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## 3 **The remarkable complexity of the brain microbiome in health** 4 **and disease**

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### 19 **ABSTRACT**

20

21 Microbes in human brain and their potential contribution to neurodegenerative conditions such as  
22 Alzheimer's disease (AD) have long been debated. We recently developed a new method (the  
23 electronic tree of life, eToL) based on small subunit ribosomal RNA (rRNA) probes, further  
24 confirmed by large subunit rRNA analysis, to comprehensively address the spectrum of  
25 microorganisms in control and AD brain. We report a remarkable diversity of brain microbes in  
26 control brain. The most abundant are fungi, bacteria, and chloroplastida, and we report detailed  
27 identification of representative microbial species. The pattern is substantially conserved across  
28 different bilateran species from *Drosophila* to human. In terms of diversity, the human brain  
29 microbiome appears to be a subset (~20%) of the gut microbiome. Adenovirus type C was the  
30 major virus found in human brain; other viruses were not well represented. However, the  
31 spectrum of brain microbes differed between individuals as well as between brain regions  
32 examined from single individuals (amygdala, cingulate cortex, hippocampus, hypothalamus); of  
33 these four regions, the highest microbial burden was in cingulate cortex. There was evidence of  
34 spreading of pathogens between brain regions in single individuals. Some microbes are over-  
35 represented in AD brain according to two measures: (i) absolute number of microbes normalized  
36 to endogenous human transcripts, and (ii) the number of brain specimens showing overabundance  
37 versus control. Species over-represented in AD brain according to both measures notably include  
38 bacteria (*Streptococcus*, *Staphylococcus/Bacillus*, *Sphingomonas/Ralstonia*) and fungi  
39 (*Acrocalymma/Altenaria/Aureobasidium* of the *Aspergillus* group; *Komagataella* of the *Candida*  
40 group, *Cortinarius* of the *Schizophyllum* group, and *Tausonia* of the *Cryptococcus* group), that are  
41 all related to known human pathogens. In addition, an uncharacterized chloroplastida (algae-  
42 related) species was more abundant in AD brain samples. Although these findings point to diverse  
43 microbial species, indicative of multiple causation, similar absolute levels of bacteria and fungi in  
44 AD brain samples could suggest synergy between pathogens. However, it is important to stress  
45 that not all AD samples were positive for these microbes, but this could be because the affected  
46 brain region(s) was not examined. These findings support the contention that infection, perhaps  
47 associated with declining immunity with age, may contribute to AD development.

48  
49 Keywords: Alzheimer, bacteria, brain, fungi, microbe, microbiome, virus.

## 50 51 52 INTRODUCTION

53  
54 Higher organisms evolved in an environment dominated by microbes, and tissues of higher  
55 organisms including plants are widely associated with diverse microbial populations (the  
56 'microbiome'). These 'endozoites' (Lathe & St Clair 2020) include bacteria, fungi, and other  
57 cellular organisms, as well as viruses that target microbial or host cells. Some microbes can have  
58 beneficial effects – illustrated by the symbiotic associations of mycorrhizal fungi and nitrogen-  
59 fixing bacteria with plant roots, and of 'probiotic' bacteria with the human gut – whereas others  
60 are pathogens that must be kept in check by the immune system. However, there is increasing  
61 recognition that tissues in higher organisms outside the gut harbor their own microbiomes, and  
62 extensive work has been carried out to characterize the microbial populations of skin and internal  
63 spaces including the lung, mouth, stomach, vagina, bladder, and the nasal mucosa, as well as  
64 blood and lymph ((Turnbaugh *et al.* 2007; Human Microbiome Project Consortium 2012);  
65 <https://hmpdacc.org/>). In addition to the gut and epithelial surfaces, there is evidence that  
66 endozoites are present within solid organs such as liver and kidney (Itthithaetrakool *et al.* 2016;  
67 Whiteside *et al.* 2015), raising the question of whether the brain houses its own microbiome  
68 (Lathe & St Clair 2020; Link 2021).

