

# Altering the Intestinal Microbiota during a Critical Developmental Window Has Lasting Metabolic Consequences

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<http://dx.doi.org/10.1016/j.cell.2014.05.052>

## SUMMARY

Acquisition of the intestinal microbiota begins at birth, and a stable microbial community develops from a succession of key organisms. Disruption of the microbiota during maturation by low-dose antibiotic exposure can alter host metabolism and adiposity. We now show that low-dose penicillin (LDP), delivered from birth, induces metabolic alterations and affects ileal expression of genes involved in immunity. LDP that is limited to early life transiently perturbs the microbiota, which is sufficient to induce sustained effects on body composition, indicating that microbiota interactions in infancy may be critical determinants of long-term host metabolic effects. In addition, LDP enhances the effect of high-fat diet induced obesity. The growth promotion phenotype is transferrable to germ-free hosts by LDP-selected microbiota, showing that the altered microbiota, not antibiotics per se, play a causal role. These studies characterize important variables in early-life microbe-host metabolic interaction and identify several taxa consistently linked with metabolic alterations.

## INTRODUCTION

Obesity, a complex disease, can increase the risk of diabetes, heart disease, and cancer (Vucenik and Stains, 2012). Along with dietary excess and genetic polymorphisms, the trillions of microbial cells in the intestinal microbiota can contribute to obesity by increasing energy extraction (Turnbaugh et al.,

2006) or by altering metabolic signaling (Samuel et al., 2008) and inflammation (Cani et al., 2008; Henao-Mejia et al., 2012; Vijay-Kumar et al., 2010). Obese and lean humans differ in microbiota compositions, and these phenotypes can be transferred to germ-free mice (Ley et al., 2005; Ridaura et al., 2013), highlighting the need for greater understanding of microbiota-host metabolic interactions.

Because early life is a critical period for metabolic development (Cunningham et al., 2014; Dietz, 1994; Knittle and Hirsch, 1968), microbiota disruption during this window could lead to changes in body composition. In humans, early-life microbiota disruption, either due to delivery by Caesarian section (Dominguez-Bello et al., 2010) or antibiotics, is associated with increased risk of overweight status later in childhood (Ajslev et al., 2011; Blustein et al., 2013; Huh et al., 2012; Murphy et al., 2013; Trasande et al., 2013), although in mice, antibiotic exposure can increase or decrease weight, depending on the dose, diet used, or strain (Dubos et al., 1963).

For decades, farmers have been exposing livestock to low doses of antibiotics to promote growth; the earlier in life that exposure begins, the more profound the effects (Cromwell, 2002; <http://www.fda.gov/animalveterinary/products/approvedanimaldrugproducts/default.htm>). We have applied the agricultural model of growth promotion to interrogate fundamental host-microbe metabolic relationships. We previously showed that early-life subtherapeutic antibiotic treatment increased fat mass, altered metabolic hormones, hepatic metabolism, and microbiota composition (Cho et al., 2012). Here, we extend these studies using low-dose penicillin (LDP) as a model agent disrupting the microbiota. We examined whether timing of LDP exposure is critical, whether synergies exist between penicillin and dietary effects, and whether the altered microbiota is sufficient to yield metabolic phenotypes. Our experiments answered each of these questions in the affirmative.

Remarkably, we found that microbial communities recovered after the cessation of antibiotics, yet the metabolic phenotypes persisted, highlighting the importance of the early-life microbiota in growth and development.

## RESULTS

### Effect of LDP Commencement Age on Growth Phenotypes

Introducing LDP, chlortetracycline, or vancomycin to young mice at weaning increased subsequent fat mass (Cho et al., 2012). We now asked whether earlier exposure might have more substantial effects. C57BL/6J mice were exposed to LDP postweaning (LDP-w), or their mother received LDP shortly before their pups' birth (LDP-b) and through weaning, so that the pups would be initially colonized with an altered maternal microbiota and receive LDP while nursing. Control mice received no antibiotic (Figure 1A). LDP did not reduce microbial population size (Figure 1B), which is consistent with previous findings (Cho et al., 2012). Prior to weaning, male LDP-b mice had accelerated growth (Figure 1C), and adult male LDP-b mice had increased total and fat mass and decreased bone mineral content compared to controls (Figures 1D–1I). LDP-w male mice showed similar trends; however, later LDP exposure had lesser effects on body composition. Female LDP-b mice, but not LDP-w, had significantly elevated total mass. Both female LDP groups had significantly elevated bone mineral density. Similar to DEXA, MRI showed increased body fat percentage in LDP-b male mice (Figures 1J–1M) and also identified LDP-induced ectopic fat deposition, including elevated total abdominal, visceral, and liver adiposity, which is linked with metabolic syndrome (Blüher, 2010; Rasouli et al., 2007). Associated with the trend of increased liver adiposity detected by MRI, LDP-b significantly increased hepatic expression of genes involved in adipogenesis, including *Ppar $\gamma$* , *Cd36*, and fatty acid binding protein 2 (*Fabp2*) (Figures 1N–1P), which is consistent with our prior observations (Cho et al., 2012). Contrastingly, the LDP-w mice had reduced expression of genes involved in cholesterol metabolism and fatty acid synthesis (Figures 1Q–1S) compared to controls. This dichotomy may reflect the differences in phenotype development or that downregulation of genes involved in lipid metabolism in the LDP-w mice explains why these mice resist total and fat mass accumulation. In total, earlier penicillin exposure led to enhanced metabolic phenotypes, suggesting greater host vulnerability to microbiota disruption in infancy, with more pronounced effects in male mice.

### Dynamics of Phenotype Development and Microbiota Alterations

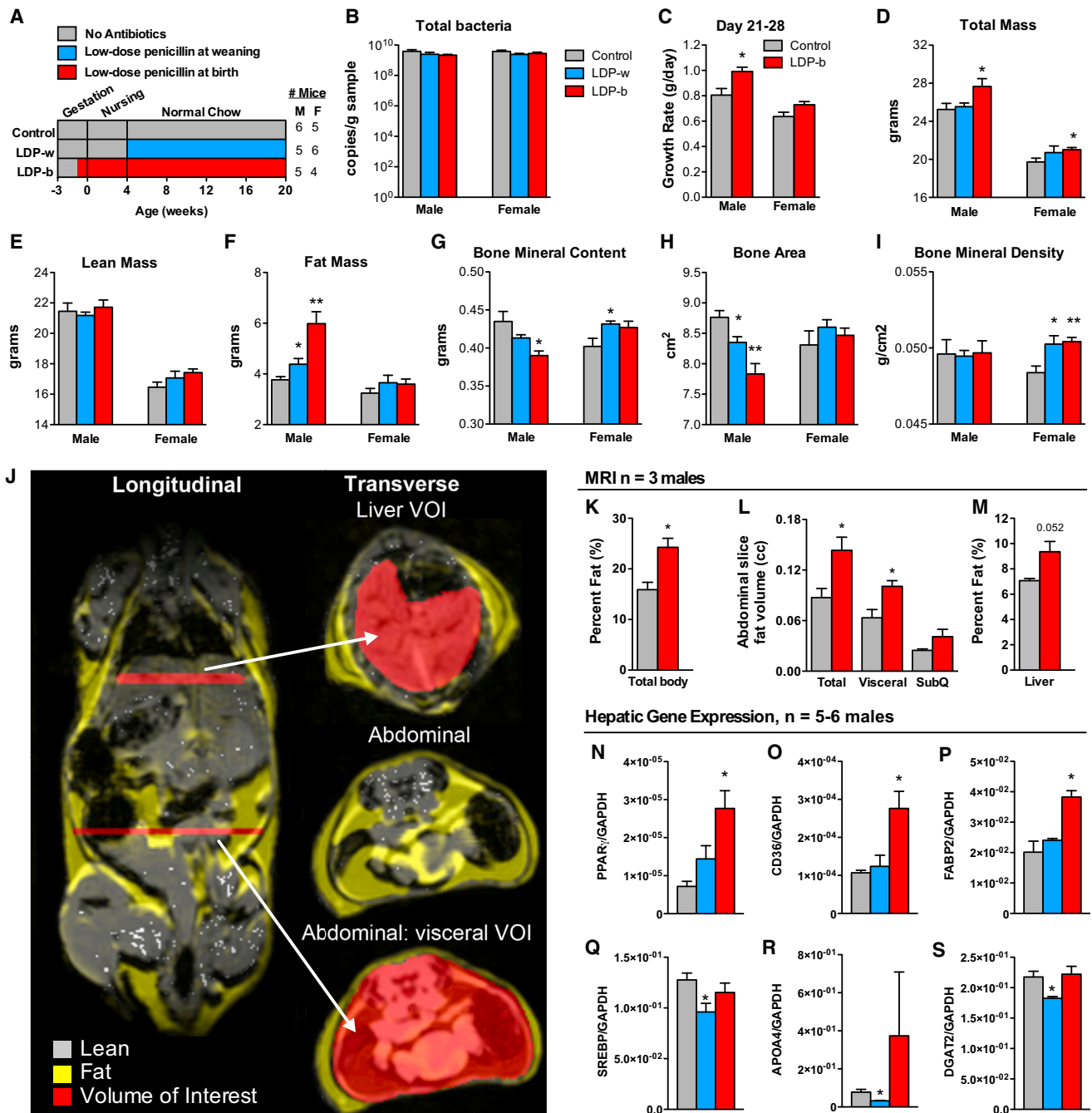
We next conducted longitudinal studies to permit a dynamic view of LDP-mediated phenotype development. Female and male LDP mice showed faster fat accumulation (rate differential = 0.13% per week female [ $p = 0.002$ ] and 0.15% per week male [ $p = 0.02$ ]) and with significant increases in body fat percent detected earlier in males (Figures 2A–C). LDP reduced nonfasting serum peptide YY, and there was a trend of increased leptin (Figures 2D and 2E), alterations typically seen in obesity (Grudell and Camilleri, 2007; Morrison et al., 2009).

