

## Review Article

# Vitamin D and the Immune System from the Nephrologist's Viewpoint

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Vitamin D and its analogues are widely used as treatments by clinical nephrologists, especially when treating chronic kidney disease (CKD) patients with secondary hyperparathyroidism. As CKD progresses, the ability to compensate for elevations in parathyroid hormone (PTH) and fibroblast growth factor-23 and for decreases in  $1,25(\text{OH})_2\text{D}_3$  becomes inadequate, which results in hyperphosphatemia, abnormal bone disorders, and extra-skeletal calcification. In addition to its calcitropic effect on the regulation of calcium, phosphate, and parathyroid hormone, vitamin D has many other noncalcitropic effects, including controlling cell differentiation/proliferation and having immunomodulatory effects. There are several immune dysregulations that can be noted when renal function declines. Physicians need to know well both the classical and nonclassical functions of vitamin D. This review is an analysis from the nephrologist's viewpoint and focuses on the relationship between the vitamin D and the immune system, together with vitamin's clinical use to treat kidney diseases.

## 1. Introduction

Chronic kidney disease (CKD) and end-stage renal disease (ESRD) are diseases that are increasing in the 21st century. Preventing progressive deterioration in renal function and its complications remains the main challenge that nephrology needs to fulfill. CKD is defined according to the glomerular filtration rate (GFR) and/or the presence of pathological damage to the kidneys or the presence of kidney damage markers, such as proteinuria or hematuria, for 3 months [1]. Many complications are found in these patients as the GFR declines; these include fluid overload, anemia, cardiovascular disease, malnutrition, protein energy-wasting, and mineral bone disorders (MBD). In the case of MBD, hyperphosphatemia, hypercalcemia, and hyperparathyroidism contribute to the development of vascular calcification and cardiovascular disease. As CKD progresses, compensation for the elevations

in parathyroid hormone (PTH) and fibroblast growth factor-23 (FGF-23) and for reduced levels of  $1,25(\text{OH})_2\text{D}_3$  becomes inadequate, resulting in hyperphosphatemia, abnormal bone disorders, and extra-skeletal calcification. In the Kidney Disease Outcomes and Quality Initiative (KDOQI) guideline [2] and the Kidney Disease: Improving Global Outcomes (KDIGO) guideline [3], activated vitamin D or its analogues are frequently used to treat patients with secondary hyperparathyroidism and to prevent the renal osteodystrophy. Therefore, how to use vitamin D and its analogues is an important aspect of clinical nephrology.

The classical actions of vitamin D are related to mineral metabolism and skeletal health. Vitamin D regulates blood calcium, phosphate, and parathyroid hormone concentrations by actions targeting the intestines, bone, parathyroid glands, and kidneys. In addition, nonclassical roles for vitamin D, including anticell differentiation and anticell

proliferative activity with respect to various cell types, have become more and more important. The anticell differentiation effect has been correlated with cancer epidemiology. Recently, serum vitamin D levels have been found to be inversely associated with many malignancies, including breast cancer [4], head and neck cancer [5], colon cancer [6], prostate cancer [7], and pancreatic cancer [8]. In a systemic review and meta-analysis, it was found that there was a moderate inverse association between 25-hydroxy vitamin D [25(OH)D] concentrations and total cancer incidence and mortality [9]. The antiproliferative properties of vitamin D have been clinically applied to the treatment of psoriasis. Using a vitamin D analogue together with steroid [10] or ultraviolet B (UVB) treatment [11] is useful when treating psoriasis.

In addition to the above, vitamin D has another important role in terms of noncalcitropic activity, its immunomodulatory effect. This immunomodulatory effect is based on the widely expressed vitamin D receptor (VDR) that is present in the immune system. This review will focus on the relationship between the vitamin D and immunity and explore current treatments using vitamin D in the clinical nephrology with the exception of mineral bone disorders.