69  
70 In recent decades studies on the brain microbiome have principally employed PCR methods, in  
71 some cases backed up by *in situ* techniques, and researchers have reported finding both bacteria  
72 and fungi in normal human brain samples (e.g., (Emery *et al.* 2017; Pisa *et al.* 2015)). However,  
73 PCR techniques only amplify sequences that correspond to the primers employed, and other  
74 researchers have sought to use next-generation sequencing and/or metagenomics, as illustrated  
75 by the finding of herpesviruses in brain tissue using a *k*-mer method (Readhead *et al.* 2018) and of  
76 fungi in cerebrospinal fluid (CSF) samples from patients with meningitis/encephalitis of unknown  
77 origin using metagenomics (Wilson *et al.* 2018; Wilson *et al.* 2019). Nevertheless, despite many  
78 impressive studies, none to date has addressed the entire microbiome, and few if any have  
79 addressed the absolute abundance of microbes in brain. Moreover, metagenomics is very  
80 demanding on computer processing and data storage. We therefore developed a different  
81 approach that is both less resource-intensive and neutral with regard to the identity of the species  
82 detected. The electronic tree of life (eToL) method is based on a net of over 1000 64-mer probes  
83 designed from the 16S/18S rRNA sequences of all known branches of life, including archaea,  
84 bacteria, chloroplastida, amoebozoa, basal eukaryota, fungi, and metazoa; the technical details  
85 of this method were reported previously (Hu *et al.* 2022). We now apply this methodology to the  
86 study of the human brain microbiome in health and disease.

87  
88 Since the time of Alzheimer and Fischer there has been debate about the possibility that select  
89 microbes might contribute to the neuroinflammation and neuronal damage that are seen in age-  
90 related diseases such as Alzheimer's disease (AD) (Fischer 1910), a devastating neurodegenerative  
91 condition principally affecting the elderly (reviewed in (Masters *et al.* 2015)). This contention has  
92 been further fuelled by the finding that the signature protein of AD brain, amyloid  $\beta$ , is an  
93 antimicrobial peptide ((Soscia *et al.* 2010; Kumar *et al.* 2016), reviewed in (Moir *et al.* 2018)).  
94 Indeed, immune decline with aging (immunosenescence) might predispose to infections of the  
95 brain, culminating in neurodegeneration (reviewed in (Lathe & St Clair 2023)). Diverse microbes  
96 including bacteria, yeasts, and viruses have been reported in AD brain (MacDonald 1986; Miklossy

97 2011; Branton *et al.* 2013; Itzhaki 2014; Pisa *et al.* 2015; Emery *et al.* 2017; Balin *et al.* 2018).  
98 Although no unique 'AD microbe' has so far been identified, these findings have prompted the  
99 speculation that microbial infection of the brain could contribute to AD development (Itzhaki *et al.*  
100 2016).

101  
102 However, these studies have without exception addressed a restricted range of species. We  
103 therefore used the eToL methodology to evaluate the full microbial spectrum in normal and AD  
104 brain, and to determine their absolute abundances, and have examined whether any specific  
105 microbes are differentially represented in AD brain.

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107

## 108 **MATERIALS AND METHODS**

109

### 110 **Brain RNA-seq datasets**

111

112 The 'Miami' (Magistri *et al.* 2015) and 'Rockefeller' (Scheckel *et al.* 2016) human brain RNA-seq  
113 datasets are freely available online at the National Center for Biotechnology Information (NCBI).  
114 Mount Sinai Brain Bank (MSBB) RNA-seq datasets (Wang *et al.* 2018) were accessed, with  
115 permission, through the AD Knowledge Portal (<https://adknowledgeportal.synapse.org/>)  
116 sponsored by the National Institute on Aging (NIA), in compliance with Creative Commons  
117 Attribution 4.0 International Public License. The results published here are therefore in whole or in  
118 part based on data obtained from the AD Knowledge Portal (<https://adknowledgeportal.org/>).  
119 These data were generated from postmortem brain tissue collected through the Mount Sinai VA  
120 Medical Center Brain Bank and were provided by Dr Eric Schadt from Mount Sinai School of  
121 Medicine. The datasets were reconstructed (courtesy of B. Readhead) to make them comparable  
122 to the datasets analyzed in (Readhead *et al.* 2018). The multi-species, gut, and human and  
123 macaque brain with age RNA-seq datasets used in this work are also publicly available at NCBI.  
124 Sequence read archive (SRA) reference numbers for all datasets are listed in **Table S1** in the  
125 supplementary material online. Because age and sex data were not available for some datasets,  
126 analysis of these factors was not possible.