LDP significantly altered microbial community composition, measured by unweighted UniFrac distances ( $\beta$  diversity) at all time points examined (Figures 2F–2J). As the mice aged, positions of the control and LDP fecal samples changed unidirectionally on the principal coordinate analysis (PCoA) plots, with greater differences in LDP mice, suggesting acceleration of the normal age-related microbiota development. Intergroup  $\beta$  diversity was significantly higher than either intragroup distances, showing the distinctive LDP and control microbiota community structures (Figure 2K).

The populations of mammalian microbiota show a pattern of succession in early life (Pantoja-Feliciano et al., 2013; Schaedler et al., 1965), and altered representations of particular taxa have been associated with the development of obesity (Kalliomäki et al., 2008). As expected, after nursing for 4 weeks, the control microbiota was dominated by *Lactobacillus*, diminishing as mice matured (Figures 2L–2N). Although LDP mice showed similar age-dependent population shifts, *Lactobacillus* levels were much lower at multiple time points than control. Focusing on early life (week 4), broad population changes were seen from phylum to genus level (Figure 2M). In addition to *Lactobacillus*, LDP consistently reduced early-life *Candidatus Arthromitus* (segmented filamentous bacteria, SFB) and *Allobaculum* levels (Figures 2O and 2P) across multiple independent experiments (Tables S1 and Figure S1 available online). As expected (Davis and Savage, 1976; Jiang et al., 2001), early-life populations of *Candidatus Arthromitus* were highest in control pups and undetectable in older mice but were significantly reduced by LDP (Figure 2Q). These temporal changes indicate that LDP suppresses multiple taxa that typically peak early in life. Although high-dose (therapeutic) antibiotic exposures reduce microbiota diversity in animals and humans (Antonopoulos et al., 2009; Dethlefsen et al., 2008), LDP increased phylogenetic diversity at 4 weeks (Figure 2Q), which is consistent with decreases in particular prominent taxa and detection of taxa with lower representation. By sampling across time, we identified microbes that were altered prior to the development of adiposity, suggesting roles in host metabolic development.

### Interaction between LDP and Dietary Excess

We next asked whether there were additive effects of early-life LDP and increased caloric intake. Mice received either LDP or not (control), were weaned to normal chow (NC), and at 17 weeks, half of the mice were switched to a high-fat diet (HFD) (Figure 3A). In the males, total mass was increased by LDP, more so by HFD, and most by the combination (Figure 3B). In those fed NC, LDP increased lean mass, and in those given HFD, LDP markedly increased fat mass (Figures 3C and 3D). Fasting insulin was not altered by HFD alone, which may reflect that 45% kcal from fat does not produce as extensive metabolic effects as 60% kcal from fat (Pettersson et al., 2012). Insulin was slightly, but not significantly, increased by LDP but was significantly raised in mice receiving the combination (Figure 3E). In female mice, total mass, not increased by HFD alone, was increased by the combination of HFD and LDP, and lean mass was increased by LDP on either diet. Most notably, by 30 weeks, LDP approximately doubled the fat mass in females fed HFD (Figures 3F–3H) but did not significantly alter fasting insulin



**Figure 1. Effect of Timing of Initial LDP Exposure on Body Composition**

(A) Study design: C57B/L6J mice received LDP (1  $\mu$ g/g body weight) continuously for life either beginning at weaning at day 28 of life (LDP-w, n = 5 male, 6 female), or from birth (LDP-b, n = 5 male, 4 female). Control mice had no penicillin exposure (n = 6 male, 5 female).

(B) Levels of cecal bacteria at week 20 determined by quantitative PCR using universal bacterial 16S rRNA primers.

(C) Early-life (periweaning: day 21 to day 28) growth rate.

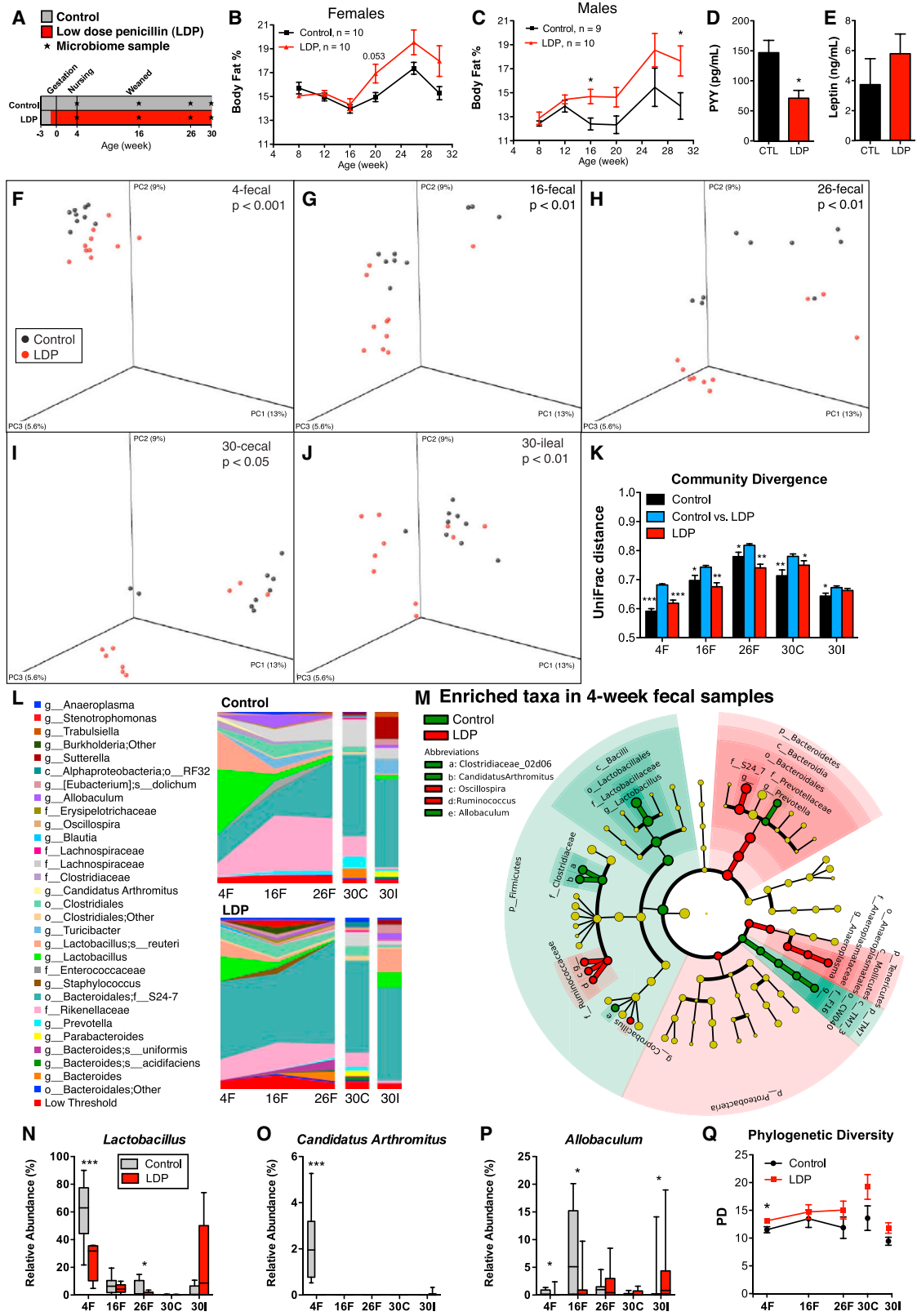
(D-I) Body composition and bone histomorphometry determined at week 20 by DEXA scanning.

(J) MRI representation of lean (gray) and fat (yellow) tissue and regions that were analyzed for hepatic and visceral fat (red).

(K) Adiposity (total body fat percent) determined by MRI in three male mice each in LDP-b and control groups at week 18.

(L and M) Total abdominal, visceral, and subcutaneous fat volume and (M) liver adiposity (fat percent).

(N-S) Hepatic gene expression in week 20 male mice (n = 5-6/group). \*p < 0.05, \*\*p < 0.01, and \*\*\*p < 0.001, t test compared to control. Graphs are displayed as mean  $\pm$  SEM.



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(Figure 3I). In both male and female 26 week mice, LDP increased abdominal fat, localized to the visceral compartment (Figures 3J–3L). In total, these studies provide evidence that LDP exposure enhances the HFD metabolic effects and confirm prior observations (Goyal et al., 2012) that male mice are more predisposed to diet-induced obesity.

Alterations in microbiota and diet can affect the liver (Henao-Mejia et al., 2012). HFD significantly increased hepatic steatosis in male and female mice at age 30 weeks, with greater increases in males, and increased hepatocyte ballooning in male mice. LDP reduced both metrics in male mice fed normal chow (Figures 3M–3O) and had little additive effect in mice fed HFD. Neither LDP nor HFD strongly affected lobular inflammation or fibrosis (Figures S2A and S2B). However, although the magnitude of the effect was small, LDP significantly increased inflammation and fibrosis in female mice fed HFD. In total, histological analyses indicate that HFD is a stronger driver of nonalcoholic fatty liver disease (NAFLD) than LDP and that the extent of HFD-mediated effects and LDP induced-inflammation differed by gender.

