel
58 disease) disorders (Adams & Hewison, 2010; Holick, Binkley, Bischoff-Ferrari,
59 Gordon, Hanley, Heaney, et al., 2011). Malfunction of the regulation of calcium
60 and phosphorus absorption and bone metabolism can lead to osteoporosis and
61 osteomalacia (pore formation and softening of bones) in adults, while rickets may
62 occur in children in developing countries (Holick, 2007). Vitamin D sources are
63 fairly limited in foods, and include products such as beef liver, dairy products, egg
64 yolk, and fish (Borel, Caillaud, & Cano, 2013; Luo, Teng, & Wang, 2012).
65 Therefore, there is a high demand to enrich food and beverages with vitamin D₃.

66 Vitamin D₃ is highly sensitive to environmental stresses (such as light, heat,
67 and oxygen) and can easily be oxidized, leading to loss of its functionality and
68 physiological benefits (Luo, Teng, & Wang, 2012). Oil-soluble vitamins are
69 typically absorbed at particular locations in the small intestine along with the
70 digestion products of ingested dietary fats and oils (Goncalves, Roi, Nowicki,
71 Dhaussy, Huertas, Amiot, et al., 2015). They may be absorbed by both passive
72 and active transport mechanisms (Porter, Trevaskis, & Charman, 2007; Reboul,

73 Goncalves, Comera, Bott, Nowicki, Landrier, et al., 2011). The oil-soluble
74 vitamins are incorporated into chylomicrons (consisting of bile salts,
75 phospholipids, and lipid digestion products), which are released into the systemic
76 circulation *via* the lymphatic system, and then activated in the liver (Porter &
77 Charman, 1997). Due to its poor water-solubility and low oral bioavailability,
78 vitamin D is often encapsulated within lipid-based delivery systems that can
79 improve its bioaccessibility.

80 A considerable amount of research has been carried out to identify lipid-based
81 delivery systems to encapsulate, protect, and release lipophilic bioactive agents.
82 Nanoemulsions are particularly good candidates for delivery of lipid-soluble
83 bioactives (such as vitamin D) because they can be produced with natural food
84 ingredients using simple production methods, and can be designed to increase
85 both water-dispersibility and oral bioavailability (Guttoff, Saberi, & McClements,
86 2015; Huang, Yu, & Ru, 2010; W. Li, Peng, Ning, Yao, Luo, Zhao, et al., 2014;
87 Luo, Teng, & Wang, 2012; Nik, Corredig, & Wright, 2011; Teng, Luo, & Wang,
88 2013).

89 Vitamin D₃ is crystalline at ambient temperature and therefore needs to be
90 dissolved within a suitable carrier oil before it can be incorporated into
91 nanoemulsion-based delivery systems. Previous studies have shown that
92 nanoemulsion composition (*i.e.*, emulsifier and carrier oil type) effects lipid
93 digestion and bioavailability (Golding & Wooster, 2010; McClements & Li, 2010;
94 McClements & Xiao, 2012; Mun, Decker, & McClements, 2007; Qian, Decker,
95 Xiao, & McClements, 2012; Rao, Decker, Xiao, & McClements, 2013; Salvia-
96 Trujillo, Qian, Martin-Belloso, & McClements, 2013; Yang & McClements,
97 2013). In particular, these studies have shown that carrier oil type has a major

98 impact on the bioaccessibility of lipophilic bioactives. Consequently, it is
99 important to optimize the nature of the carrier oil used to formulate
100 nanoemulsions in order to ensure good bioavailability of any encapsulated
101 lipophilic bioactive components. In this manuscript, we therefore examined the
102 influence of carrier oil type on lipid digestion and vitamin bioaccessibility.
103 Carrier oils were selected that had different susceptibilities to lipase digestion and
104 different molecular characteristics: medium chain triglycerides (MCT), corn oil,
105 fish oil, orange oil, and mineral oil. MCT, corn oil and fish oil are all triglyceride
106 oils that are digestible by lipase. Corn oil and fish oil are both examples of long
107 chain triglycerides (LCT), but corn oil contains a high proportion of
108 monounsaturated fatty acids, whereas fish oil contains a high proportion of
109 polyunsaturated fatty acids. Orange oil and mineral oil are both examples of
110 indigestible oils. This study should have important implications for designing
111 effective nanoemulsion-based delivery systems to increase the bioaccessibility of
112 vitamin D₃, and therefore improve the efficacy of vitamin-enriched functional
113 foods and beverages.

114 **2. Materials and methods**

115 *2.1. Materials*

116 *Quillaja saponin* (Q-Naturale®100) was kindly provided by Ingredion Inc.
117 (Westchester, IL). It is actually a mixture of various saponin components
118 dispersed in water with the major fraction being reported to have a molecular
119 weight of around 1650 g mol⁻¹ (Mitra, et al., 1997a). Vitamin D₃ and mineral oil
120 were purchased from Sigma-Aldrich (St. Louis, MO). Orange oil (10×) was
121 purchased from International Flavors and Fragrances (Union Beach, NJ). It Corn

122 oil (Mazola, ACH Food Companies, Inc., Memphis, TN) was purchased from a
123 local supermarket. The triglycerides in corn oil have been reported to be about
124 11.2% C_{16:0}, 2.2% C_{18:0}, 28.9% C_{18:1}, 55.5% C_{18:2}, 1.1% C_{18:3}, and 0.4% C_{20:1}
125 {Yalcin, 2012 #222}. Medium chain triglyceride (MCT) oil (Miglyol 812) was
126 purchased from Coletica (Northport, NY). The triglycerides in MCT were
127 reported to contain around 60% octanoic acid (C_{8:0}) and around 40% capric acid
128 (C_{10:0}). Fish oil was provided by DSM Nutritional Products Ltd (Basel,
129 Switzerland). Lipase, bile salts, mucin, and pepsin were purchased from the
130 Sigma Chemical Company (St. Louis, MO). All other chemicals used were of
131 analytical grade. Double distilled water was used to prepare all solutions and
132 emulsions.

133 2.2. Methods

134 2.2.1. Nanoemulsion preparation

135 Oil-in-water nanoemulsions were prepared by homogenizing 10% (w/w) oil
136 phase with 90% (w/w) aqueous phase using a well-established two-step procedure
137 {McClements, 2015 #175}. The oil phase consisted of 0.1 w/w % vitamin D₃
138 dissolved within 99.9 % carrier oil (MCT, corn oil, fish oil, mineral oil, or orange
139 oil). The aqueous phase consisted of 2% w/w surfactant (Q-Naturale) dispersed
140 within 98% w/w buffer solution (10 mM sodium phosphate, pH 7.0). The
141 manufacturer reported that the Q-Naturale ingredient contained 14 wt% active
142 saponins (with the remainder being mainly water), and so the concentration of this
143 surfactant is reported on an active ingredient basis (rather than total mass basis).
144 Coarse emulsions were prepared by blending the oil and aqueous phases together
145 using a high-speed blender (Bamix, Switzerland) for 2 min at room temperature.

146 Fine emulsions were prepared by passing the coarse emulsion through a high
147 pressure homogenizer (Microfluidics M110L, Newton, MA, USA) for 3 cycles at
148 12,000 psi.

149 2.2.2. Particle characterization

150 The particle size of the vitamin D₃ nanoemulsions was measured using a
151 static light scattering instrument (Mastersizer 2000, Malvern Instruments,
152 Malvern, UK). The particle size of each sample was represented as the surface-
153 weighted mean diameter (d_{32}), which was calculated from the full particle size
154 distribution.