## 2. Vitamin D Metabolism and Deficiency in Chronic Kidney Disease

Most people derive the bulk of their vitamin D from the exposure of their skin to UVB light, which is present in sunshine. The process starts with cholesterol in the skin, which is enzymatically converted to 7-dehydrocholesterol and then converted to an unstable compound, previtamin D, by the action of UVB. Nutritional sources, such as fatty fish and some types of mushrooms, also contain major forms of vitamin D, namely, cholecalciferol (vitamin D3) or ergocalciferol (vitamin D2) [12]. These are subsequently activated during a sequential 2-step process that first involves 25-hydroxylation in the liver to produce 25(OH)D and then 1-hydroxylation, which until recently was thought to occur primarily in the kidney, to produce the active product 1,25(OH)<sub>2</sub>D<sub>3</sub> or calcitriol [13–15]. The key enzyme in this process is 1 $\alpha$ -hydroxylase (CYP27B1), which is expressed primarily in proximal tubular epithelial cells of the kidney [16]. This enzyme is expressed in other parts of the kidney and in extra-renal tissues and cells as well [17]. An individual's serum 25(OH)D level is widely accepted to determine a person's vitamin D status [13, 18]. The main plasma carrier for vitamin D metabolites is vitamin D-binding protein (VBP) [19]. VBP has the highest affinity for 25(OH)D, and virtually all plasma 25(OH)D is bound to VBP [20]. The 25(OH)D-VBP complex is taken up by the proximal convoluted tubule via an endocytic receptor, megalin. The final step in the vitamin D metabolic pathway is its inactivation, a process catalyzed by 24-hydroxylase (CYP24A1) that catabolizes the conversion of both 1,25(OH)<sub>2</sub>D<sub>3</sub> and 25(OH)D into 1,24,25(OH)<sub>3</sub>D and ultimately into water-soluble calcitroic acid and the inactive blood metabolite 24,25(OH)<sub>2</sub>D [21, 22].

In patients with CKD, serum 1,25(OH)<sub>2</sub>D<sub>3</sub> levels decline early in the course of kidney dysfunction, even before any changes in serum calcium or phosphorus concentrations occur and prior to any rise in serum PTH levels [23, 24]. Rising FGF-23 levels may play an even greater role in controlling 1 $\alpha$ -hydroxylase activity [25, 26]. Serum values of FGF-23 are regulated by circulating phosphorus levels and values increase as CKD progresses, becoming markedly elevated in individuals with end-stage kidney disease [27]. In patients with CKD, calcitriol levels are inversely related to levels of circulating FGF-23, suggesting that the hormone may play a significant role in mineral metabolism. In total, 70% to 85% patients of CKD have low levels of 25(OH)D [28–30]. As a result of the substrate-dependent process that forms 1,25(OH)<sub>2</sub>D<sub>3</sub>, a low 25(OH)D level contributes to vitamin D deficiency [31]. Many other factors may also contribute to vitamin D deficiency, including a lack of sunlight exposure, a low protein diet (lack of vitamin-D rich food), reduced 1 $\alpha$ -hydroxylase activity resulting from a reduction in renal mass and tubular dysfunction [32], decreased skin synthesis of 1,25(OH)<sub>2</sub>D<sub>3</sub> in response to sunlight compared with an individual with normal kidney function [13], loss of 25(OH)D-VBP due to heavy proteinuria [33, 34], chronic illness, diabetes [35], and various other unknown factors [36]. On the other hand, an increase in 24-hydroxylase gene expression and an increase in the clearance of 1,25(OH)<sub>2</sub>D<sub>3</sub> with aging have also been reported [37, 38]. These findings suggest that the combined effect of a decline in the ability of the kidney to synthesize 1,25(OH)<sub>2</sub>D<sub>3</sub> and an increase in renal metabolism of 1,25(OH)<sub>2</sub>D<sub>3</sub> may contribute to the high prevalence of vitamin D deficiency among CKD patients.

## 3. Immune Dysregulation in CKD Patients

CKD patients and ESRD on the replacement therapy patients have significant immune dysregulation as compared with the general population and, subsequently, have a high susceptibility to infection and a high incidence of malignancy, a poorer response to vaccination, and increased levels of cardiovascular disease [39–42]. Uremia and its treatment cause immune alterations in hemodialysis patients [43]. Several factors influence the immunity of these patients, such as uremic toxin, malnutrition, chronic inflammation, vitamin D-parathyroid hormone axis alternation, and therapeutic dialysis [44–46]. Many studies have shown that both the naïve and the acquired immune systems are impaired in these patients. Due to their immunity dysregulation, these patients have more vascular calcification, accelerated atherosclerosis, a loss of appetite, increased insulin resistance, increased muscle catabolism, renal osteodystrophy, and a high prevalence of depression [47, 48]. They also have coexisting chronic immune activation (persisted hypercytokinemia and acute-phase protein response) and chronic immune suppression (a poor vaccination response and a high incidence of infection and malignancy).