At the transcriptional level, HFD had a stronger effect on hepatic gene expression than LDP, and the dietary effect was greatest in mice receiving LDP (Figure 3P). Of the 1,091 genes uniquely regulated by HFD in LDP mice, 980 (90%) had a nonsignificant trend in the same direction in control mice, indicating that microbiome disruption could amplify HFD-mediated changes. Through comparative analyses, we identified genes either uniquely regulated by LDP or by HFD, requiring both interventions, or responding synergistically (Figures 3Q–3R and S2O). In mice fed HFD, LDP altered genes related to carbohydrate metabolism and cell movement, which resembled the effects of HFD in LDP-treated mice, but not HFD alone (Figures 3S and S2C–S2N). In contrast, HFD alone or in combination with LDP changed expression of genes related to glucose metabolism (decreased) and to fatty acid metabolism and lipid synthesis (increased). HFD-regulated genes in LDP mice were involved in functions linked to the microbiota (Figure 3S), such as increased immune and antigen-presenting cell responses (Erturk-Hasdemir and Kasper, 2013), increased eicosanoid synthesis and decreased arachidonate metabolism (Antunes and

Finlay, 2011), and increased vascularization (Stappenbeck et al., 2002).

To examine microbiota changes, we studied serial fecal pellets and terminal cecal and ileal samples (Figure 4A). Based on unweighted UniFrac distances, both LDP and HFD significantly ( $p < 0.05$ , ADONIS test) altered community structure (Figures 4B–4F), and HFD had larger effects on microbial community structure than LDP. Regardless of antibiotic exposure, HFD led to numerous enriched taxa in 30-week fecal samples, including the phylum Firmicutes and lower lineages, and decreased Bacteroidetes, as reported (Ley et al., 2005) (Figures S3A and S3B). Regardless of diet, LDP modulated multiple taxa (Figure S3C), including *Lactobacillus*. Focusing on early life (Figure 4G), LDP affected multiple taxa, some of which were consistently modulated across experiments (Table S1). Rikenellaceae levels, highest in control mice at 4 weeks, diminished with age but were markedly reduced by LDP (Figure 4H). Levels of genus *Lactobacillus*, comprised predominantly of *L. reuteri* and *L. vaginalis*, also were reduced (Figures 4I–4J), although less than prior (Figure 2N). These studies reveal that LDP and HFD have independent selective effects, with LDP consistently linked with specific microbial changes.

### Early-Life Metabolic Programming: Limited Antibiotic Exposures Lead to Durable Phenotypes

Hypothesizing that early life was the critical period for programming host-microbe metabolic interactions, we sought to determine whether microbiota disruption limited to early life could induce metabolic effects. In addition to long-term (28 week) LDP or none (control), groups of mice received 4 or 8 weeks of LDP (Figure 5A), and to accelerate metabolic phenotypes, all were switched to HFD at 6 weeks of age. In female mice, all LDP groups developed elevated total, lean, and fat mass compared to controls, irrespective of LDP duration (Figure 5B). Compared to controls, following switch to HFD, female LDP mice had significantly elevated caloric intake (Figure 5C) and significantly faster total and fat mass accumulation rates from 6 to 20 weeks of age (Table S2). Later in life (weeks 20–28), all three LDP groups showed significantly slower rates in lean mass growth compared to controls, indicating catch-up by the

### Figure 2. Dynamics of Microbiota and Adiposity Changes over 30 Weeks of Life

(A) Study design: female and male C57B/L6 mice received LDP (n = 10 males and females) or no antibiotics (control, n = 9 males and 10 females) for 30 weeks. (B–E) (B and C) Body fat percent over time determined by DEXA scanning in female and male mice. Serum levels of (D) peptide YY (PYY) and (E) leptin at week 30 in male mice. \* $p < 0.05$  significantly different by t test for (B)–(E) and (Q).

(F–Q) Fecal specimens obtained at weeks 4, 16, and 26, and the terminal (week 30) cecal and ileal specimens from male mice were examined by sequencing the V1-2 region of the bacterial 16S rRNA gene.

(F–J) Principal coordinate analysis (PCoA) of unweighted UniFrac distances of the intestinal microbiota at each sample point; p values listed for differential clustering assessed by ADONIS test.

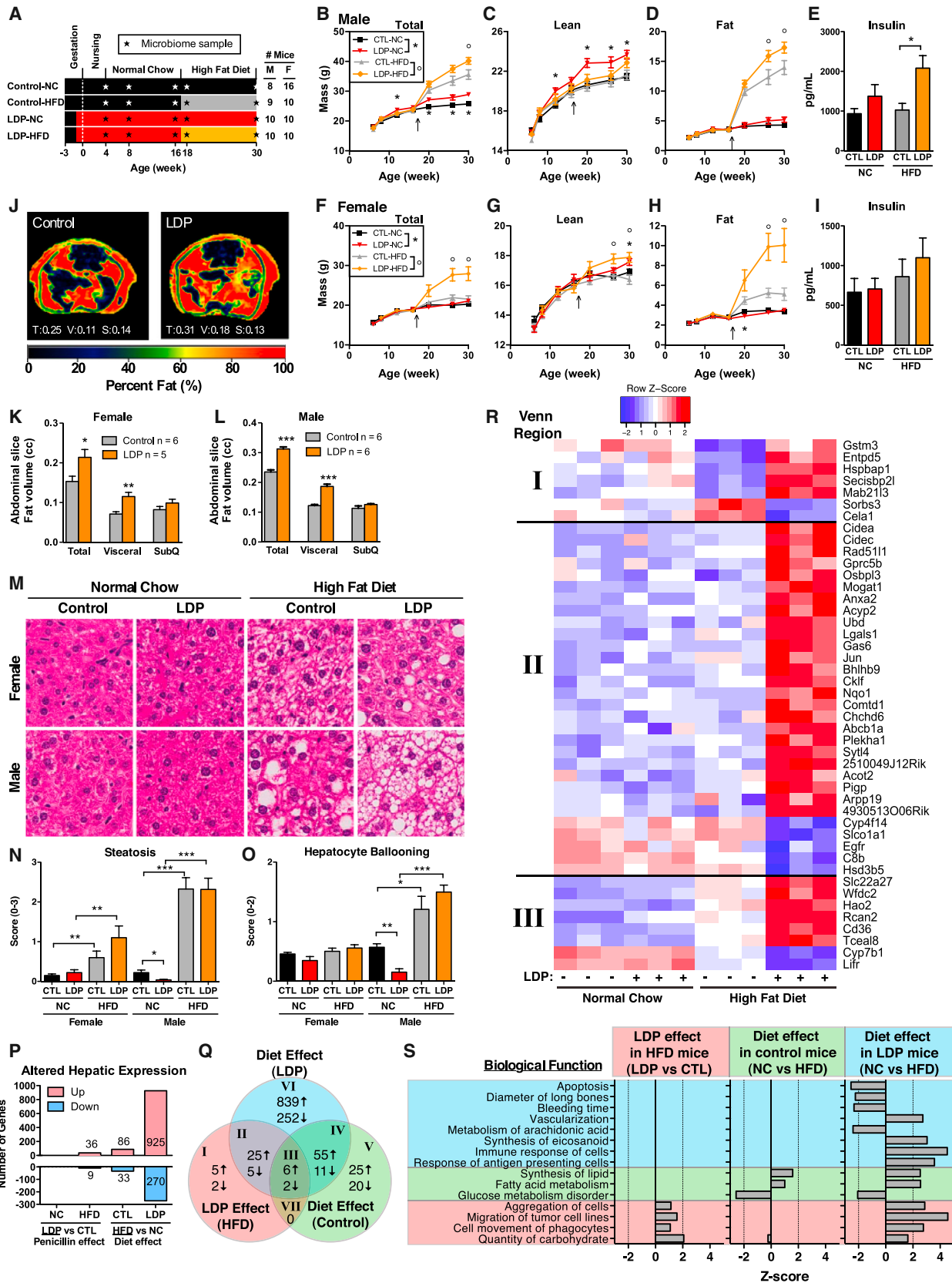
(K) Divergence within (control versus control, LDP versus LDP) and between communities (control versus LDP) measured by unweighted UniFrac distance. The UniFrac matrix was permuted 10,000 times; p values represent fraction of times permuted differences were greater than real distances; \* $p < 0.05$ , \*\* $p < 0.01$ , and \*\*\* $p < 0.001$  significantly different from intragroup distance.

(L) Mean relative abundance of predominant bacteria (>1% in any sample) in control and LDP mice. Taxa are reported at the lowest identifiable level, indicated by the letter preceding the underscore: o, order; f, family; g, genus; s, species.

(M) Cladograms, generated from LEfSe analysis, represent taxa enriched in control (green) or LDP (red) 4-week fecal microbiota. The central point represents the root of the tree (Bacteria), and each ring represents the next lower taxonomic level (phylum through genus). The diameter of each circle represents the relative abundance of the taxon. When full identification was not possible, g\_ or s\_ alone was used for genus or species, respectively.

(N–P) Relative abundance of *Lactobacillus*, *Candidatus Arthromitus* (SFB), and *Allobaculum*; asterisks indicate level of significance by Mann-Whitney U test, see also Figure S1 and Table S1.

(Q) Phylogenetic diversity in control and LDP male mice (mean  $\pm$  SEM), calculated at a uniform depth of 4,000 sequences/sample.



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control mice. Male LDP mice showed early elevations in total, lean, and fat mass but did not have increased food intake or feed efficiency from 6 to 8 weeks of age (Figures S4A–S4D), and the early-life changes in body composition were lost with aging, which is consistent with increased early-life and gender-dependent sensitivity to HFD (Goyal et al., 2012; Pettersson et al., 2012), which may override microbe-mediated effects.