155 The droplet charge (ζ -potential) of the nanoemulsions was measured using
156 particle microelectrophoresis (Zetasizer Nano ZS-90, Malvern Instruments,
157 Worcestershire, UK). Prior to measurements, dilution was carried out using buffer
158 solutions with the same pH as the samples being tested to avoid multiple
159 scattering effects, *i.e.*, they had the same pH as the appropriate gastrointestinal
160 region (initial, mouth, stomach, or small intestine).

161 2.2.3. *In vitro* digestion

162 The original nanoemulsions, containing 10% (w/w) oil phase (0.01 % vitamin
163 D₃ and 9.99 % carrier oil) and 90% (w/w) aqueous phase (2% Q-Naturale and
164 98% buffer solution), were diluted five-times in buffer solution so that the
165 samples used in the *in vitro* digestion studies initially contained 2 % oil and 0.002
166 % vitamin D₃.

167 *Mouth stage:* Simulated artificial saliva solution (SASS) was prepared
168 according to a previous study (Y. Li & McClements, 2010; Sarkar, Goh, & Singh,

169 2009). A 20 ml aliquot of the diluted original nanoemulsions (2% w/w oil phase)
170 was placed in a 125 ml flask and then 20 ml of SASS containing 0.6 g mucin was
171 added into the flask. This mixture was adjusted to pH 6.8 and then shaken
172 continuously at a rate of 100 rpm in a temperature controlled incubator (37 °C) for
173 10 min (Innova Incubator Shaker, Model 4080, New Brunswick Scientific, New
174 Jersey, USA).

175 *Stomach stage:* Simulated gastric fluid (SGF) was prepared by dissolving 2 g
176 of NaCl and 7 ml of HCl in water (1 L total volume) and the pH of this solution
177 was adjusted to pH 1.2 using 1M HCl. 20 ml of the sample from the mouth stage
178 was then mixed with 20 ml of SGF containing 0.064 g pepsin, and the mixture
179 was adjusted to pH 2.5. The resulting mixture was then shaken for 2 hours at 37
180 °C at 100 rpm.

181 *Intestine stage:* 30 ml of digesta sample from the stomach stage was added
182 into a clean beaker and placed into a water bath (37°C) connected to an automatic
183 titration unit used as a pH-STAT (Metrohm, USA Inc., Riverview, FL, USA). The
184 sample was adjusted to pH 7.00 using NaOH solutions, and then simulated
185 intestinal fluid containing 1.5 ml salt solution (10 mM CaCl₂·2H₂O and 150 mM
186 NaCl) and 3.5 ml bile salts (5 mg ml⁻¹) were added respectively and the pH was
187 adjusted to 7.00 using HCl and NaOH solutions. Afterwards, freshly prepared 2.5
188 ml lipase solution (1.6 mg ml⁻¹) was added to the sample and the automatic
189 titration unit was started. The volume of 0.1 N NaOH solution required to
190 maintain the pH of the sample at 7.00 was recorded using the software program. A
191 control study was performed using the buffer solution as the sample, and the
192 amount of alkali solution titrated into the reaction chamber for the control was
193 subtracted from that for the test samples. Free fatty acid (FFA) release was

194 calculated according to Li and McClements (2010). *In vitro* digestion in the small
195 intestine stage lasted for 2 h and then physicochemical and structural
196 characterization of the samples at each stage were performed.

197 2.2.4. Bioaccessibility determination

198 The bioaccessibility of lipophilic components is normally defined as the
199 fraction that is solubilized within the mixed micelle phase after lipid digestion
200 (Carbonell-Capella, Buniowska, Barba, Esteve, & Frigola, 2014; Marze, Meynier,
201 & Anton, 2013). After the full digestion, two portions of 10 ml of samples were
202 collected and centrifuged (4000 rpm, Thermo Scientific, CL10 centrifuge) at 25
203 °C for 40 min. The emulsions separated into an opaque sediment phase at the
204 bottom, a clear micelle phase in the middle, and sometimes a thin creamed phase
205 at the top or on the wall of the centrifuge tube. An aliquot (1 ml) of micelle phase
206 or raw digesta sample was vortexed after adding an organic solvent mixture (1:3
207 isooctane: ethyl alcohol) at 1:5 to extract the vitamin D₃ and then centrifuged at
208 1750 rpm for another 10 min. The supernatant phases were used as samples for
209 determination of vitamin D₃ using an UV-Vis Spectrophotometer at 265 nm
210 wavelength (Ultrospec 3000 pro Pharmacia Biotech, Biochrom Ltd., Cambridge,
211 UK).

212 Absorbances of different concentrations of vitamin D₃ standard were
213 measured at 265 nm wavelength to obtain a calibration curve for vitamin D₃
214 concentration *versus* absorbance ($r^2= 0.9996$).

215 2.2.5. Microstructural analysis

216 A Nikon Confocal Fluorescent Microscope (C1 Digital Eclipse, Tokyo,
217 Japan) with a 60 × oil immersion objective lens was used to capture images of the

218 initial emulsions and of samples taken after each stage of digestion. Nile red (a fat
219 soluble fluorescent dye) was excited with a 488 nm argon laser line.

220 **2.3. Statistical analysis**

221 Each experiment was performed at least twice from the beginning, and results
222 are reported as the calculated average and standard deviation of these
223 measurements using Microsoft Excel.

224 **3. Results and discussion**

225 Previous studies have highlighted the potential impact of carrier oil type on
226 lipid digestion and bioactive bioaccessibility (Qian, Decker, Xiao, &
227 McClements, 2012; Rao, Decker, Xiao, & McClements, 2013; Yang &
228 McClements, 2013). In particular, it was found that the bioaccessibility of β -
229 carotene was much higher when a long chain triglyceride (corn oil) was used as a
230 carrier oil, rather than a medium chain triglyceride (MCT) or indigestible oil
231 (flavor oil). In this study, we aimed to determine whether a similar effect was
232 observed for another important type of lipophilic bioactive molecule, *i.e.*, vitamin
233 D₃.

234 **3.1. Influence of carrier oil type on physical stability of nanoemulsions**

235 Nanoemulsions were prepared using both digestible (MCT, corn oil, and fish
236 oil) and non-digestible (orange oil and mineral oil) carrier oils. The mean particle
237 diameter (d_{32}) and microstructure of the initial nanoemulsions and of samples
238 collected after each digestion stage were measured (**Figures 1 and 2**). With the
239 exception of orange oil, all of the other nanoemulsions had relatively small initial
240 mean particle diameters (0.14 – 0.19 μm) and monomodal particle size

241 distributions (see supplementary material). The mean particle diameter of the
242 orange oil nanoemulsions was appreciably higher (0.29 μm) than the other
243 nanoemulsions, which can probably be attributed to Ostwald ripening effects due
244 to the relatively high water-solubility of flavour oils (Rao & McClements, 2012).