127

### 128 **Edinburgh Brain Bank (EBB) neuropathology and deep sequencing**

129

130 Frozen brain samples (four brain regions per individual: amygdala, cingulate cortex, hippocampus,  
131 and hypothalamus) from three control brains and six AD brains were obtained from the EBB.  
132 Analysis received ethical approval under generic Medical Research Council (MRC) ethical approval  
133 relating to EBB samples and were in compliance with the Human Tissue Act (Scotland) 2006 and  
134 subsequent legislation. The individuals in the AD category were diagnosed as 'Alzheimer disease'  
135 during their lifetimes, but postmortem analysis revealed that two individuals had some features of  
136 vascular dementia (VaD) or Lewy body dementia (LBD). However, because this is a common issue  
137 in the field, these individuals were retained within the broader 'AD' category. Because the integrity  
138 of one frozen sample was compromised, the EBB dataset was based on a total of 35 brain samples  
139 (summarized in **Table S2**).

140

#### 141 *Analysis of pathology*

142

143 At post-mortem, fresh tissue samples (ca 3 × 2 × 1 cm) were taken and stored on ice packs until  
144 returning to the laboratory. Using a Category 2 hood, fresh tissue samples were further dissected  
145 into 1 × 1 × 1cm cubes. Samples were frozen in liquid nitrogen vapour for 20 minutes before being

146 transferred to a  $-80^{\circ}\text{C}$  freezer for long-term storage. All cases have detailed neuropathological  
147 examination using formalin-fixed paraffin embedded sections (FFPE). Routine analysis was done by  
148 hematoxylin and eosin (H&E) staining of 6  $\mu\text{m}$  sections. Immunohistochemistry to assess  
149 neurodegenerative proteins was performed on 4  $\mu\text{m}$  sections; tau analysis (no pretreatment,  
150 antibody AT8, ThermoFisher MN1020, 1:2000, 30 minutes) and  $\beta$ -amyloid analysis (pretreatment  
151 with formic acid, antibody 4G8, DAKO, M087201-2, 1:100, 30 minutes). Tau pathology was  
152 assessed using Braak criteria (Braak *et al.* 2006), and amyloid pathology using Thal phase (Thal *et*  
153 *al.* 2002). Formal neuropathological assessment of AD employed National Institute of Aging (NIA-  
154 AA) criteria (Hyman *et al.* 2012).

155

### 156 *Deep sequencing*

157

158 Total RNA was extracted from frozen tissue using Qiagen RNeasy Plus Universal mini kit following  
159 the manufacturer's instructions (Qiagen, Hilden, Germany). RNA samples were quantified using a  
160 Qubit 2.0 Fluorometer (ThermoFisher Scientific, Waltham, MA, USA) and RNA integrity was  
161 checked with the 4200 TapeStation (Agilent Technologies, Palo Alto, CA, USA). Human rRNA  
162 depletion was performed using the QIAseq FastSelect kit (Qiagen, Hilden, Germany). RNA  
163 sequencing library preparation used NEBNext Ultra II RNA Library Prep Kit for Illumina following  
164 the manufacturer's recommendations (New England Biolabs, Ipswich, MA, USA). Briefly, enriched  
165 RNAs were fragmented for 15 minutes at  $94^{\circ}\text{C}$ . First and second strand cDNA was synthesized,  
166 cDNA fragments were end-repaired and universal adapters were ligated to the cDNA fragments,  
167 followed by limited-cycle PCR. Sequencing libraries were validated using the Agilent TapeStation  
168 4200 (Agilent Technologies, Palo Alto, CA, USA), and quantified by using Qubit 2.0 Fluorometer  
169 (Invitrogen, Carlsbad, CA) as well as by quantitative PCR (Applied Biosystems, Carlsbad, CA, USA).  
170 The sequencing libraries were multiplexed and clustered on the flowcell. After clustering, the  
171 flowcell was loaded onto the Illumina NovaSeq 6000 instrument according to the manufacturer's  
172 instructions. The samples were sequenced using a  $2 \times 150$  paired-end (PE) configuration. Raw  
173 sequence data (.bcl files) generated from Illumina NovaSeq were converted into fastq files and  
174 demultiplexed using Illumina bcl2fastq program version 2.20. The datasets are available at NCBI  
175 (**Table S1**)