Disruption of defenses at the intestinal interface has been implicated in obesity (Cani et al., 2008; Henao-Mejia et al., 2012; Vijay-Kumar et al., 2010). By age 4 weeks, LDP induced substantial histopathologic effects in ileal tissues, notably shortened villi (Figures 5D and 5E), which is consistent with changed ileal architecture in LDP-mediated livestock growth promotion (Gaskins et al., 2002; Visek, 1978). Transcriptional profiling analysis of intestinal tissue by microarray (Figure S4E) and subsequent validation by Nanostring analysis revealed that the ileal atrophy from LDP was associated with a general decreased expression of genes involved in intestinal immune responses, with numerous consistencies across gender (Figure 5F and Table S3). LDP decreased expression of genes related to several biological functions, such as differentiation, activation, recruitment, and adhesion of immune cells, and functions specifically related to antigen-presenting cells, T cells, B cells, and phagocytic cells (Figure S4F).

The gut microbiota influences the composition of CD4+ T cells within the intestinal mucosa (Hooper et al., 2012). LDP reduced expression of transcription factors and cytokines important for Th1 and Th17 cell differentiation and function (Figures 5G–5N), with significant effects in males and consistent trends in females. Antimicrobial peptides  $\beta$ -defensin 1 (*Defb1*) and regenerating islet-derived protein 3 gamma (*RegIII $\gamma$* ) were also downregulated by LDP (Figures 5O and 5P). To confirm the Th17 cell alterations, we performed flow cytometric analysis on lamina propria cells isolated from the ileum and colon in 8-week-old male mice. These showed reduced CD4+ IL-17- or IL-22-positive cell populations after LDP (Figure 5Q). Taken together, these results indicate that intestinal immune responses are globally reduced after LDP.

Next, we examined the effects of limited early-life LDP on the microbiota (Figure S5). By UniFrac analysis, the microbial communities in the females were distinct between control and LDP

from the earliest time point studied (3 weeks) to the end of the experiment (28 weeks) (Figures 6A–6C, ADONIS test, Table S4). However, after antibiotic cessation, community structure progressively reverted to normal in the fecal, cecal, and ileal samples. To assess community structure patterns according to age, we examined intragroup phylogenetic distances. In infancy, the microbiota of control mice are highly diverse, becoming more homogeneous as mice age, a pattern that is also observed in all LDP groups (Figure 6D and Table S5). After antibiotic cessation, microbiota from the 4-LDP and 8-LDP mice become no more distant from the control mice than the intragroup control variation, demonstrating recovery; however, the 28- (continuous) LDP microbiota divergence never normalized (Figure 6E and Table S5), and in the complementary analyses, as expected, the opposite pattern was observed (Figure 6F). All told, the community analyses demonstrate early-life individual host microbiota variability that decreases during maturation and that microbial populations are only transiently disrupted by limited duration LDP, yet the effects on phenotype are lifelong (Figure 5B).

LDP induced numerous compositional changes in the microbiota (Figure 6G), and HFD had further effects. After HFD was started, control mouse *Allobaculum* markedly increased with concomitant decreases in *Akkermansia mucinophila* and an unidentified Bacteroidales S24-7 family member. After antibiotic cessation in the 4-LDP and 8-LDP mice, the patterns associated with HFD exposure of the control mice began to emerge but were never present in the 28-LDP mice. The persistent phenotypes after LDP cessation, despite microbiota normalization, provide evidence that the early-life microbiota influences adult body composition. LDP suppressed early-life *Lactobacillus*, *Allobaculum*, Rikenellaceae, and *Candidatus Arthromitus* (SFB) (Figures 6H and 6I) as observed in the prior experiments (Table S1), further implicating them as candidate protective taxa; these organisms were positively correlated with markers of ileal immunity (Figure 5J).

### LDP-Altered Microbiota Are Sufficient to Induce Metabolic Changes

Although the above observations suggest that the change in the microbiota is driving the metabolic and immunologic effects,

### Figure 3. Effects of Combining LDP and HFD

(A) Study design: Male and female mice either received LDP from birth or did not receive antibiotics (control, CTL). All mice were started on a NC diet, and then half were maintained on normal chow and half switched to a HFD at week 17.

(B–O) \* $p < 0.05$ , \*\* $p < 0.01$ , and \*\*\* $p < 0.001$ , t test for (B)–(L); and Mann-Whitney U for (N) and (O). Graphs are displayed as mean  $\pm$  SEM.

(B–H) Body composition consisting of total, lean, and fat mass in male and female mice determined by DEXA.

(E and I) Fasting insulin in week 30 mice.

(J–L) Distribution of abdominal body fat, determined by MRI at week 26 ( $n = 5$ –6 each).

(J) Images show representative male mice; numbers represent the volume of total (T), visceral (V), and subcutaneous (S) fat mass in three consecutive slices.

(K–L) Abdominal adiposity, quantified by total, visceral, and subcutaneous fat in three consecutive cross-sections in female and male mice.

(M–O) Hepatic histopathology: (M) Representative hematoxylin and eosin (H&E)-stained sections. (N and O) Steatosis and hepatocyte ballooning scores.

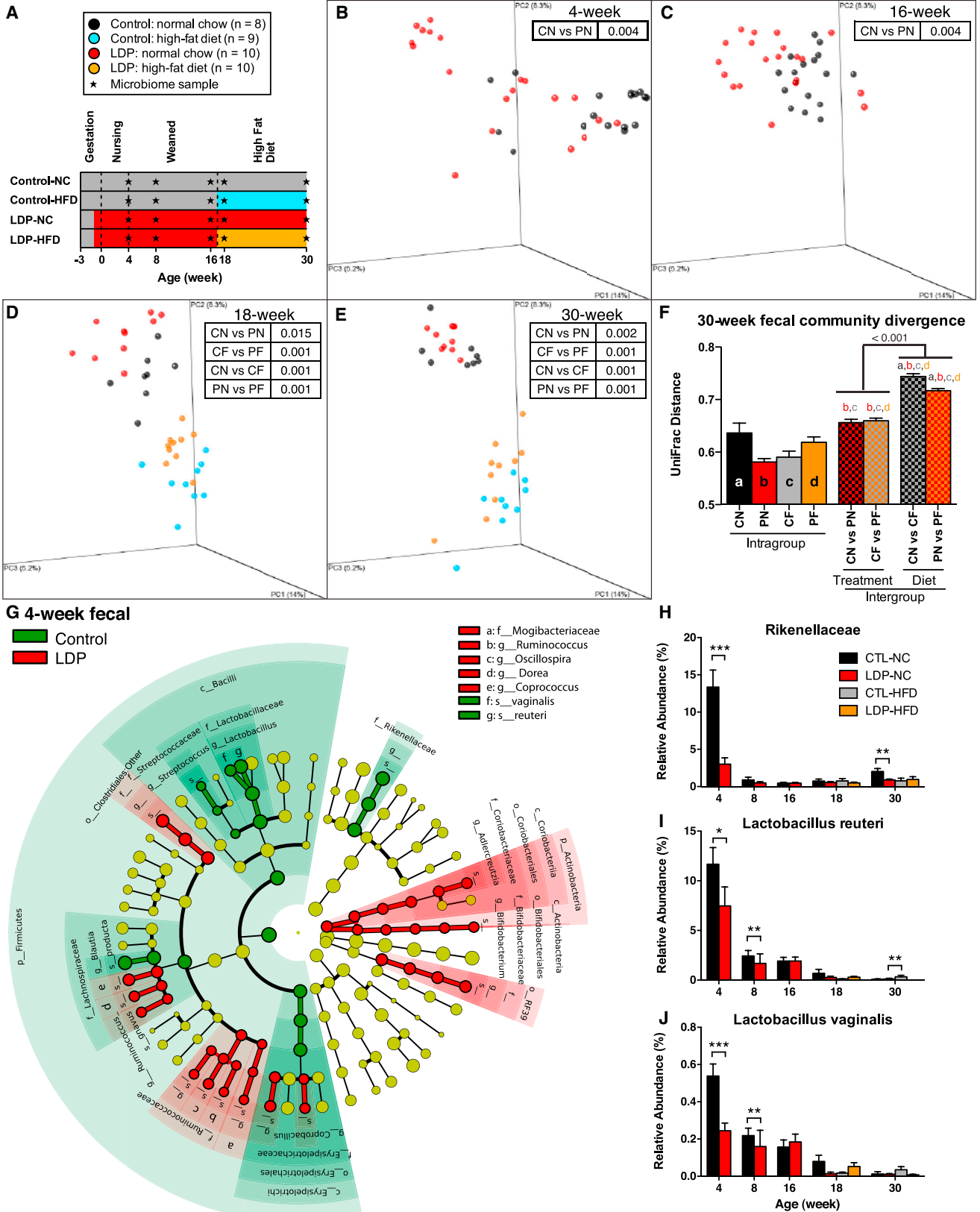
(P–S) Hepatic gene expression, measured by microarray in male mice at 30 weeks of age ( $n = 3$  in each of the four exposure groups).

(P) Number of genes that have significant (FDR-adjusted  $p < 0.05$ ) hepatic expression differences comparing either LDP treatment or dietary changes that are up- or downregulated in the underlined group with respect to the nonunderlined group.

(Q) Comparative analysis of differential hepatic gene expression. Venn diagram indicates the number of overlapping genes in three separate pairwise comparisons, LDP effect in HFD mice (LDP versus CTL), diet effect in control mice (HFD versus NC) and diet effect in LDP mice (HFD versus NC).