Monocytes and monocyte-derived dendritic cells of CKD patients are impaired with respect to endocytosis and maturation [49], while, in parallel, uremia suppresses immune

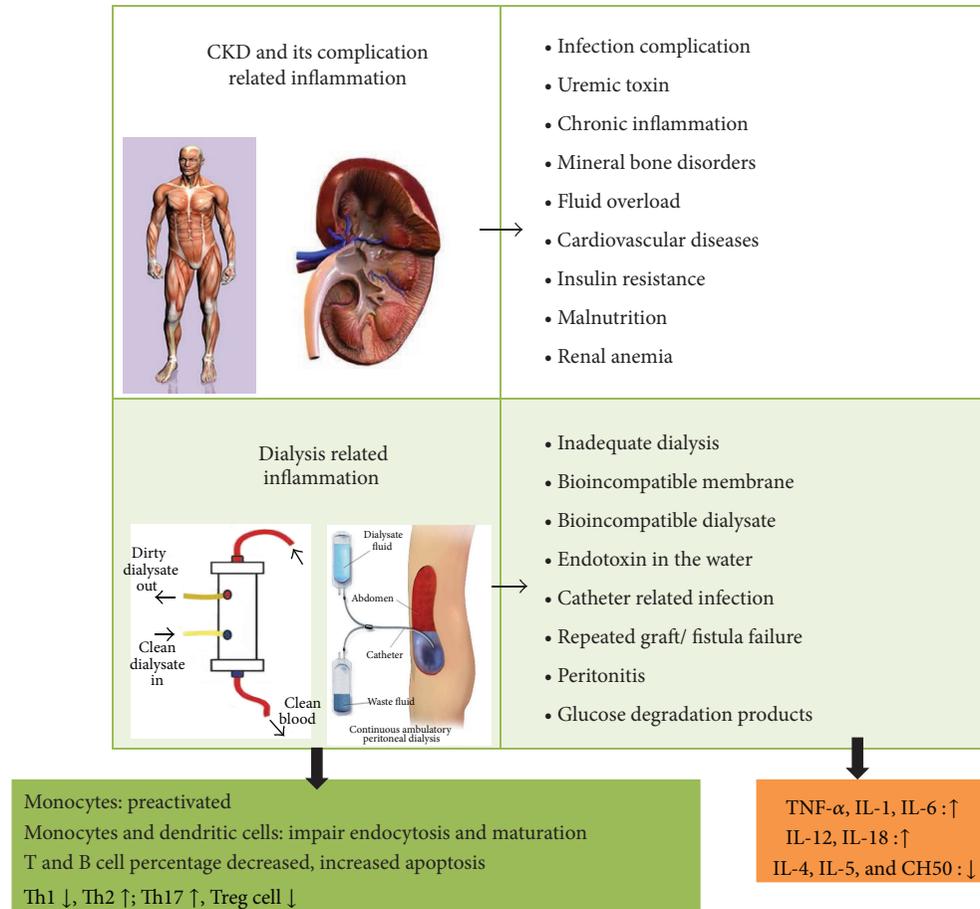


FIGURE 1: Several factors are related to immune dysregulation when renal function declines and when a patient is on renal replacement therapy.