245 Again, with the exception of orange oil, there was only a slight increase in the
246 mean particle diameters of the nanoemulsions after incubation in artificial saliva
247 and gastric solutions (**Figure 1**), and the particle size distributions remained
248 monomodal (data not shown), which suggested that these nanoemulsions were
249 stable against coalescence under simulated mouth (pH 6.8, 10 min) and stomach
250 (pH 2.5, 2 h) conditions. This protection can be attributed to the strong steric
251 stabilizing effect of the natural surfactant (Q-Naturale) used. In a previous study,
252 we reported that Q-Naturale coated lipid droplets were stable against droplet
253 coalescence over a wide range of pH conditions *i.e.*, pH 3 to 8 (Ozturk, Argin,
254 Ozilgen, & McClements, 2014). Moreover, there were no highly surface active
255 components present within the simulated saliva or gastric environments that might
256 have caused emulsion instability by adsorbing to the surfaces of the Q-Naturale
257 coated droplets. Finally, Q-Naturale would be expected to be resistant to pepsin
258 digestion within the gastric fluids, which may increase the stability of the
259 emulsions to coalescence in the stomach phase. Having said this, the confocal
260 microscopy images indicated that appreciable droplet flocculation occurred under
261 mouth and stomach conditions (**Figure 2**). Droplet flocculation may have
262 occurred due to electrostatic screening effects (due to mineral ions), loss of
263 droplet charge (under acidic conditions), or bridging or depletion attraction (due
264 to mucin). Presumably, these flocs were relatively weak and broke down when
265 the emulsions were diluted for the light scattering experiments. In contrast, there

266 was a relatively large increase in mean particle diameter of the orange oil
267 emulsions as they passed from the initial to mouth to stomach stages, which may
268 be due to Ostwald ripening or coalescence leading to droplet growth. Indeed,
269 large spherical droplets were observed in this sample in the stomach phase
270 (whereas irregular shaped particles were observed in the other nanoemulsions),
271 which suggests that the individual oil droplets had grown in size rather than
272 become flocculated.

273 There were appreciable changes in the particle size and microstructure of the
274 nanoemulsions samples after they were incubated in the small intestine phases,
275 which depended on carrier oil type (**Figures 1 and 2**). These changes may have
276 occurred for a number of reasons, such as lipid digestion, droplet aggregation,
277 mixed micelle formation, or generation of insoluble sediments (such as calcium
278 soaps). Lipid digestion might be expected to reduce the size of the individual lipid
279 droplets since some of the oil phase would be removed. However, lipid digestion
280 may also promote droplet aggregation (flocculation or coalescence) due to
281 changes in interfacial and core characteristics. In addition, aggregation may be
282 promoted due to droplet interactions with other components within the small
283 intestinal fluids, such as mineral ions, bile salts, or proteins. The mixed micelles
284 formed from lipid digestion products actually consist of a complex mixture of
285 different types of colloidal particles, such as micelles, vesicles, bilayers, and
286 liquid crystals. Finally, any long chain fatty acids generated during lipid digestion
287 may form insoluble calcium soaps. All of these different types of colloidal
288 particles contribute to the light scattering pattern measured in a particle size
289 analyzer, which makes it difficult to accurately interpret particle size
290 measurements made on intestinal digesta (McClements, Decker, Park, & Weiss,

291 2009; Singh, Ye, & Horne, 2009). With the exception of orange oil, the micelle
292 phases all contained relatively small particles (< 200 nm), which can be attributed
293 to the fact that large particles either creamed or sedimented during centrifugation
294 and were therefore not detected. The micelle phase collected from the orange oil
295 nanoemulsions contained relatively large particles, which may have been due to
296 some droplet coalescence or Ostwald ripening occurring after centrifugation.

297 The electrical charge on the particles in the various samples was measured
298 after each stage of the model GIT to provide some information about changes in
299 interfacial characteristics (**Figure 3**). Initially, freshly prepared nanoemulsion
300 droplets were highly negatively charged (between -65 and -70 mV) independent
301 of carrier oil type. This result can be attributed to the fact that the electrical
302 characteristics of the droplets were dominated by the presence of the adsorbed
303 surfactant layer. Previous studies have shown that lipid droplets coated with Q-
304 Naturale have a high negative charge at neutral pH (Ozturk, Argin, Ozilgen, &
305 McClements, 2014; Yang, Leser, Sher, & McClements, 2013). An appreciable
306 decrease in the magnitude of the negative charge on the droplets was observed
307 after the mouth stage in all of the samples, which may have been due to
308 electrostatic screening caused by salts in the simulated saliva, or due to adsorption
309 of mucin molecules to the droplet surfaces (van Aken, Vingerhoeds, & de Hoog,
310 2007; Vingerhoeds, Blijdenstein, Zoet, & van Aken, 2005).

311 The magnitude of the negative charges decreased further after exposure to
312 simulated gastric conditions, which can be mainly attributed to changes in the
313 electrical characteristics of the Q-Naturale at low pH values. Previous studies
314 have shown that this surfactant loses its negative charge under highly acid
315 conditions due to protonation of the carboxyl groups on the quillaja saponin

316 molecules (Ozturk, Argin, Ozilgen, & McClements, 2014; Yang, Leser, Sher, &
317 McClements, 2013). There were some differences in the magnitude of the
318 electrical charge on droplets containing different types of carrier oil (**Figure 3**).
319 This may have been due to differences in the susceptibility of the emulsions to
320 competitive adsorption effects.

321 After exposure to the simulated small intestine stage, the magnitude of the
322 negative charge on all the nanoemulsions increased appreciably. This change in
323 droplet electrical characteristics can be attributed to various factors (Qian, Decker,
324 Xiao, & McClements, 2012; Yang & McClements, 2013). First, the increase in
325 pH would cause the surfactant molecules to become more negatively charged.
326 Second, the adsorption of anionic phospholipids and bile salts to the droplet
327 surfaces would lead to a negative charge. Third, the generation of anionic free
328 fatty acids during lipid digestion of triglyceride oils would contribute to the
329 negative surface charge. The particles in the micelle phase were also strongly
330 negatively charged, which can be attributed to the fact that they consisted of
331 particles whose surfaces contained anionic phospholipids, bile salts, and possibly
332 free fatty acids.

333 **3.2. Influence of carrier oil type on in vitro digestion**

334 The influence of carrier oil type on the rate and extent of lipid digestion of
335 vitamin-loaded nanoemulsions under simulated small intestinal conditions was
336 investigated using the pH-STAT method. The percentage of free fatty acids (FFA)
337 released was calculated according to the following equation (Y. Li &
338 McClements, 2010):

$$339 \quad \text{FFA}(\%) = 100 \times (V_{\text{NaOH}} \times m_{\text{NaOH}} \times M_{\text{Lipid}}) / (w_{\text{Lipid}} \times 2) \quad (1)$$

340 Where V_{NaOH} is the volume of sodium hydroxide required to neutralize the FFAs
341 produced (L), m_{NaOH} is the molarity of sodium hydroxide solution used (in M),
342 M_{Lipid} is the molecular weight of the triacylglycerol oil (in g/mol), and w_{Lipid} is the
343 total mass of triacylglycerol oil initially present in the digestion cell (in g). Blanks
344 were performed using solutions with the same composition as the samples, except
345 that they contained no oil, and these values were subtracted from the values
346 measured on the samples. The lipid digestion profiles are reported as FFA (%)
347 values *versus* digestion time (min).