(R) Heatmaps depict gene expression values of Venn regions I–III (see also Figure S2 for regions IV–VI).

(S) Predicted biological functions that are differentially represented, ( $p < 0.05$ ,  $z$ -score  $> |2|$ ) based on Ingenuity Pathway Analysis of microarray measurements of hepatic gene expression.



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they also could reflect the influence of the administered LDP on development. To eliminate the direct effects of penicillin, we transferred cecal microbiota from 18-week-old female control or LDP mice ( $n = 3$  each) (Figure 7A) to 3-week-old female germ-free Swiss-Webster mice. Although the strains of murine microbiota donor and germ-free recipient were not the same, this approach has been used to test the causality of altered microbiota between differing mouse strains (Vijay-Kumar et al., 2010) and host species (humans and mice) (Ridaura et al., 2013). The LDP-microbiota recipients increased total mass and fat mass at a faster rate (rate differential = 0.078 g per day total [ $p = 0.01$ ], 0.058 g per day fat [ $p = 0.0012$ ]) compared to controls; no changes were detected in lean mass (Figure 7B). These findings indicate that the host metabolic changes are driven by the LDP-altered microbiota and are not dependent on direct antibiotic effects on the host.

As we now expected, the LDP microbiota donor mice had decreased ileal expression of genes involved in Th17 populations and antimicrobial peptides (Figure 7C). At 8 weeks of age, the LDP-microbiota recipients showed similar trends to the donor mice (Figure 7D). The LDP-altered microbiota also changed immunological gene expression compared to the recipients of the control microbiota (Figure 7E), and these changes were related to predicted biological functions similarly altered in the 8-week-old male and female mice directly exposed to LDP (Figure S4F), including decreased differentiation, activation, adhesion, recruitment, and quantity of immune cells. These studies provide evidence that altered microbiota can mediate changes in intestinal immune gene expression and are consistent with the global reduction in intestinal immune responses observed in the LDP-exposed microbiota donors (Figures 5F–5Q).

In contrast to the conserved ileal gene expression functional changes with LDP, hepatic gene expression was more specific to the experimental conditions (Figures S4G and S4H and Table S3). Nevertheless, we found consistencies, such as genes related to organismal death in males and females directly exposed to LDP, but few such differences were also observed in the transfer experiment (Figure S4I).

#### Microbe-Host Associations in Microbe-Induced Obesity

In the absence of further antibiotic selective pressure, the recipient-microbiota communities remained distinct, based on their respective donors (Figures 7F–7I and Table S6). Next, we asked whether specific taxa were differentially represented in the transfer recipients in the early time points (1–14 days posttransfer), prior to detected phenotype development. LEfSe

analysis (Figure 7I) identified many of the same taxa as in prior experiments (Table S1), including *Lactobacillus*, *Allobaculum*, and Rikenellaceae (Figures 7J–7L). These organisms were negatively correlated with total and/or fat mass (Figure 7M) and positively correlated with expression of the Th17 transcription factor *Ror $\gamma$ t* and cytokine *Il17f* and antimicrobial peptides *RegIII $\gamma$*  and *Relm $\beta$* , which is consistent with prior experiments.

Predicted metagenomic analysis, performed using PICRUSt (Langille et al., 2013), showed substantial commonalities at multiple time points and across experiments (Figure S6). In particular, recipients of LDP or of LDP-microbiota showed increased representation of predicted Kyoto Encyclopedia of Genes and Genomes (KEGG) pathways involved in protein and lipid biosynthesis, whereas the control and control-microbiota recipient mice were predicted to have increased carbohydrate and terpenoid biosynthesis pathway representation. These results indicate potential functional shifts reflecting the compositional differences.

#### Microbe-Induced Obesity Is Limited in Sequential Transfers

Finally, to address whether the LDP growth promotion effect would continue after a period of recovery from antibiotic selective pressure, a second microbiota transfer to germ-free mice was performed. Cecal microbiota were collected from three control-microbiota recipients (CR1) or LDP-microbiota recipients (PR1) at 8 weeks of age, pooled, and transferred to new groups of germ-free mice (CR2 and PR2) (Figure S7A). At 23 days post-transfer, both the CR2 and PR2 mice were lean compared to PR1 mice, with no significant adiposity differences over 2 months (Figures S7B–S7E). Although the CR2 and PR2 mice continued to have distinct fecal microbial community structures, intergroup distances were decreased compared to the first transfer, and the candidate protective taxa were not suppressed in the PR2 recipients (Figures S7F–S7L and Table S1). Thus, in a sequential transfer without direct antibiotic exposure, there was normalization of the metabolic and the ecologic phenotypes; the restoration of *Lactobacillus*, *Allobaculum*, and Rikenellaceae populations further strengthens the evidence for a putative protective role.

#### DISCUSSION

We have proposed microbe-induced obesity (MIO) as a condition in which excessive adiposity results from primary perturbation of the microbiota, in contrast to diet-induced obesity (DIO) or

#### Figure 4. The Effect of HFD and LDP on Intestinal Microbiota Composition

(A) Study design: control (C) mice did not receive antibiotics; LDP (P) mice received LDP from birth. All mice were started on normal chow (N), and then half were switched to HFD (F) at week 17. Microbiota samples were collected longitudinally for 16S rRNA sequencing.

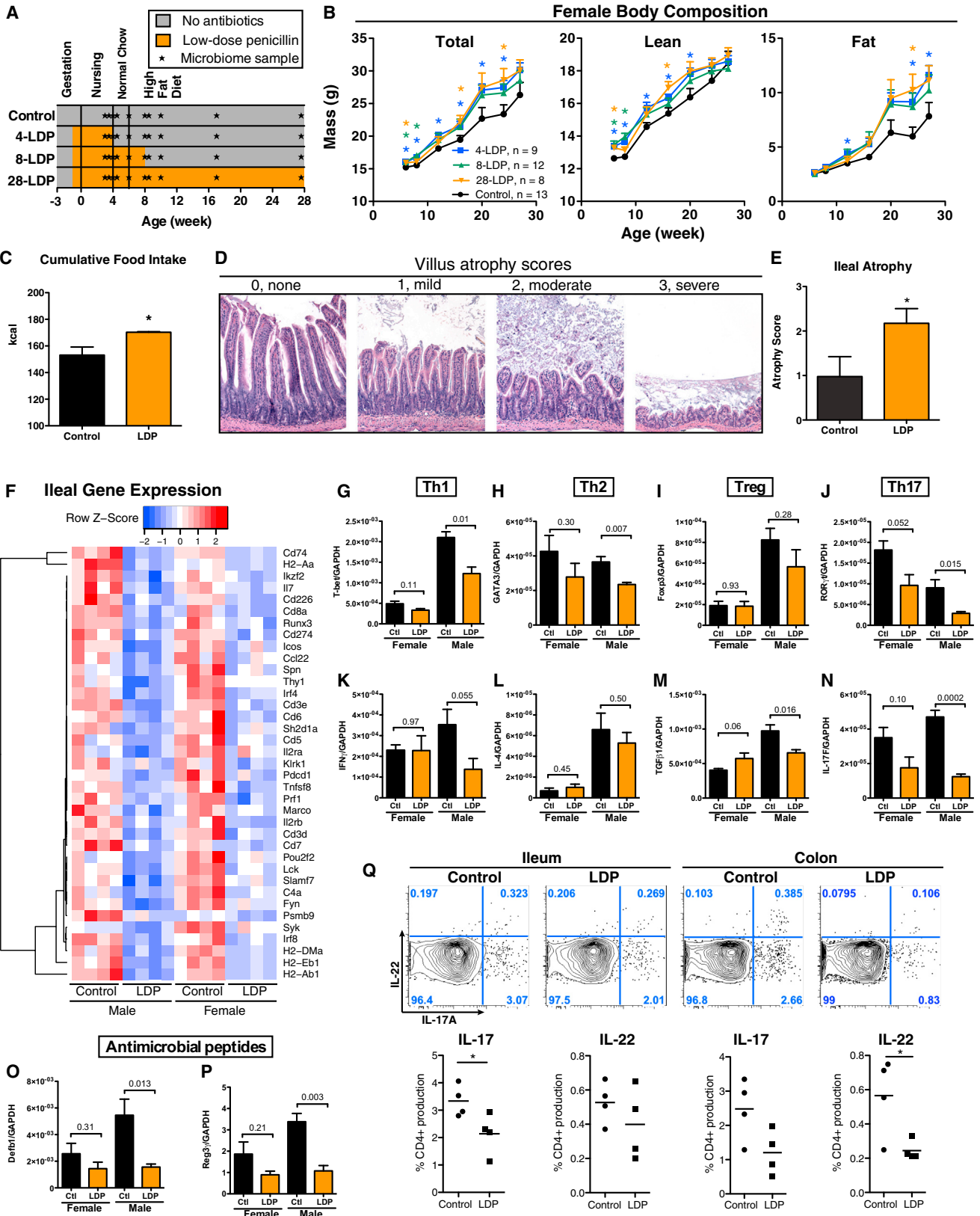
(B–E) PCoA of fecal microbiota samples at weeks 4, 16, 18, and 30; p values for differential clustering assessed by ADONIS test are indicated in the insets.

(F) Mean pairwise intra- and intergroup unweighted UniFrac distances of week 30 fecal microbial, letters a–d indicate significant differences with respect to intragroup distances,  $p < 0.05$  based on 10,000 permutations.