signal-induced CYP27B1 (encoding for  $1\alpha$ -hydroxylase) expression in human monocytes [50]. CKD patients have a lower percentages of peripheral  $CD4^+$  T lymphocytes,  $CD8^+$  T lymphocytes, and B lymphocytes in the blood [51]. Further, soluble B lymphocyte markers are increased in CKD patients [52], while other studies have also shown that there is an increased incidence of B cell apoptosis in these patients [53]. ESRD patients show increased apoptosis and a diminished populations of naïve and central memory T cells [54], together with impaired antigen-specific memory  $CD4^+$  T cells [55]. In dialysis patients, Th1 lymphocytes show decreased expression of the antiapoptotic molecule Bcl-2, which makes the Th1 cells more susceptible to apoptosis [56]. A similar decline in Th1 cell population and the enhancement in Th2 differentiation have also been noted in CKD and dialysis patients [30, 57, 58]. In addition, we have recently shown that Th17 cells are increased in chronic HD patients, whereas Treg cells are decreased (submitted). This Th17/Treg functional imbalance exists in uremic patients and is associated with the development of acute cardiovascular

events including myocardial injury and microinflammation [59, 60].

Preactivated monocytes overproduce cytokines such as tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ), interleukin- (IL-)1, IL-6, and IL-10 [61, 62]. TNF- $\alpha$  and IL-1 are the major cytokines produced by activation of the Toll-like receptor (TLR) signaling pathway; this is the key receptor that recognizes lipopolysaccharides (LPS) [63]. In addition, IL-6, the proinflammatory cytokine, which has been shown to play a key role in atherosclerosis and protein-energy wasting, is elevated in the CKD patients [64–66]. Serum levels of IL-12 and IL-18 are both increased in CKD patients, and both of them are correlated with the inflammatory process [67, 68]. Moreover, high proinflammatory cytokine (IL-1, IL-6, and TNF- $\alpha$ ) levels and low anti-inflammatory cytokine (IL-4, IL-5, and CH50) levels have also been found in hemodialysis patients [69].

In addition to uremic toxin, dialysis-related factors such as bioincompatibility of the hemodialysis dialyzer, the presence of endotoxins in the water, access-related infection,

the presence of glucose degradation products in peritoneal dialysis solution, and the presence of advanced glycation end products are important; all of the above are able to induce chronic inflammation and will activate the immune response. Together, these findings indicate that, in general, CKD patients have immune dysregulation that includes both the cellular part and hypercytokinemia (Figure 1).

#### 4. Vitamin D and the Innate Immune System

The innate immune response, which includes natural killer cells, macrophages, and their monocyte precursors, plays a central role in initial responses to pathogenic organisms and/or tissue damage. Their role is to engulf pathogens and cell debris by phagocytosis and then eliminate or assimilate the resulting waste material. The earliest evidence of vitamin D effect on innate immunity came from the treatment of tuberculosis treatment with cod liver oil, which is a major source of vitamin D [70]. The action of vitamin D on macrophages includes the ability to stimulate the differentiation of precursor monocytes into more mature phagocytic macrophages [71–73]. Macrophages have their own  $\alpha$ -hydroxylase and require sufficient ambient levels of 25(OH)D substrate in order to generate internal 1,25(OH)<sub>2</sub>D<sub>3</sub>. Striking evidence of macrophage  $\alpha$ -hydroxylase activity is found in granulomatous conditions such as tuberculosis, sarcoidosis, and inflammatory bowel disease, where 1,25(OH)<sub>2</sub>D<sub>3</sub> levels may be markedly elevated [74]. In sarcoidosis patients there is increased production of 1,25(OH)<sub>2</sub>D<sub>3</sub> despite hypercalcemia. The disordered calcium homeostasis in sarcoidosis is due to dysregulation of the production of 1,25(OH)<sub>2</sub>D<sub>3</sub> by activated macrophages [75]. Unlike renal  $\alpha$ -hydroxylase, the  $\alpha$ -hydroxylase produced by macrophages is not suppressed by elevated calcium or by 1,25(OH)<sub>2</sub>D<sub>3</sub> and is upregulated by immune stimuli such as interferon gamma (IFN- $\gamma$ ) and LPS [76, 77].