176

### 177 **Probing for microbial, retroelement, and viral sequences**

178

179 The eToL method is based on a net of  $>1000$  64-mer probes designed from 16S/18S rRNA  
180 sequences of cellular organisms identified from the Open Tree of Life resource  
181 (<https://opentreeoflife.org>) extended to include bacteria according to the framework established  
182 by Schulz and colleagues (Schulz *et al.* 2017). The technical details of eToL have been reported  
183 previously (Hu *et al.* 2022). The workflow involves (i) generation of random probes from small  
184 ribosomal subunit sequences, (ii) sequence searching against the human transcriptome/genome  
185 using BLAST (basic local alignment search tool) (Altschul *et al.* 1990) and removal of all probes with  
186 significant matches to human sequences, generating a net of 1017 probes, (iii) use of the microbe-  
187 specific probe collection to retrieve non-human sequences from RNA-seq data (BLAST search), (iv)  
188 refiltering to remove any remaining human sequences, (v) normalization of readcounts to  
189 endogenous human housekeeping genes to give the number of microbial transcripts per host cell,  
190 (vi) species identification by contig assembly and databaset searching. BLAST searching was  
191 performed at the Edinburgh Compute and Data Facility (ECDF) Linux Compute Cluster  
192 (<http://www.ecdf.ed.ac.uk/>) ('EDDIE') at the University of Edinburgh. Other runs were performed  
193 online at NCBI (<https://blast.ncbi.nlm.nih.gov/Blast.cgi>). The extended eToL probelist also includes  
194 sequences corresponding to endogenous retroelements (long and short interspersed nuclear

195 elements, SINEs/LINEs; and endogenous human retroviruses, HERVs) (Hu *et al.* 2022), and the  
196 same method was used to determine the abundances of different retroelement transcripts in  
197 RNA-seq data from different tissues.

198  
199 The following (simplified) categories are employed by eToL: A, archaea; B, bacteria; C,  
200 chloroplastida (algae and plants), D, amoebzoa; E0, basal eukaryota (that may constitute a clade  
201 of their own); F, fungi; and H, holozoa/metazoa. Group G was not allocated. Microbial profiles  
202 were plotted using Morpheus software at the Broad Institute of MIT and Harvard (Cambridge, MA,  
203 USA; <https://software.broadinstitute.org/morpheus/>) either with or without conversion to log<sub>2</sub>.  
204 Cutoff values (specified in the figures) for cellular species of 3–5 reads per host cell were applied in  
205 most cases to exclude low-level (potentially contaminant) signals. For cellular microbes of interest,  
206 their presence was confirmed by downloading large subunit (23S/28S) rRNA, devising new probes  
207 according to the eToL method, and reprobng the RNA-seq data. As before, matches were  
208 retrieved, filtered against human sequences, and their identities determined by sequence  
209 searching on NCBI databases.

210  
211 A variant approach was used for viruses. In previous work, Readhead and colleagues performed  
212 extensive analysis of viral sequences in human brain using a *k*-mer method (Readhead *et al.* 2018).  
213 Although potentially liable to false positives (Chorlton 2020), it is very unlikely to generate false  
214 negatives. We therefore took the top 20 viral groups identified by Readhead *et al.* that represent  
215 >99% of all viruses in their human brain samples analyzed. Because several of these viruses have  
216 internal regions of homology with the human genome (Hu *et al.* 2022), the complete genomes of  
217 all 20 viruses were 'stripped' to remove regions similar to human sequences. The complete  
218 stripped genomes were then used as probes for BLAST searching of RNA-seq libraries.  
219 Normalization to housekeeping gene expression was as for cellular sequences. A lower cutoff (0.03  
220 reads per host cell) was used for viral sequences.

221

222

## 223 RESULTS

224

### 225 Cellular microbes in brain

226

227 We report a remarkable complexity of cellular microbes in normal human brain, principally fungi,  
228 bacteria, and chloroplastida. In addition, we detected archaea, amoebzoa, basal eukaryota, and  
229 even holozoa/metazoa (**Figure 1**). To identify representative species, matching sequences were  
230 retrieved, contigs assembled, and species identified by reference to sequence databases. To  
231 confirm the presence and identity of these species, the corresponding 23S/28S sequences were  
232 used to reprobe the RNA-seq datasets, contigs were generated, and identification was performed  
233 as before. The identities of representative microbial species determined by both 16S/18S and  
234 23S/28S rRNA analysis are listed in **Table S3**.