(G) Cladogram representing taxa enriched in 4 week fecal samples in control or LDP mice, detected by the LEfSe tool.

(H–J) Relative abundance of Rikenellaceae, *Lactobacillus reuteri*, and *Lactobacillus vaginalis* in fecal samples from weeks 4–30; \* $p < 0.05$ , \*\* $p < 0.01$ , and \*\*\* $p < 0.001$ , Mann-Whitney U test. Graphs are displayed as mean  $\pm$  SEM.

See also Figure S3.



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gene-induced obesity (GIO) (Cox and Blaser, 2013). We had developed a mouse model of MIO, showing that subtherapeutic antibiotic treatment (including LDP) delivered at weaning led to increased adiposity (Cho et al., 2012). We now indicate five characteristics of MIO. First, early life is the critical window of host-microbe metabolic interaction, in which preweaning microbiota perturbation promotes growth and is sufficient for lasting metabolic changes. Second, even with exposures limited to infancy, the elevated adiposity emerges later, in early to mid-adulthood. Third, penicillin exposures amplify DIO and effects on hepatic gene expression, metabolic hormone levels, and visceral fat accumulation. Fourth, the altered microbiota alone, not continued LDP exposures, is causal. That germ-free chickens do not gain weight in response to low-dose penicillin also provides evidence that the penicillin-altered microbiota drives metabolic changes (Coates et al., 1963). Fifth, the transmissibility of the phenotype is lost with successive transfers, indicating that phenotypic recovery is possible when antibiotic exposure stops. In total, our work extends the MIO concept and defines key features of the model.

We performed our studies using penicillin, a  $\beta$ -lactam antibiotic, the class most frequently prescribed to children in the US and worldwide (Chai et al., 2012; Clavenna and Bonati, 2011). As in other animal models of disease, we found variability in the timing and extent of phenotype emergence with notable gender differences (Markle et al., 2013; Pettersson et al., 2012; Yurkovetskiy et al., 2013). Nevertheless, LDP consistently enhanced growth, affecting lean, fat, or bone or a combination thereof.

We also have identified key microbiota characteristics in this MIO model. First, consistent with our earlier findings (Cho et al., 2012), LDP exposure was not associated with reduced microbial abundance or taxonomic diversity, indicating that compositional differences drive MIO. Second, after antibiotic cessation, the microbiota returns to a normal population structure; however, metabolic consequences last, providing evidence that early-life microbiota participate in long-term metabolic programming. Third, particular organisms were consistently under-represented in LDP mice prior to phenotype development (Table S1), suggesting that their loss during a critical window is detrimental. These findings are consistent with prior studies showing that early life changes in metabolism or exposure to antibiotics predict later adiposity in rodents (Knittle and Hirsch, 1968) and humans (Ajslev et al., 2011; Murphy et al., 2013; Trasande et al., 2013).

Among the four candidate protective organisms, modulation of host metabolism by *Lactobacillus* (Bejerinck, 1901) is known (Million et al., 2012), whereas the associations with *Allobaculum*, Rikenellaceae, and *Candidatus Arthromitus* (SFB) are novel. Finding associations with the recently described *Allobaculum* (Greetham et al., 2004), recently classified family Rikenellaceae (Collins et al., 1985), and with the long-known (Leidy, 1849) (but not yet cultured) SFB indicate the ability of sequence-based approaches to identify new host-microbe interactions. All microbiota members do not equally impact the host; prior studies indicate that these four organisms have either metabolic and/or immunologic interactions (Daniel et al., 2014; Ivanov et al., 2009; Karlsson et al., 2011; Martínez et al., 2009; Ravussin et al., 2012), which may contribute to the observed protection from weight gain in the control animals.

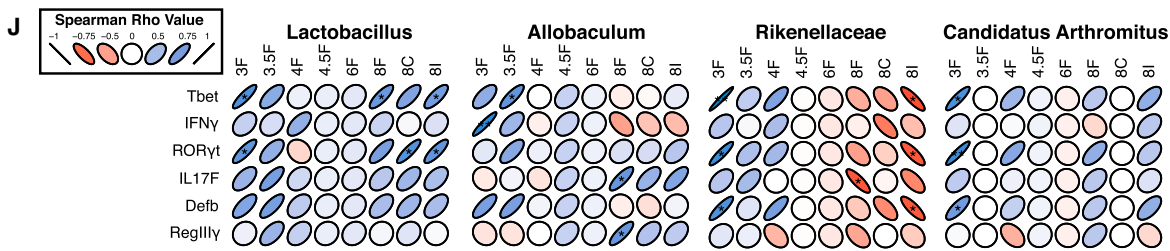
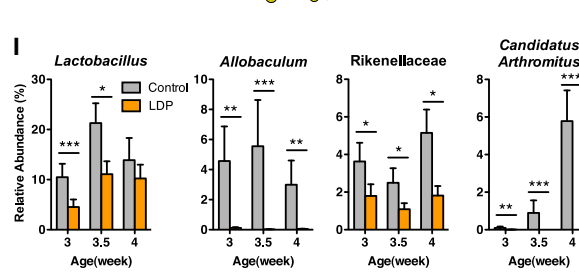
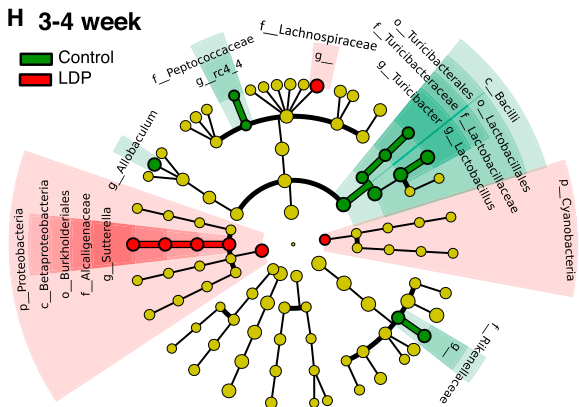
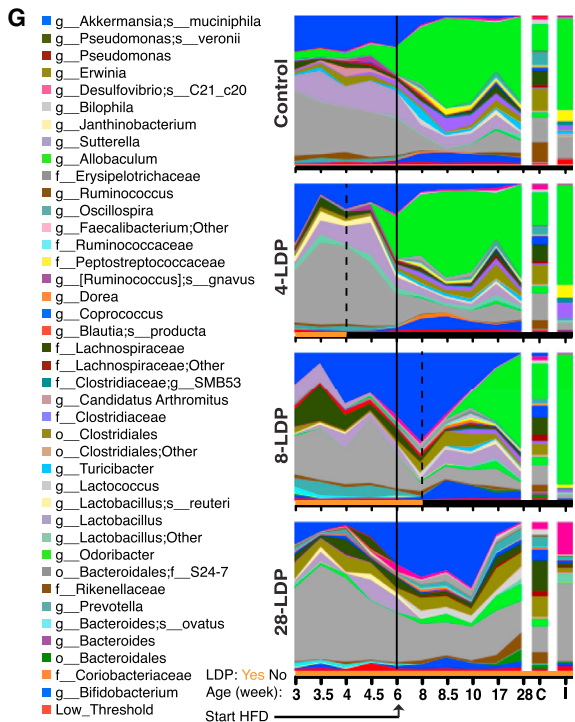
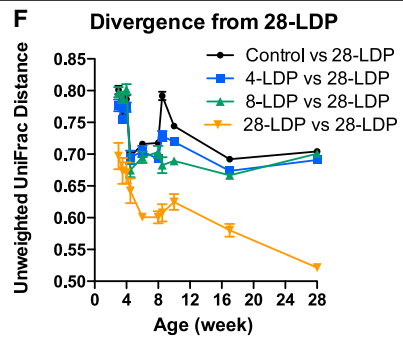
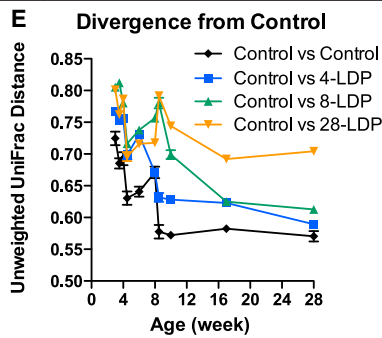
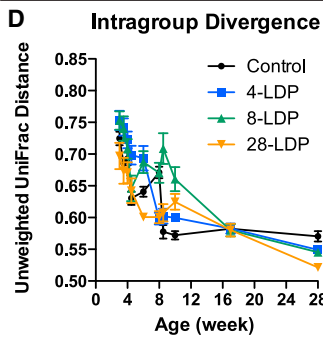
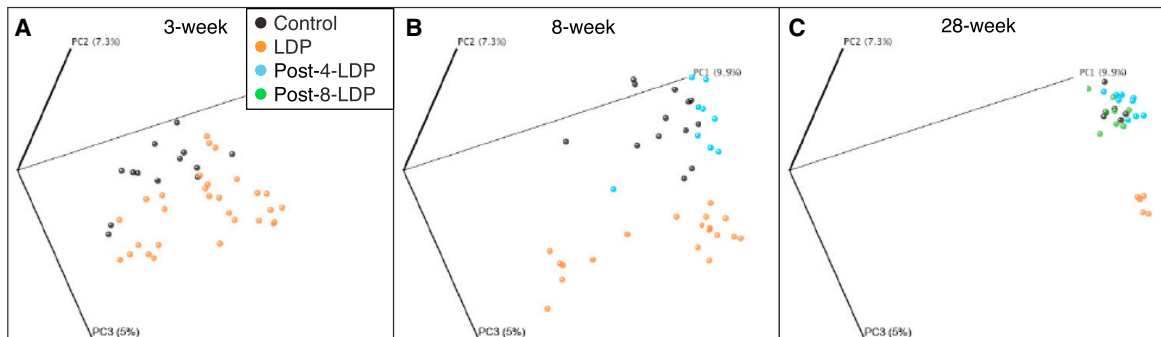
MIO could be mediated by alterations in the liver or the intestine. Although our results confirm the previously observed late-life hepatic effects (Cho et al., 2012), such as altered expression of genes involved in fatty acid metabolism (e.g., *Cd36*, *Ppar $\gamma$* , and *Fabp2*), there were few changes conserved in young males and females and in microbiota recipients. This suggests that the large number of hepatic gene expression changes in the 30 week males may be a consequence, rather than a cause, of obesity. Nevertheless, our studies show that LDP increased liver adiposity, visceral fat accumulation, and fasting insulin, indicating that microbiota disruption can worsen obesity-related complications.