Vitamin D, vitamin D receptor, and retinoid X receptor directly activate the transcription of antimicrobial peptides such as defensin  $\beta$ 2 and cathelicidin [78–80]. When monocytes are exposed to a pathogen, this will induce  $\alpha$ -hydroxylase and the vitamin D receptor after the pathogen is recognized by the TLR, which results in production of cathelicidin [81]. This cathelicidin will cleave microbial membranes and is upregulated in response to infections in humans; it acts against bacteria, viruses, and fungi [82–84]. In some critical sepsis patients, significantly lower serum 25(OH)D and cathelicidin levels have been identified [85]. The association between a low level of cathelicidin and death from an infectious cause has also been observed in hemodialysis patients [86]. In addition, our previous study also indicated that the presence of the C allele of –1237T/C in the TLR-9 gene increases susceptibility towards development of ESRD. Thus, patients with this functional TLR-9 promoter polymorphism had a higher mean plasma IL-6 level than those carrying –1237TT [87]. In macrophages, vitamin D suppresses nuclear factor- (NF-) $\kappa$ B activity by upregulating expression of  $\kappa$ B through stabilization of  $\kappa$ B-mRNA and a reduction in

its phosphorylation [88, 89]. Decreased macrophage function under conditions of vitamin D deficiency has been noted in sera from patients who are vitamin-D deficient; this resulted in a lower bactericidal response compared to vitamin-D replete individuals [85]. Although vitamin D has an antimicrobial effect, it also provides feedback regulation of the immune activation pathways. 1,25(OH)<sub>2</sub>D<sub>3</sub> has been shown to potently downregulate expression of monocytes TLR2 and TLR4, thereby suppressing inflammatory responses that are normally activated by these receptors [90].

Apart from macrophages/monocytes, some other antigen presenting cells, such as dendritic cells (DCs), also express VDR and the vitamin D metabolizing enzymes,  $\alpha$ -hydroxylase and 24-hydroxylase. Vitamin D may have an important role in promoting dendritic cell tolerogenicity via alterations in their function and morphology [91, 92]. In the presence of 1,25(OH)<sub>2</sub>D<sub>3</sub>, DCs exhibit reduced expression of major histocompatibility complex (MHC) class II molecules and various adhesion molecules (CD40, CD80, and CD86) [93–95]. This leads to reduced antigen presentation that is accompanied by a lower IL-12 secretion but an increased production of the tolerogenic IL-10; this then promotes development of Th2 lymphocyte differentiation [91]. Therefore, vitamin D inhibits the maturation and differentiation of dendritic cells; thus it might be expected that treatment with vitamin D or its analogues may reduce the immune response. Overall, 1,25(OH)<sub>2</sub>D<sub>3</sub> is able to enhance the innate antibacterial defense capacity and create a more tolerogenic profile toward autoimmune phenomena (Figure 2).

#### 5. Vitamin D and the Adaptive Immune System

Early studies demonstrated that there is expression of VDR in both T and B cells [96]. VDR expression by these cells is very low in resting conditions, but upon activation and proliferation, T cells and B cells upregulate VDR expression significantly, which influences the differentiation and proliferation of these cells [12]. Vitamin D exerts an inhibitory action on this area of the adaptive immune system.

In the T cells, 1,25(OH)<sub>2</sub>D<sub>3</sub> plays an important role in proliferation and differentiation. Currently, four potential mechanisms by which vitamin D influences T cell function have been proposed. These are, firstly, *direct* endocrine effects via systemic 1,25(OH)<sub>2</sub>D<sub>3</sub>, secondly, *direct* intracrine conversion of 25(OH)D to 1,25(OH)<sub>2</sub>D<sub>3</sub> by T cells itself, thirdly, *direct* paracrine effects following conversion of 25(OH)D to 1,25(OH)<sub>2</sub>D<sub>3</sub> by local monocytes or dendritic cells, and finally, an *indirect* effect on antigen presentation to T cells which is mediated via localized APC and is affected by calcitriol [97]. Vitamin D promotes a T cell shift from Th1 to Th2, which might help to limit potential tissue damage associated with Th1 cellular immune responses. Treatment