348 The digestion profiles could be divided into two groups: (i) digestible oils
349 (corn oil, fish oil, MCT); (ii) indigestible oils (mineral oil and orange oil). For all
350 the digestible oils, there was initially a rapid increase in FFAs produced during the
351 first 10 minutes after lipase addition, which suggested that the lipase rapidly
352 adsorbed to the droplet surfaces and converted the triglycerides to free fatty acids
353 and monoacylglycerols. At longer times (10 to 120 minutes), the amount of FFAs
354 increased only slowly or remained relatively constant, suggesting that the lipid
355 digestion process was complete. The final extent of lipid digestion was
356 appreciably higher for the MCT nanoemulsions than the ones containing LCT
357 (corn oil or fish oil). This effect can be attributed to the fact that long chain FFAs
358 released from corn oil or fish oil may have accumulated at the lipid droplet
359 surfaces and therefore inhibited further lipase action (Devraj, Williams, Warren,
360 Mullertz, Porter, & Pouton, 2013). On the other hand, medium chain FFAs rapidly
361 moved into the aqueous phase after formation, making it easier for the lipase to
362 continue working (Sek, Porter, Kaukonen, & Charman, 2002). The lipid digestion
363 profiles of fish oil and corn oil nanoemulsions were fairly similar, which is
364 probably because they both contained long chain triglycerides. Orange oil and

365 mineral oil would not be expected to be digested by lipase, which would account
366 for the fact that there was little increase in the calculated FFAs released over time
367 **(Figure 4)**.

368 **3.3. Influence of carrier oil type on vitamin D₃ bioaccessibility**

369 In this series of experiments, the influence of carrier oil type on vitamin D₃
370 bioaccessibility after full digestion by the simulated GIT system was studied. The
371 bioaccessibility of vitamin D₃ was determined by measuring its concentrations in
372 the micelle phase and the total digesta using solvent extraction and UV-Vis
373 spectrophotometry. The bioaccessibility was then calculated using the equation
374 below:

$$375 \quad \text{Bioaccessibility} = 100 \times (C_{\text{Micelle}} / C_{\text{Raw Digesta}}) \quad (2)$$

376 Where C_{Micelle} and $C_{\text{Raw Digesta}}$ are the concentrations of vitamin D₃ in the micelle
377 and total digesta samples after the small intestine stage (end of pH-STAT
378 experiment). The bioaccessibility was also measured after filtration of the micelle
379 phase (with 0.45 μm pore size). In the human body, mixed micelles and other
380 colloidal particles must pass through the mucus layer that lines the GIT prior to
381 absorption, which has been reported to have a pore size around 0.4 μm (Cone,
382 2009). Consequently, the filtration step used in this study may have removed
383 some of the larger particles that would not be expected to pass through the mucus
384 layer.

385 The bioaccessibility was highly dependent on the type of carrier oil present in
386 the nanoemulsions **(Figure 5)**. For the digestible oils, the bioaccessibility of
387 vitamin D₃ was appreciably higher from the nanoemulsions containing LCTs
388 (corn oil and fish oil) than from those containing MCTs (orange oil and mineral

389 oil), with the magnitude of the effect depending on filtration. Before filtration
390 there were much larger differences in bioaccessibility than after filtration. It is
391 possible that filtration removed relatively large vitamin-loaded vesicles or
392 undigested lipid droplets from the LCT nanoemulsions. Indeed, the micelle phase
393 collected from these nanoemulsions was relatively turbid prior to filtration,
394 suggesting that it did contain some large particles (but not large and/or dense
395 enough to be removed by centrifugation) (**Table 1**). Conversely, there was little
396 change in vitamin bioaccessibility when the micelle phase collected from the
397 MCT nanoemulsions was filtered. The reason for this effect is that the micelle
398 phase in these systems was transparent, which suggested that it did not contain
399 any large particles that would be removed by filtration.

400 The higher bioaccessibility of vitamin D₃ in the LCT nanoemulsions can be
401 attributed to the solubilization capacity of the micelles formed after digestion.
402 Corn oil and fish oil contain long chain fatty acids (*e.g.* C₁₆ to C₁₈) whereas MCT
403 contains medium chain fatty acids (*e.g.* C₈ to C₁₀). Long chain fatty acids form
404 mixed micelles (micelles and vesicles) that have larger non-polar regimes capable
405 of accommodating large lipophilic bioactive molecules (Qian, Decker, Xiao, &
406 McClements, 2012). Conversely, large lipophilic bioactives cannot easily be
407 accommodated into the smaller non-polar regimes found in mixed micelles
408 formed by medium chain fatty acids. Consequently, the mixed micelles formed
409 from long chain FFAs tend to have higher solubilization capacities than those
410 formed by medium chain FFAs.

411 Indigestible oils (such as mineral and orange oil) do not form free fatty acids
412 in the presence of lipase. As mentioned earlier, free fatty acids can combine with
413 bile salts and phospholipids to form mixed micelles that can solubilize

414 hydrophobic molecules. Consequently, one would expect the solubilization
415 capacity of the intestinal fluids formed from nanoemulsions containing
416 indigestible oils to be relatively low. Surprisingly, we found that the
417 bioaccessibility of the vitamin D₃ was relatively high in the micelle phase
418 collected from the orange oil nanoemulsion (**Figure 5**). Indeed, the
419 bioaccessibility appeared to be higher for both orange oil and mineral oil than for
420 MCT, despite the fact that MCT was fully digestible. This effect may be an
421 artefact of the method used to measure vitamin bioaccessibility. There may have
422 been relatively small non-digested lipid droplets containing vitamin D₃ in the
423 micelle phases collected from the orange oil and mineral oil nanoemulsions.
424 These droplets may have been so small that they were not removed by
425 centrifugation or filtration. This result raises an interesting question: would these
426 lipid droplets pass through the mucus layer and be adsorbed by the epithelium
427 cells in the human body? Further studies are clearly needed to establish the
428 influence of lipid digestibility on the biological fate of oil-soluble vitamins
429 encapsulated in nanoemulsions.

430 **4. Conclusions**

431 In this study, the influence of the type of carrier oil used to formulate
432 nanoemulsion-based delivery systems, on the bioaccessibility of an important oil-
433 soluble bioactive (vitamin D₃) was examined. As observed with other highly
434 lipophilic bioactive agents, it was found that the nature of the carrier oil had a
435 major influence on the bioaccessibility of vitamin D₃ measured using a simulated
436 gastrointestinal model.

437 The rate and extent of lipid digestion was higher for MCT nanoemulsions
438 than LCT nanoemulsions (corn oil and fish oil), which was attributed to
439 accumulation of long chain FFAs at the lipid droplet surfaces inhibiting lipase
440 activity. As expected, indigestible lipids (orange oil and mineral oil) did not
441 produce FFAs when exposed to lipase. Vitamin bioaccessibility was higher in
442 LCT nanoemulsions than in MCT nanoemulsions, presumably due to the higher
443 solubilization capacity for vitamin D₃ of mixed micelles formed by long chain
444 FFAs. Surprisingly, a relatively high bioaccessibility for the nanoemulsions
445 prepared from indigestible oils was found, which may have been an experimental
446 artefact associated with the presence of vitamin-containing small lipid droplets in
447 the micelle phase. Alternatively, it may be possible for these small lipid droplets
448 to be adsorbed by the human body, and therefore increase vitamin bioavailability.
449 In summary, LCT nanoemulsions were found to be the most suitable for
450 increasing the bioaccessibility of vitamin D₃. These results are important for
451 formulating nanoemulsion-based delivery systems for oil-soluble vitamins and
452 other lipophilic nutraceuticals.