235

### 236 Conservation of microbial patterns across evolution

237

238 To address whether the overall profile of brain microbes is conserved across evolution, we  
239 inspected brain RNA-seq datasets from a range of bilateran species ranging from insects, octopus,  
240 and vertebrates through to human. Microbes were detected in all cases. The overall profiles were  
241 comparable (**Figure 2**). To examine evolutionary relationships, probes for highly abundant signals  
242 in bacteria (group B0) and fungi (group F6) were used to retrieve the exact sequences from the  
243 original brain RNA-seq libraries. Contigs were assembled, and standard comparison programs

244 (e.g., Clustal Omega) were used to draw phylogenetic trees. As shown in **Figure 2** (right), there was  
245 significant conservation in the species identified. Notably, fungal sequences were more highly  
246 conserved (the scale for bacteria in Figure 2C is 10% sequence divergence, whereas for fungi in  
247 Figure 2B the scale is 1%). We conclude that the evolution of the brain in bilateran species has  
248 taken place against a restricted background of fungal and bacterial species.

249

### 250 **Relationship between the gut and brain microbiomes**

251

252 We next addressed the relationship between the brain and gut microbiomes. Because gut/fecal  
253 sequences are depleted in endogenous human sequences, it was not possible to normalize  
254 abundances against housekeeping gene signals. Instead, we evaluated the diversity of different  
255 microbes present in the two body regions. Note, these samples are not from the same individuals  
256 (we have been unable to source gut/fecal and brain RNA-seq data from single individuals), and  
257 future research will need to address this issue.

258

259 Few to no species were exclusive to brain; conversely, the majority of signals detected in gut/feces  
260 were absent from brain. However, ~20% of species detected in gut were present in brain (**Figure**  
261 **3**). These findings suggest that the brain microbiome may be a distinct subset of the microbes  
262 present in gut, perhaps indicating that only some microbes have the ability to cross the gut–blood  
263 and/or blood–brain barriers. Further studies will be necessary to evaluate the absolute relative  
264 abundances of gut (and blood) versus brain microbes.

265

### 266 **Vulnerable brain regions: focus on limbic brain**

267

268 Most human brain microbiome analyses to date have been performed on cortex. However, the  
269 cortex is not essential for many aspects of cognition, and many individuals with extensive cortical  
270 pathology have no cognitive deficits (Discussion). We therefore investigated specific regions of  
271 limbic brain where damage is known to be associated cognitive/memory deficits and/or frank  
272 dementia including AD. Tissue samples from four different brain regions (amygdala, AMYG;  
273 cingulate cortex, BA24; hippocampus, HPC; and hypothalamus, HYPO) from three control brains  
274 and six AD brains were obtained from the EBB and subjected to deep sequencing and eToL  
275 analysis (35 samples). **Figure 4A** presents a simplified outline of the top 80 signals. Comparative  
276 analysis of AD versus control was performed separately (see later below).

277

278 The site of microbial infection was found to differ between individuals, irrespective of disease  
279 status. For example, one individual had prominent (as defined by our cut-off) levels of microbes  
280 only in AMYG, and one only in BA24. In other individuals microbes were restricted to HYPO and  
281 AMYG, to HYPO plus BA24, or to BA24 plus HPC. In one individual microbes were found in all four  
282 brain regions studied. In some cases atypical microbes were seen in two different brain regions of  
283 the same individual (arrowed in **Figure 4A**), suggestive of spreading between brain regions *in vivo*.  
284 Overall, microbial burden was highest in cingulate cortex (**Figure 4B**). Other brain regions were not  
285 examined.

286

287 We investigated whether neuropathology (amyloid deposits and abnormal tau/neurofibrillary  
288 tangles, NFTs) correlated with microbial burden. Although there was a trend towards a correlation  
289 (not presented), this fell short of statistical significance. However, it is important to note that the  
290 frozen EBB brain tissues examined were from one side of brain, whereas the fixed sections for  
291 neuropathological analysis were from the contralateral side. Although neurodegeneration in AD is

292 often symmetrical, there are cases (for example in hippocampus) where NFT density is not  
293 bilaterally symmetrical (Moosy *et al.* 1988), and this could explain the absence of correlation.