Ileal changes are associated with altered hepatic gene expression and increased adiposity; reduced epithelial tight junction proteins (Cani et al., 2008), loss of inflammasome function (Henao-Mejia et al., 2012), lowered microbial surveillance (in *TLR5*<sup>-/-</sup> mice; [Vijay-Kumar et al., 2010]), or thinning of the intestinal mucus layer (Everard et al., 2013) can enhance translocation of bacterial products and drive systemic inflammation and obesity. The associations we observed of reduced ileal integrity and expression of intestinal defense genes are consistent with the prior studies and provide the complementary model of MIO, in addition to models that manipulate host genetic or dietary factors.

LDP suppressed genes involved in antibacterial responses, with processes involved in antigen presentation, Th17 cell differentiation, and bacterial killing. One explanation invokes inhibited colonization by highly interactive bacteria such as SFB, known to be penicillin sensitive (Davis and Savage, 1976), that promote Th17 gut responses (Ivanov et al., 2009). Regardless of SFB

### Figure 5. Early-Life LDP Induces Lasting Changes in Adult Body Composition

- (A) Study design: both male and female mice received 4 (4-LDP), 8 (8-LDP), or 28 (28-LDP) weeks of LDP or no antibiotics (control).  
 (B) Total, lean, and fat mass in female mice, measured by DEXA scanning. \* $p < 0.05$  significant difference at a single time point measured by t test. 4-, 8-, and 28-LDP mice had significantly increased rates of total and fat mass accumulation from 6–20 weeks of age (Table S2).  
 (C) Cumulative food intake for female mice over 12 days during weeks 6 to 8 ( $n = 4$  mice/group in metabolic cages).  
 (D) Histopathology: representative H&E-stained ileal sections with villus atrophy scores 0–3.  
 (E) Mean  $\pm$  SEM ileal atrophy score in male control and LDP mice ( $n = 5$  each) at 4 weeks of age, \* $p < 0.05$  Mann-Whitney U for (C) and (E).  
 (F–P) Expression of ileal genes that are significantly altered by LDP in both male and female mice ( $n = 4$  each) at 8 weeks of age, measured by the Nanostring Mouse Immunology Kit, genes shown  $p < 0.05$ , t test (see also Table S3). Ileal expression of transcription factors (G–J) and cytokines (K–N) representative of four T-helper cell lineages, and expression of antimicrobial peptides (O and P) measured by qPCR;  $p$  values shown for t tests for 8 week male and female mice,  $n = 4$  each.  
 (Q) Flow cytometry of ileal and colonic tissue from male control and LDP mice at 8 weeks of age ( $n = 4$ ) for IL-17 and IL-22, \* $p < 0.05$ , t test.  
 See also Figure S4. Graphs are displayed as mean  $\pm$  SEM.



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involvement in initial immunologic programming, other organisms also stimulate Th17 responses because SFB were absent from both control and LDP-microbiota recipients, which show altered Th17 markers. Two (*Lactobacillus* and *Allobaculum*) of the three other candidate protective taxa positively correlate with ROR $\gamma$ T and IL-17 expression, suggesting roles in intestinal Th17 differentiation. However, although there are fewer Th17 cells and diminished expression of genes involved in intestinal immune responses after LDP, our observations do not provide causal evidence that LDP is directly altering intestinal immune responses. Nevertheless, these findings are consistent with prior studies that have identified impaired intestinal barrier function and immunity as important factors in the development of metabolic syndromes (Cani et al., 2008; Henao-Mejia et al., 2012; Vijay-Kumar et al., 2010).

The metabolic consequences observed indicate that microbiota disruption during a critical developmental window can explain later life phenomena, including adult obesity. LDP may decrease particular early-life-protective bacterial populations, which is consistent with coevolution with microbes having specific interactions promoting metabolic fitness (Blaser, 2006). As “keystone” species, their reduction could lead to broad intestinal ecologic changes, permitting increases in taxa having alternate roles in metabolic or immunologic development (Chung et al., 2012). That the microbiota recovers, yet the phenotype remains, suggests that microbiota changes can affect metabolic programming; studies examining epigenetic regulation could help elucidate mechanisms of host-microbe interaction.

Epidemiologic studies provide evidence that antibiotic exposures in human infancy can lead to increased weight later in life (Ajslev et al., 2011; Murphy et al., 2013; Trasande et al., 2013). Combining multiple mouse models with high-throughput microbial 16S rRNA profiling, we identified key microbiota members impacted by antibiotic treatment and linked with host physiologic changes. If humans have analogous organisms, their loss in early life due to collateral antibiotic effects may have life-long consequences. Restoration of lost taxa following early-life antibiotic exposures is a potential strategy to reverse MIO and related sequelae. Nevertheless, humans have greater dietary and lifestyle variation than do experimental mice, which introduces factors that affect recovery from metabolic disruption or susceptibility to weight gain. Further studies are needed to examine specific metabolic interactions of key members of the early-life microbiota and to determine whether these changes are important in humans.

## EXPERIMENTAL PROCEDURES

### Animals

All animal experiments were performed according to IACUC-approved protocols. LDP was delivered via drinking water as described (Cho et al., 2012). Control mice did not receive antibiotics. Diets used in the studies were either NC (Purina Mills International Diet 5001, 13.5% kcal from fat), or HFD (Rodent Diet D12451, Research Diets, 45% kcal from fat). For the microbiota transfer experiments, cecal contents were collected from three microbiota donors from each group and placed in a prerduced anaerobically sterilized liquid dental transport medium (Anaerobe Systems, Morgan Hill), pooled in sterile prerduced saline under anaerobic conditions, and then transferred to 3- to 4-week-old germ-free Swiss Webster mice via oral gavage (control recipients  $n = 7$ ; LDP recipients  $n = 8$ ). The microbiota recipient mice were housed in autoclaved cages under specific pathogen-free conditions and fed irradiated HFD, as the donor mice had been. See [Extended Experimental Procedures](#) for details on individual experiments.

### Body Composition Analysis

Body composition was determined using dual energy X-ray absorptiometry (DEXA) with a Lunar PIXImus II mouse densitometer (GE Medical Systems, Waukesha) and by MRI on a 7-tesla MRI system (Bruker Biospin MRI, Ettlingen). For the MRI, fat and lean tissues were determined using the iterative decomposition of water and fat with an echo asymmetry and least-squares (IDEAL) method (Reeder et al., 2004, 2005).

### Hepatic and Ileal Gene Expression Profiling

Total RNA was extracted by the RNeasy Mini Kit (QIAGEN, Valencia). For microarray analysis, expression profiling was performed using the Affymetrix Genechip Chip Mouse 430\_2 system (Affymetrix, Santa Clara). The raw microarray data were normalized using the Robust Multi-Array (RMA) method (Irizarry et al., 2003). The Limma package in R was used to fit a linear model to the expression data using empirical Bayes moderated  $t$  statistics (Smyth, 2004). RNA-seq libraries were generated using the TruSeq v2 RNA sample prep (Illumina, San Diego); libraries were sequenced with  $2 \times 50$  bp paired-end reads in an Illumina HiSeq 2500. Fastq files were processed as follows: alignment was done with STAR (Dobin et al., 2013) with an index build from the mm10 reference sequence. The genes annotated in UCSC mm10 were quantified with HTSeq-count v0.5.3p9 (Anders et al., 2014). Differential expression analysis was performed with the Bioconductor package DESeq (Anders and Huber, 2010). Ileal gene expression was measured by the nCounter GX Mouse Immunology Kit (Nanostring Technologies, Seattle).

### Flow Cytometry

Lamina propria mononuclear cells were isolated from the ileum and colon. Cells were stimulated with 50 ng/ml phorbol 12-myristate 13-acetate (PMA) and 500 ng/ml Ionomycin for 4 hr at 37°C in the presence of brefeldin A (GolgiPlug, Becton Dickinson, Franklin Lakes). Following this in vitro stimulation, cells were stained with LIVE/DEAD Fixable Aqua (Life Technologies), anti-CD3, and anti-CD4 and fixed in 4% paraformaldehyde in PBS and then permeabilized in Perm/Wash buffer (Becton Dickinson) and stained with

### Figure 6. Effect of Limited Early-Life LDP on the Intestinal Microbiota

(A–C) PCoA of the unweighted UniFrac distances computed from 16S rRNA sequences from (A) week 3, (B) week 8, (C) and week 28 microbiota in female mice receiving either 4, 8, or 28 weeks of LDP or not receiving antibiotics (control).

(D–F) Intragroup and intergroup divergence measured by unweighted UniFrac distances over time.