453 **5. Acknowledgements**

454 This material is based upon work supported by the Cooperative State
455 Research, Extension, Education Service, United State Department of Agriculture,
456 Massachusetts Agricultural Experiment Station (Project No. 831) and by the
457 United States Department of Agriculture, NRI Grants (2011-03539, 2013-03795,
458 2011-67021, and 2014-67021). The authors also acknowledge TUBITAK 2214-A
459 Program for providing financial support for Bengu Ozturk.

460

461 **6. References**

- 462 Adams, J. S., & Hewison, M. (2010). Update in Vitamin D. *Journal of Clinical*
463 *Endocrinology & Metabolism*, 95(2), 471-478.
- 464 Borel, P., Caillaud, D., & Cano, N. J. (2013). Vitamin D bioavailability: State of
465 the art. *Crit Rev Food Sci Nutr*.
- 466 Carbonell-Capella, J. M., Buniowska, M., Barba, F. J., Esteve, M. J., & Frigola,
467 A. (2014). Analytical Methods for Determining Bioavailability and
468 Bioaccessibility of Bioactive Compounds from Fruits and Vegetables: A
469 Review. *Comprehensive Reviews in Food Science and Food Safety*, 13(2),
470 155-171.
- 471 Cone, R. A. (2009). Barrier properties of mucus. *Adv Drug Deliv Rev*, 61(2), 75-
472 85.
- 473 Devraj, R., Williams, H. D., Warren, D. B., Mullertz, A., Porter, C. J. H., &
474 Pouton, C. W. (2013). In vitro digestion testing of lipid-based delivery
475 systems: Calcium ions combine with fatty acids liberated from triglyceride
476 rich lipid solutions to form soaps and reduce the solubilization capacity of
477 colloidal digestion products. *International Journal of Pharmaceutics*,
478 441(1-2), 323-333.
- 479 Golding, M., & Wooster, T. J. (2010). The influence of emulsion structure and
480 stability on lipid digestion. *Current Opinion in Colloid & Interface*
481 *Science*, 15(1-2), 90-101.
- 482 Goncalves, A., Roi, S., Nowicki, M., Dhaussy, A., Huertas, A., Amiot, M.-J., &
483 Reboul, E. (2015). Fat-soluble vitamin intestinal absorption: Absorption
484 sites in the intestine and interactions for absorption. *Food Chem*, 172, 155-
485 160.

- 486 Gonnet, M., Lethuaut, L., & Boury, F. (2010). New trends in encapsulation of
487 liposoluble vitamins. *J Control Release*, *146*(3), 276-290.
- 488 Guttoff, M., Saberi, A. H., & McClements, D. J. (2015). Formation of vitamin D
489 nanoemulsion-based delivery systems by spontaneous emulsification:
490 factors affecting particle size and stability. *Food Chem*, *171*, 117-122.
- 491 Holick, M. F., Binkley, N. C., Bischoff-Ferrari, H. A., Gordon, C. M., Hanley, D.
492 A., Heaney, R. P., Murad, M. H., Weaver, C. M., & Endocrine, S. (2011).
493 Evaluation, treatment, and prevention of vitamin D deficiency: an
494 Endocrine Society clinical practice guideline. *J Clin Endocrinol Metab*,
495 *96*(7), 1911-1930.
- 496 Huang, Q., Yu, H., & Ru, Q. (2010). Bioavailability and delivery of nutraceuticals
497 using nanotechnology. *J Food Sci*, *75*(1), R50-57.
- 498 Li, W., Peng, H., Ning, F., Yao, L., Luo, M., Zhao, Q., Zhu, X., & Xiong, H.
499 (2014). Amphiphilic chitosan derivative-based core-shell micelles:
500 synthesis, characterisation and properties for sustained release of Vitamin
501 D3. *Food Chem*, *152*, 307-315.
- 502 Li, Y., & McClements, D. J. (2010). New mathematical model for interpreting
503 pH-stat digestion profiles: impact of lipid droplet characteristics on in vitro
504 digestibility. *J Agric Food Chem*, *58*(13), 8085-8092.
- 505 Luo, Y., Teng, Z., & Wang, Q. (2012). Development of zein nanoparticles coated
506 with carboxymethyl chitosan for encapsulation and controlled release of
507 vitamin D3. *J Agric Food Chem*, *60*(3), 836-843.
- 508 Marze, S., Meynier, A., & Anton, M. (2013). In vitro digestion of fish oils rich in
509 n-3 polyunsaturated fatty acids studied in emulsion and at the oil-water
510 interface. *Food Funct*, *4*(2), 231-239.

- 511 McClements, D. J., Decker, E. A., Park, Y., & Weiss, J. (2009). Structural design
512 principles for delivery of bioactive components in nutraceuticals and
513 functional foods. *Crit Rev Food Sci Nutr*, 49(6), 577-606.
- 514 McClements, D. J., & Li, Y. (2010). Structured emulsion-based delivery systems:
515 controlling the digestion and release of lipophilic food components. *Adv*
516 *Colloid Interface Sci*, 159(2), 213-228.
- 517 McClements, D. J., & Xiao, H. (2012). Potential biological fate of ingested
518 nanoemulsions: influence of particle characteristics. *Food Funct*, 3(3),
519 202-220.
- 520 Mun, S., Decker, E. A., & McClements, D. J. (2007). Influence of emulsifier type
521 on in vitro digestibility of lipid droplets by pancreatic lipase. *Food*
522 *Research International*, 40(6), 770-781.
- 523 Nik, A. M., Corredig, M., & Wright, A. J. (2011). Release of lipophilic molecules
524 during in vitro digestion of soy protein-stabilized emulsions. *Mol Nutr*
525 *Food Res*, 55 Suppl 2, S278-289.
- 526 Ozturk, B., Argin, S., Ozilgen, M., & McClements, D. J. (2014). Formation and
527 stabilization of nanoemulsion-based vitamin E delivery systems using
528 natural surfactants: Quillaja saponin and lecithin. *Journal of Food*
529 *Engineering*, 142, 57-63.
- 530 Porter, C. J. H., & Charman, W. N. (1997). Uptake of drugs into the intestinal
531 lymphatics after oral administration. *Adv Drug Deliv Rev*, 25(1), 71-89.
- 532 Porter, C. J. H., Trevaskis, N. L., & Charman, W. N. (2007). Lipids and lipid-
533 based formulations: optimizing the oral delivery of lipophilic drugs.
534 *Nature Reviews Drug Discovery*, 6(3), 231-248.