### 294 **Microbial burden increases with age**

296  
297 To address how the brain microbial burden evolves with age, RNA-seq datasets (human  
298 hippocampus) of different ages ( $N = 29$ ) reported in (Kohen *et al.* 2014) were consulted using the  
299 eToL method. Matches were principally for bacteria and yeasts. There were no significant  
300 differences between males and females, but there was a statistically significant increase in  
301 microbial burden as a function of age ( $P = 0.0202$ ) (**Figure 5**). In this cohort only a proportion of  
302 the healthy elderly showed an elevated pathogen burden. To determine whether this is a general  
303 feature of primates, RNA-seq data from brain (hippocampus) of rhesus macaque (*Macaca*  
304 *mulatta*) aged 10 and 20 years (sample size  $N = 6$  in each case) (Xu *et al.* 2018; Xu *et al.* 2020) were  
305 analyzed. These Old World monkeys have a median lifespan of around 25 years, but some can live  
306 for 40 years in captivity (Mattison *et al.* 2012). Although there are no exact equivalents, 10 years  
307 of age in macaque resembles young adulthood in human, and 20 years of age is roughly equivalent  
308 to 'young elderly' in human. As shown in Figure 5, there was also a trend towards an increase in  
309 microbial burden with age ( $P = 0.0944$  in view of the small number of samples available).

### 310 **Pooled analysis of AD versus control brain**

312  
313 We next investigated whether any microbes are differentially represented in AD versus control  
314 brain. To enhance statistical power, we pooled all results from the MSBB, EBB, Miami, and  
315 Rockefeller studies; this generated a dataset of 31 control samples and 48 AD samples. These were  
316 analyzed for differential abundances of cellular microbes, retroelements, and viruses.

#### 317 *Cellular microbes*

319  
320 We first performed side-by-side comparisons of the microbial profiles in control and AD brain. As  
321 shown in **Figure 6**, the overall profile is conserved across multiple different individuals, although  
322 some samples have only low levels of microbes. However, there was no obvious correlation  
323 between the overall microbial burden and individual diagnoses of AD versus control (analyzed  
324 further below; see also Discussion). Nor was there any evidence at this level of resolution for a  
325 specific 'AD microbe'. The specific identities of these cellular microbes are expanded upon in the  
326 next main section.

#### 327 *Viruses and endogenous retroelements*

329  
330 Adenovirus type C (AdC) was the major virus in brain, representing 83% of all viral transcripts  
331 across all the datasets, and was detected in ~50% of all samples (**Figure 7A**). AdC reads were  
332 principally principally from the major late transcripts (L1–L3), indicative of productive infection,  
333 and to a lesser extent from the E1A/E1B regions (supplementary **Figure S2**). Of note, the AdC  
334 substrain detected appeared to be relatively conserved across different individuals (**Figure S2**).  
335 Low-level transcripts of other viruses such as HSV1, EBV, and CMV were found (<0.1 reads per host  
336 cell) in some individuals, but the biological significance of this low-level gene expression is not  
337 known. Some HHV6A and 6B sequences were detected, but these could not be mapped to the  
338 viral transcriptome, and were spread across the entire viral genome (with higher copy numbers in  
339 the repeat regions). Our interpretation is that these might represent genomic DNA (e.g., virions),  
340 not transcripts. A complexity is that some individuals harbor integrated copies of HHV6A/B (e.g.,

341 (Tanaka-Taya *et al.* 2004)), and we have been unable to confirm that the signals observed  
342 constitute evidence of biologically relevant infections. There was an inverse relationship in AD  
343 brain samples between the presence of HHV sequences and adenovirus C – all AD individuals with  
344 detectable HHV sequences were negative for adenovirus C, whereas all individuals positive for  
345 adenovirus C were negative for HHVs. However, this was not true for control brain samples (**Figure**  
346 **7A**, left).