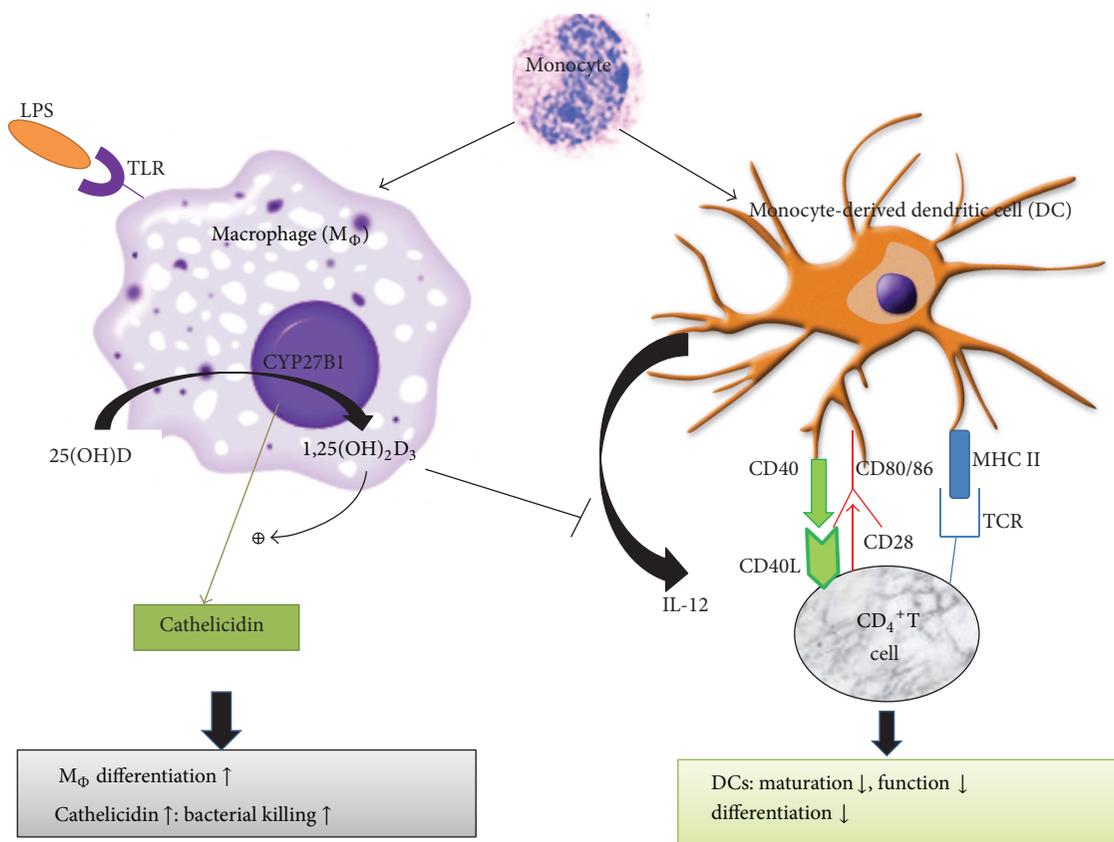


FIGURE 2: Vitamin D and innate immune system. 1,25(OH) $_2$ D $_3$  promotes innate immunity when macrophage (M $\Phi$ ) is activated by TLRs; CYP27B1 is induced enabling the macrophage to produce 1,25(OH) $_2$ D $_3$ , which subsequently gives rise to cathelicidin. On the other hand, 1,25(OH) $_2$ D $_3$  inhibits the expression of costimulatory molecules (DC40, CD80/86) and major histocompatibility complex class II (MHC II) on the surface of monocyte-derived dendritic cell (DC) and inhibits the production of inflammatory cytokines, such as interleukin-12 (IL-12).

of T cells with calcitriol or analogues inhibits the secretion of the proinflammatory Th1 (IL-2, IFN- $\gamma$ , and TNF- $\alpha$ ), Th9 (IL-9), and Th22 (IL-22) cytokines [98–101] but promotes the production of more anti-inflammatory Th2 cytokines (IL-3, IL-4, IL-5, and IL-10) [30, 102, 103]. Active vitamin D can modulate Th2-cell responses both indirectly, through suppression of IFN- $\gamma$  and IL-2 in Th1 cells, and directly by influencing expression of Th2 cytokines such as IL-4.