- 535 Qian, C., Decker, E. A., Xiao, H., & McClements, D. J. (2012). Nanoemulsion
536 delivery systems: influence of carrier oil on beta-carotene bioaccessibility.
537 *Food Chem*, 135(3), 1440-1447.
- 538 Rao, J., Decker, E. A., Xiao, H., & McClements, D. J. (2013). Nutraceutical
539 nanoemulsions: influence of carrier oil composition (digestible versus
540 indigestible oil) on beta-carotene bioavailability. *J Sci Food Agric*, 93(13),
541 3175-3183.
- 542 Rao, J., & McClements, D. J. (2012). Impact of lemon oil composition on
543 formation and stability of model food and beverage emulsions. *Food*
544 *Chem*, 134(2), 749-757.
- 545 Reboul, E., Goncalves, A., Comera, C., Bott, R., Nowicki, M., Landrier, J. F.,
546 Jourdheuil-Rahmani, D., Dufour, C., Collet, X., & Borel, P. (2011).
547 Vitamin D intestinal absorption is not a simple passive diffusion:
548 Evidences for involvement of cholesterol transporters. *Mol Nutr Food Res*,
549 55(5), 691-702.
- 550 Salvia-Trujillo, L., Qian, C., Martin-Belloso, O., & McClements, D. J. (2013).
551 Modulating beta-carotene bioaccessibility by controlling oil composition
552 and concentration in edible nanoemulsions. *Food Chem*, 139(1-4), 878-
553 884.
- 554 Sarkar, A., Goh, K. K. T., & Singh, H. (2009). Colloidal stability and interactions
555 of milk-protein-stabilized emulsions in an artificial saliva. *Food*
556 *Hydrocolloids*, 23(5), 1270-1278.
- 557 Sek, L., Porter, C. J. H., Kaukonen, A. M., & Charman, W. N. (2002). Evaluation
558 of the in-vitro digestion profiles of long and medium chain glycerides and

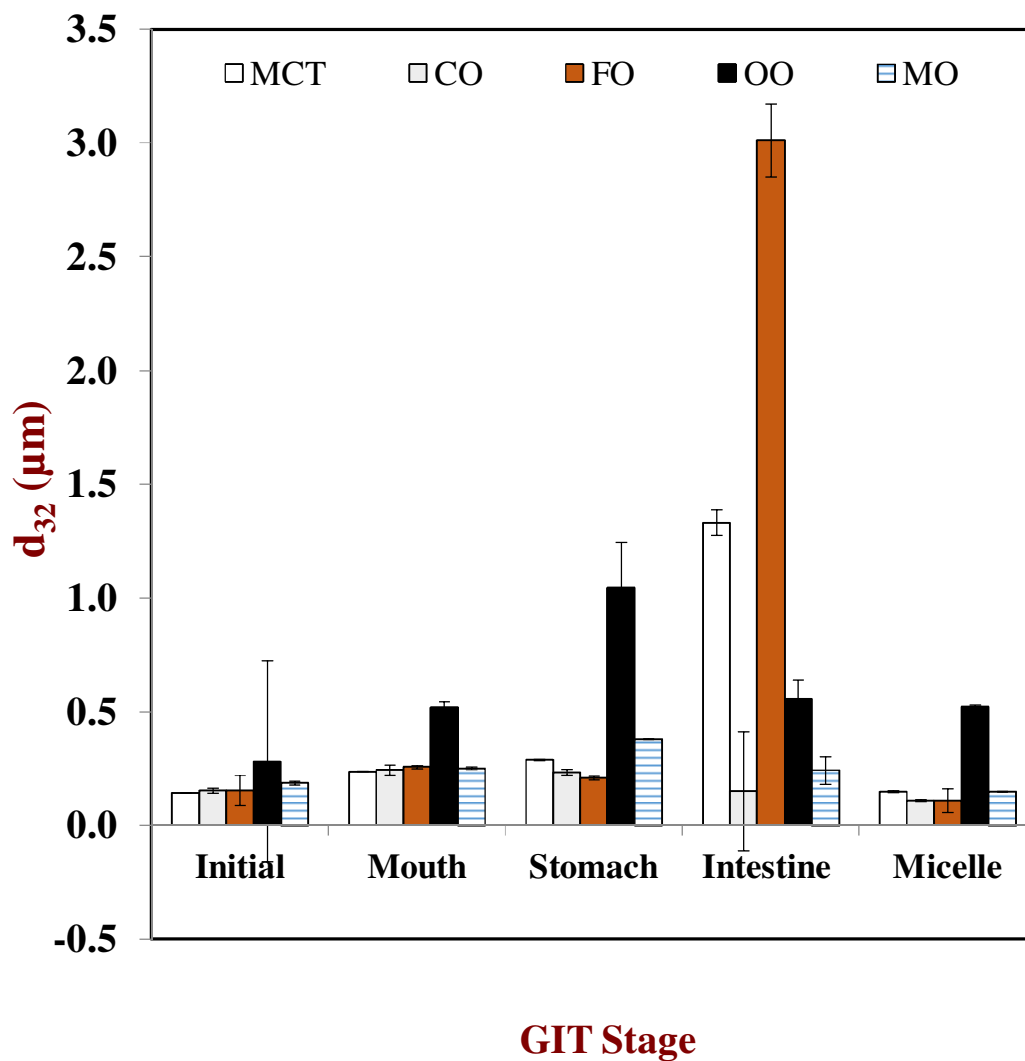
- 559 the phase behaviour of their lipolytic products. *Journal of Pharmacy and*
560 *Pharmacology*, 54(1), 29-41.
- 561 Singh, H., Ye, A., & Horne, D. (2009). Structuring food emulsions in the
562 gastrointestinal tract to modify lipid digestion. *Prog Lipid Res*, 48(2), 92-
563 100.
- 564 Teng, Z., Luo, Y., & Wang, Q. (2013). Carboxymethyl chitosan-soy protein
565 complex nanoparticles for the encapsulation and controlled release of
566 vitamin D(3). *Food Chem*, 141(1), 524-532.
- 567 van Aken, G. A., Vingerhoeds, M. H., & de Hoog, E. H. A. (2007). Food colloids
568 under oral conditions. *Current Opinion in Colloid & Interface Science*,
569 12(4-5), 251-262.
- 570 Vingerhoeds, M. H., Blijdenstein, T. B. J., Zoet, F. D., & van Aken, G. A. (2005).
571 Emulsion flocculation induced by saliva and mucin. *Food Hydrocolloids*,
572 19(5), 915-922.
- 573 Yang, Y., Leser, M. E., Sher, A. A., & McClements, D. J. (2013). Formation and
574 stability of emulsions using a natural small molecule surfactant: Quillaja
575 saponin (Q-Naturale®). *Food Hydrocolloids*, 30(2), 589-596.
- 576 Yang, Y., & McClements, D. J. (2013). Vitamin E bioaccessibility: influence of
577 carrier oil type on digestion and release of emulsified alpha-tocopherol
578 acetate. *Food Chem*, 141(1), 473-481.