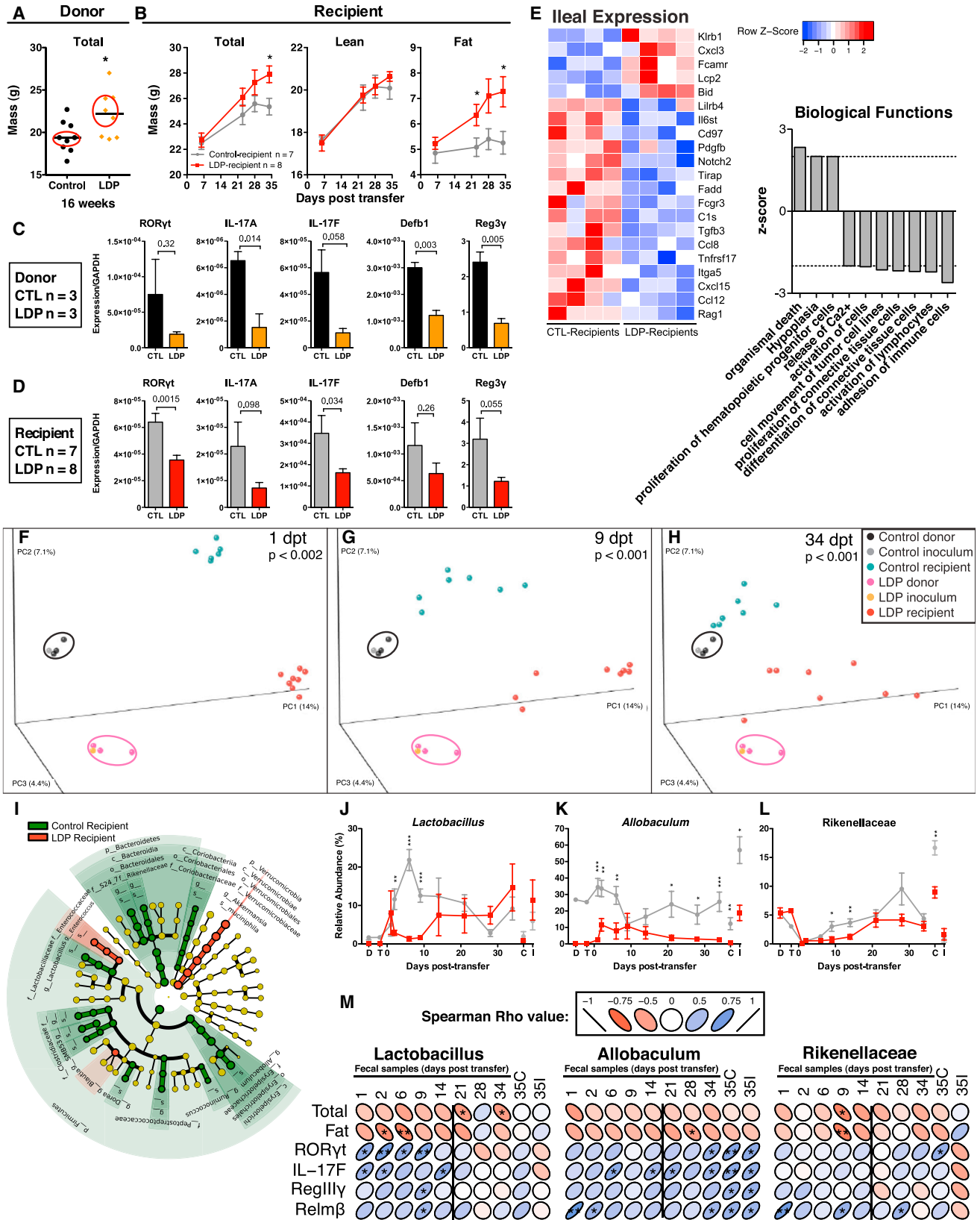
(G) Relative abundance of the major taxa (>1% in any sample) in the intestinal microbiota. Dotted lines show cessation of antibiotics, and the solid line shows start of HFD.

(H) Phylogenetic representation of the taxa in the week 3, 3.5, and 4 fecal samples significantly associated with control (green) or LDP (red), as determined by LEfSe.

(I) Relative abundance of *Lactobacillus*, *Allobaculum*, Rikenellaceae, and *Candidatus Arthromitus* (SFB) in 3–4 week fecal samples. Graphs are displayed as mean  $\pm$  SEM; \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ , Mann-Whitney U.

(J) Spearman correlation of relative abundance of early-life (weeks 3–8) *Lactobacillus*, *Allobaculum*, Rikenellaceae, and *Candidatus Arthromitus* (SFB) with expression of ileal genes involved in intestinal defense. \* $p < 0.05$  and \*\* $p < 0.01$ .

See also [Figure S6](#) and [Tables S4](#) and [S5](#).



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anti-IL-17A and anti-IL-22 (eBioscience, San Diego). Cells were acquired on an LSRII (Becton Dickinson) and analyzed with FlowJo (Tree Star, Ashland) software.

### Microbial Community Analysis

DNA was extracted using the MoBio PowerSoil DNA Extraction Kit, and the microbial 16S rRNA gene was amplified with barcoded fusion primers, targeting either the V1-2 (Fierer et al., 2008) or the V4 (Caporaso et al., 2012) region (Figure S5 and Table S7). The QIIME pipeline (Caporaso et al., 2010) was used for quality filtering of DNA sequences, demultiplexing, taxonomic assignment, and calculating  $\alpha$  and  $\beta$  diversity. Metagenomic content of the microbiota samples was predicted from the 16S rRNA profiles, and KEGG pathway functions were categorized at level 3 using the phylogenetic investigation of communities by reconstruction of unobserved states (PICRUSt) tool (Langille et al., 2013). Linear discriminant analysis effect size (LEfSe) (Segata et al., 2011) was used to detect significant changes in relative abundance of microbial taxa and predicted KEGG pathways between control and LDP mice.

### ACCESSION NUMBERS

The Gene Expression Omnibus (GEO) accession number for the hepatic microarray data, ileal microarray data, and hepatic RNA sequences reported in this paper are GSE58086, GSE58085, and GSE58089, respectively. The Sequence Read Archive (SRA) accession number for the microbial 16S rRNA V4 region DNA sequences reported in this paper is SRP042293.

### SUPPLEMENTAL INFORMATION

Supplemental Information includes Extended Experimental Procedures, seven figures, and seven tables and can be found with this article online at <http://dx.doi.org/10.1016/j.cell.2014.05.052>.

### AUTHOR CONTRIBUTIONS

M.J.B., L.M.C., and I.C. designed experiments and interpreted data. L.M.C. performed experiments and participated in analysis of the data. S.Y. and N.R. contributed to gene expression studies. J.S. performed experiments and contributed to data analysis. A.V.A. advised on microbiome analytical methods and performed data analyses. H.L. performed longitudinal modeling and other statistical tests. J.M.L. and P.L. performed flow cytometry and interpretation of immunologic data. S.K. designed and performed MRI experiments and advised in data analysis. Z.G. participated in gene expression studies and performed PICRUSt analyses. A.B.R., D.M., and J.G.Z.R. performed histological analyses. L.M.C. and M.J.B. were responsible for writing this manuscript, as reviewed by all authors.

### ACKNOWLEDGMENTS

We acknowledge assistance with sequencing from Argonne National Laboratory and NYULMC Genome Technology Center and help from the NYULMC Histology Core and the New York Genome Center. These studies were supported by NIH grants R01 DK 090989 and 1UL1RR029893, the Diane Belfer Program in Human Microbial Ecology, Knapp Family Foundation, and Daniel Ziff Foundation.

Received: December 19, 2013

Revised: April 21, 2014

Accepted: May 27, 2014

Published: August 14, 2014

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### Figure 7. Metabolic, Immunologic, and Ecological Consequences of Transferring LDP-Altered Microbiota

Cecal microbiota from three control and three LDP 18-week-old female C57B/L6J mice were collected, pooled, and transferred to 3-week-old germ-free female Swiss-Webster mice by oral gavage.

(A) Microbiota donors were selected based on the median total mass determined by DEXA scanning at week 16.

(B) Total, lean, and fat mass in the now-conventionalized germ-free control- and LDP-microbiota recipient mice (n = 7 and 8, respectively), measured by DEXA scanning over the 35 day experiment.

(C and D) Ileal gene expression in microbiota donor (n = 3 CTL, LDP) (C) and recipient (n = 7 and 8, CTL, LDP, respectively) (D) mice measured by qPCR; p values listed from t tests.

(E) Expression of ileal genes significantly different between control and LDP recipients (n = 4 each), measured by the Nanostring Mouse Immunology Kit, (p < 0.05, t test). Biological functions predicted to be significantly increased (p < 0.05, z > |2|) in LDP mice based on Ingenuity Pathway Analysis of Nanostring expression values.

(F–H) Community structure assessed by PCoA of unweighted UniFrac distances of microbiota samples: donor cecum; the transferred inoculum; and the recipient mouse fecal samples at days 1, 9, and 34 posttransfer (dpt). Significant differences in clustering between control and LDP were calculated by ADONIS test.

(I–L) (I) LEfSe cladogram depicting taxa in fecal samples from 1–14 dpt that are significantly increased in control (green) or LDP (red) specimens. Relative abundance of (J) *Lactobacillus*, (K) *Allobaculum*, and (L) Rikenellaceae, significant differences assessed by Mann-Whitney U. Graphs are displayed as mean ± SEM.

(M) Spearman correlations between host phenotypic measurements and candidate protective taxa found in multiple experiments. The vertical lines separate the early (prephenotype) time-points. \*p < 0.05.

See also Figures S6 and S7 and Table S6.

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