1,25(OH) $_2$ D $_3$  reduces expression of IL-17 [104]. IL-17-producing Th17 cells play a crucial role in the induction of autoimmune disease and inflammation [105]. T cell exposed to 1,25(OH) $_2$ D $_3$  produced significantly decreased levels of IL-17, IFN- $\gamma$ , and IL-21 and has significantly increased expression of genes typical for regulatory T cells (Tregs) [3]. The Treg cells have an anti-inflammatory role and control autoimmune diseases by releasing IL-10 and TGF- $\beta$  [106]; in addition, Treg cells are able to be induced and stimulated by 1,25(OH) $_2$ D $_3$  though an indirect pathway, via APCs and DCs, or through a direct pathway, via an endocrine effect or the

intracrine conversion of 25(OH)D to 1,25(OH) $_2$ D $_3$  by Treg cells themselves [107–109]. Thus, 1,25(OH) $_2$ D $_3$  exerts a broad range of effects on inflammation and autoimmune disease by reducing Th17 cell numbers and by having effects that are beneficial in terms of autoimmune and host-graft rejection; these events occur by enhancing Treg cell numbers. However, the regulation of T cells may come at a price because it leads to a decreased response to pathogens and to a reduction in immune surveillance. 1,25(OH) $_2$ D $_3$  is able to significantly alter the behavior of the T cells, favoring the development of tolerance via an increase in Th2 and Treg cell activity and a reduction in proinflammatory Th1 and Th17 cell activity (Figure 3).

VDR is also expressed in inactivated B cells [110]. In B cells, 1,25(OH) $_2$ D $_3$  plays an antiproliferative role involving an inhibition of cell differentiation, an inhibition of cell proliferation, reduced initiation of apoptosis, and decreased immunoglobulin production. These effects are probably indirectly mediated by T cells [111, 112]. This control of B cell activation and proliferation is important in autoimmune



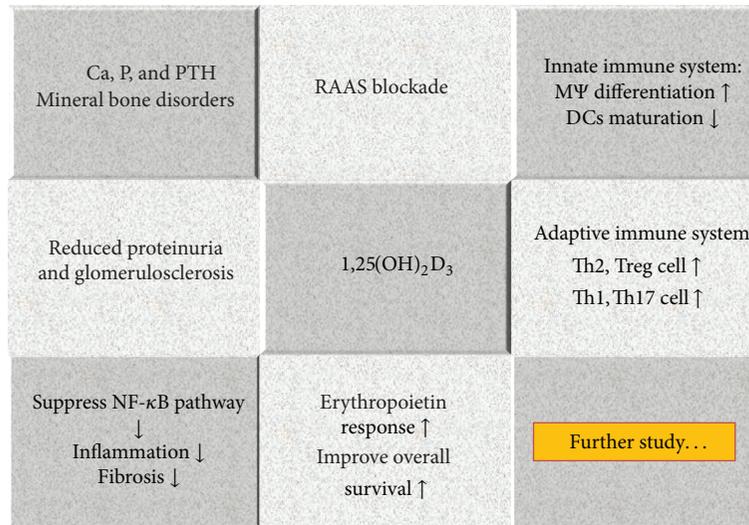


FIGURE 4: Overview of biological functions of vitamin D in clinical nephrology.

1,25(OH) $_2$ D $_3$  synthesis. This will maintain both the classical and nonclassical functions of vitamin D and ultimately influence the clinical outcomes of this high-risk group of patients.

### Conflict of Interests

The authors declare that there is no conflict of interests regarding the publication of this paper.

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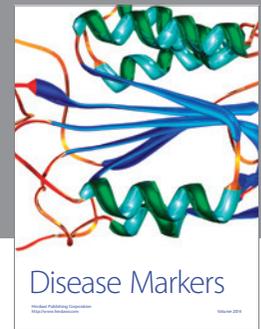
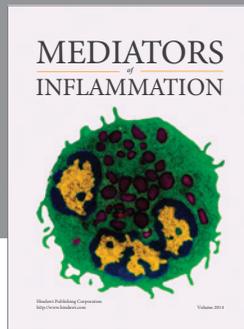
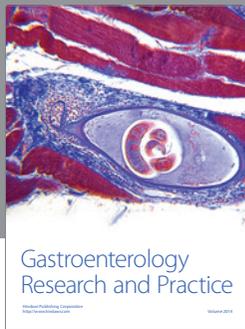
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