579

580

581

582



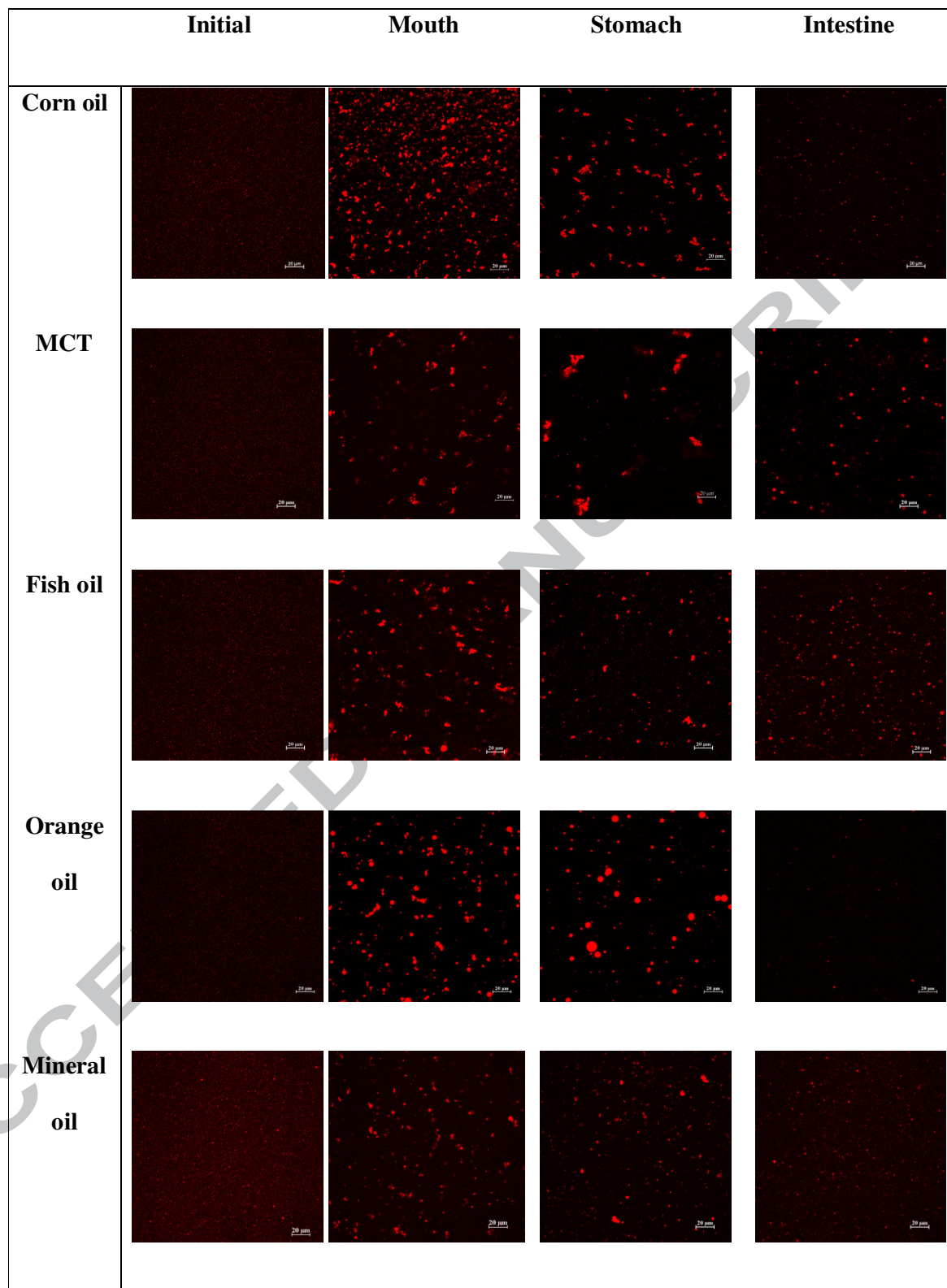
583

584

585 **Figure 1.** Influence of gastrointestinal tract (GIT) stage and oil type on the mean
 586 particle diameter (d_{32}) of oil-in-water emulsions after exposure to different stages
 587 of a simulated gastrointestinal model.

588

589

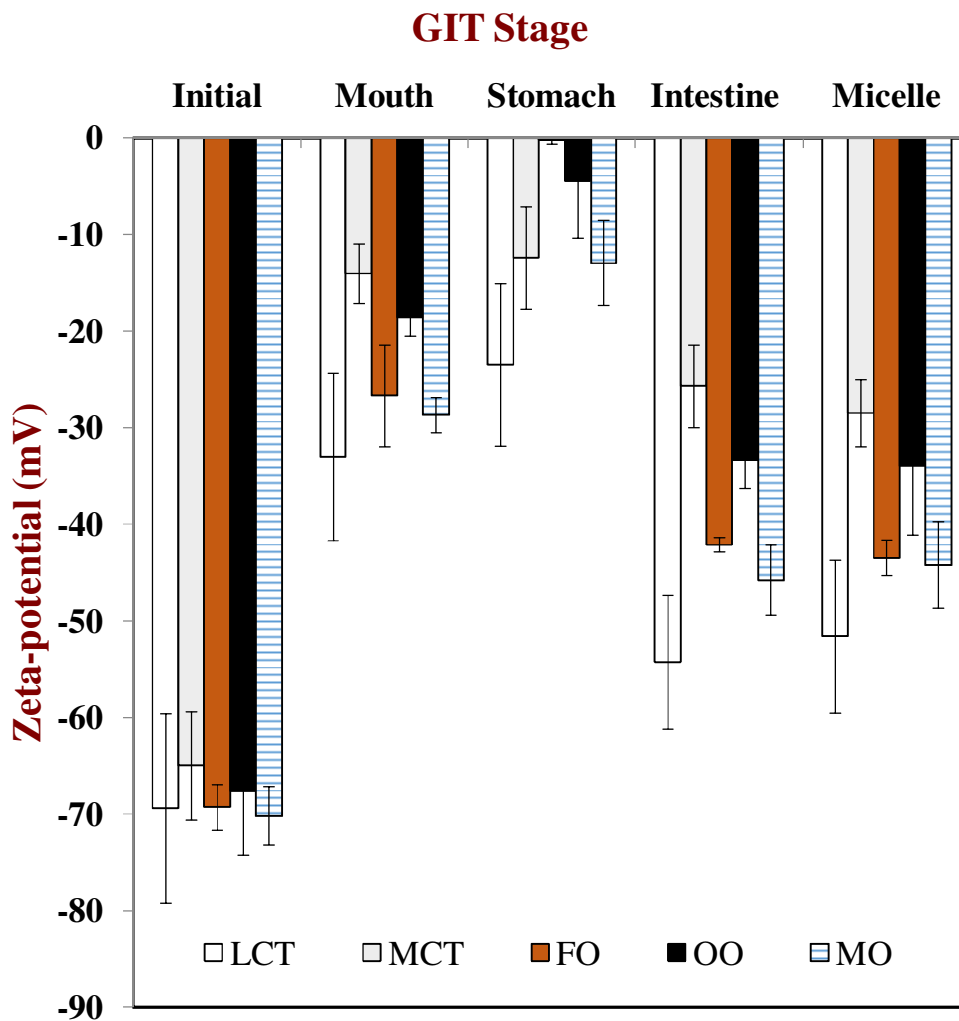


590

591 **Figure 2.** Influence of oil type and gastrointestinal tract stage on microstructure
 592 (confocal fluorescence) of oil-in-water nanoemulsions containing Vitamin D₃.

593

594



595

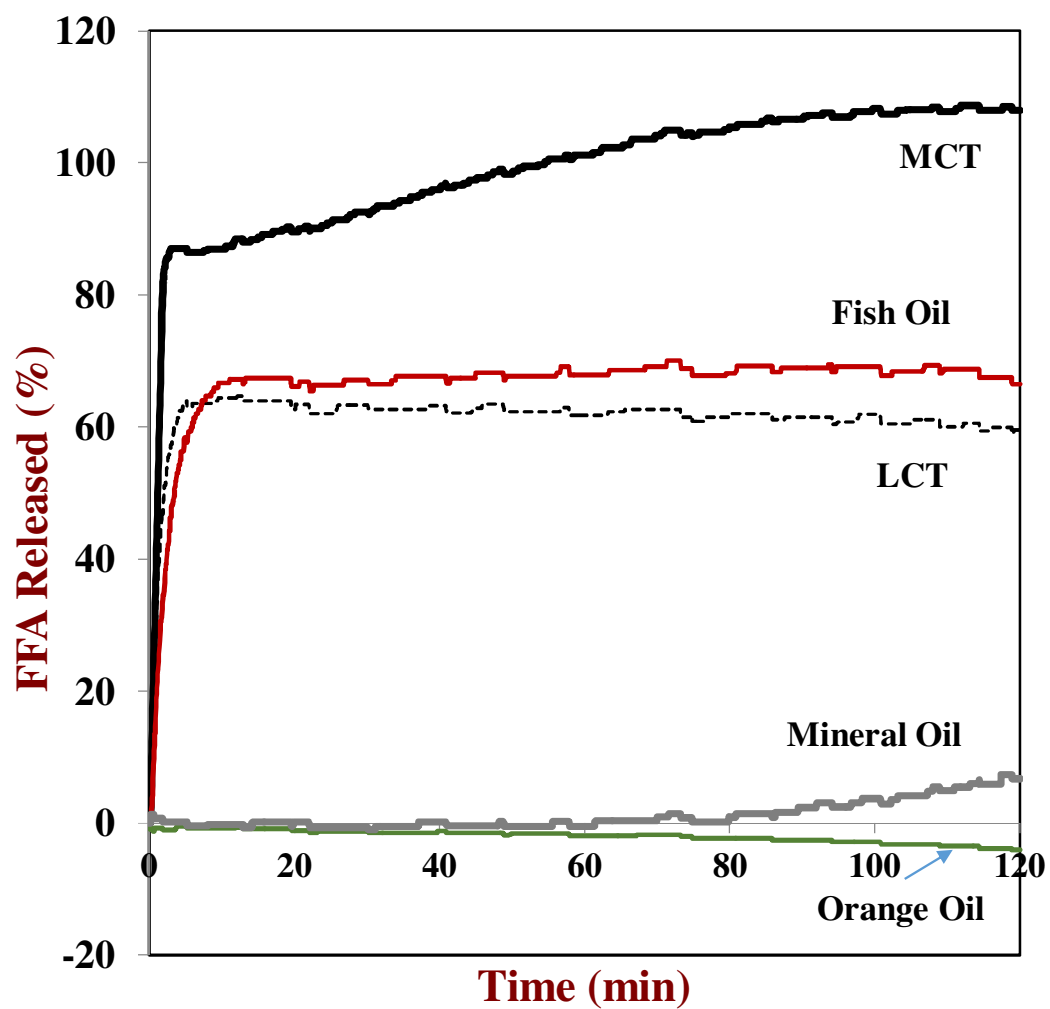
596

597 **Figure 3.** Influence of gastrointestinal tract (GIT) stage and oil type on the
 598 particle charge (ζ -potential) of oil-in-water nanoemulsions.

599

600

601



602

603

604 **Figure 4.** Release of free fatty acids (FFA) from nanoemulsions containing

605 different oil types after exposure to simulated small intestine conditions.

606

607

608

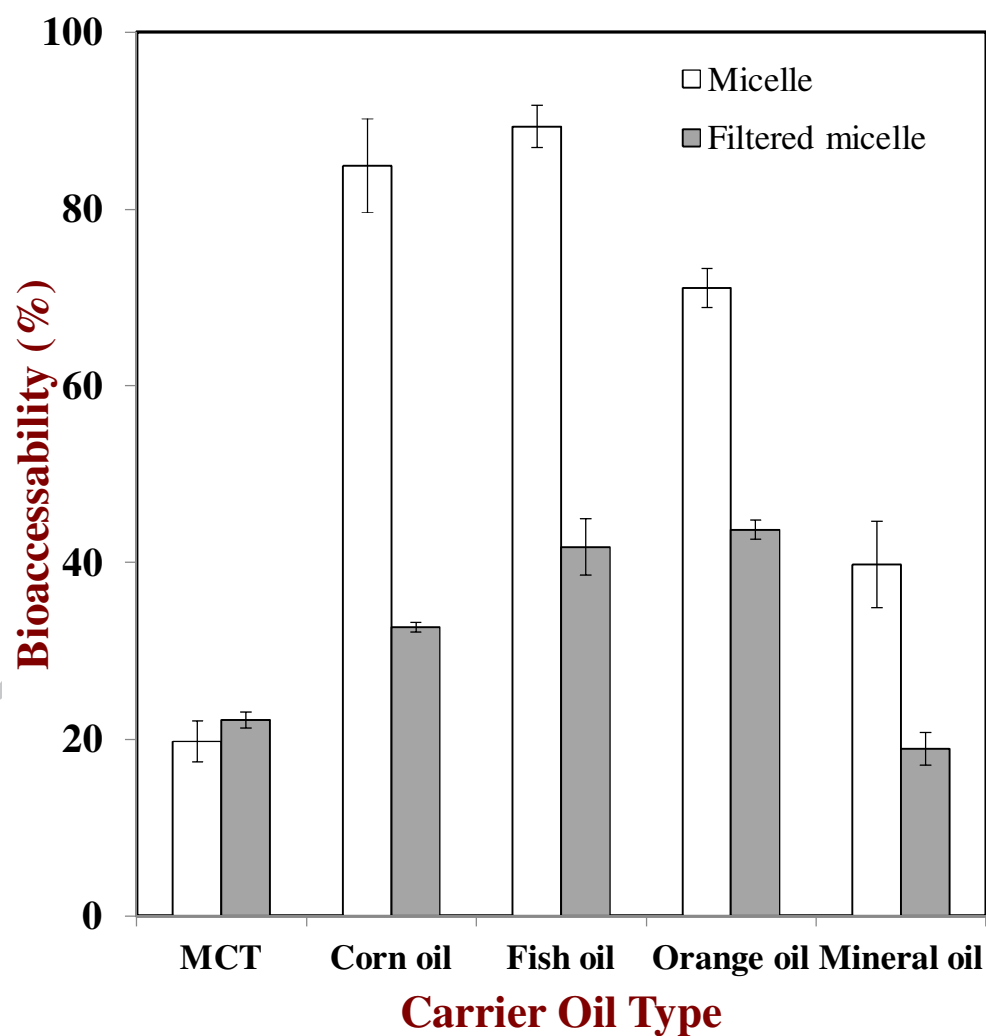
609

610

611

612

613



614

615 **Figure 5.** Influence of carrier oil type on the bioaccessibility of vitamin D₃
616 initially encapsulated within oil-in-water nanoemulsions. Measurements were
617 made before and after the micelle phase samples were filtered.

618

619

620






621

622

623

ACCEPTED MANUSCRIPT

624 **Table 1:** Digital photographs of raw digesta (R) and the micelle phase (M), which
625 was collected after centrifugation (4000 rpm, 40 min, 25° C).

MCT		Corn oil		Fish oil		Orange oil		Mineral oil	
R	M	R	M	R	M	R	M	R	M
									

626

627

628 **Nanoemulsion Delivery Systems for Oil-Soluble Vitamins: Influence of**
629 **Carrier Oil Type on Lipid Digestion and Vitamin D₃ Bioaccessibility**

630 *Ozturk et al.*

631 *Food Chemistry*

632

633 **Highlights**

- 634 • Vitamin-enriched nanoemulsions were prepared using a natural surfactant
635 • Lipid digestion and vitamin D₃ bioaccessibility strongly depend on carrier
636 oil type
637 • Medium chain triglycerides were rapidly digested, but gave low
638 bioavailability
639 • Long chain triglycerides were most effective at increasing vitamin
640 bioaccessibility

641

ACCEPTED MANUSCRIPT