347  
348 Overall, the abundance of viruses in AD brain was somewhat higher than in control brain ( $P =$   
349  $0.04$ ), although many individuals in both the control and AD datasets had few viral sequences,  
350 pointing again to heterogeneity among different cases (**Figure 7A**). This also provided evidence of  
351 *in vivo* spreading because the exact substrains differed between individuals, and the same AdC  
352 substrain was present in multiple brain regions of a single individual (**Figure S1**).

353  
354 Retroelements were highly and widely detected in all brain samples, and we also detected  
355 transcripts of several endogenous retroviruses; however, there was no clear relationship between  
356 the presence of retroelement sequences and disease status (AD versus control; data not  
357 presented).

358  
359 *Correlation between cellular microbes, retroelements, and viruses*

360  
361 To determine whether the presence of different cellular and viral microbes might correlate with  
362 the expression of endogenous retroelements, we took the total number of normalized readcounts  
363 for each microbial group in each sample and expressed this as a percentage of the maximum  
364 normalized readcount among all samples analyzed. Data were arrayed left to right according to  
365 the total signal for all three groups. As shown in **Figure 7B**, there was a correlation where  
366 individual samples with the highest abundance of microbes also tended to have the highest  
367 abundance of retroelement transcripts and the highest abundance of viral signals (pairwise  $R^2$   
368 values between 0.14 and 0.39).

369  
370 **Over-abundance and over-representation of select microbial species in AD brain**

371  
372 A key issue concerns whether any specific microbial species are more abundant in AD brain than in  
373 control brain, noting the complexity that our control brain samples are likely to contain many  
374 individuals with pre-AD, and some 'AD' individuals are likely to have other conditions, as noted in  
375 the EBB cohort (Discussion). We therefore used two different measures of differential  
376 representation. First, we inspected the mean numbers of readcounts in AD versus control (i.e.,  
377 differential abundance). Second, we evaluated the *proportion* (emphasis added) of AD brains that  
378 have a higher number of readcounts than control brain (i.e., differential representation). Our  
379 conclusions are based on both metrics.

380  
381 *Differential abundance*

382  
383 From 16S/18S rRNA readcounts we determined the mean mean abundance level within the  
384 control samples in each of the four separate datasets to allow for technical differences in their  
385 different methods of preparation. The ratio of the readcounts in the AD samples to the control  
386 samples in each dataset was first calculated for all probes, and then averaged across all datasets.  
387 Signals were then sorted according to mean relative abundance. This identified a cluster  
388 principally of bacteria and fungi that appeared to be overabundant, sometimes by large margins.



389 However, because we used >1000 probes, some differential signals might have been serendipitous  
390 (Bonferroni correction). We therefore sought independent confirmation, as described below.

391  
392 We took the top 100 16S/18S probes that provided evidence of overabundance in AD brain,  
393 identified the species by reference to NCBI, and retrieved the corresponding 23S/28S large  
394 ribosomal subunit rRNA sequences (in cases where the exact sequence was not available, the  
395 closest related species was selected). Using the same protocol as before, random probes were  
396 generated, filtered against human sequences, and brain matches retrieved from the two largest  
397 datasets – EBB and the selected MSBB data. Readcount abundances versus control were  
398 calculated. This confirmed that, for all of these selected probes/species, the mean number of  
399 readcounts in AD brain was above that in control brain, sometimes by very large factors (>20;  
400 **Figure 8A**). Among the top 100 differentials the mean overabundance was 13.68 (range 2.23 to  
401 181.97; SD 20.40;  $P = 0.01$ ). Because many probes were independently designed from the same  
402 species (thus representing a probe 'cluster'), we determined the mean extent of readcount  
403 overabundance for each cluster (individual species represented by multiple probes) (**Figure 8B**).  
404 From this cluster analysis the mean overabundance (clusters within the top 100 differentials) was  
405 12.59 in AD versus control (range 2.52–36.88; SD 7.83;  $P < 0.001$ ).

406  
407 *Differential representation*

408  
409 We then assessed the second parameter, 'over-representation' (the proportion of samples in  
410 which there was overabundance of matches in AD versus control). We took the top 50 microbe  
411 signals in terms of relative overabundance (previous section), and calculated which signals are  
412 most commonly over-represented in AD brain. As shown in **Figure 8C**, increased signals were  
413 observed in up to 60% of AD brain samples, although many were only over-represented in a  
414 smaller fraction (e.g., 20–40%).

415  
416 For species identification, to simplify our task we selected the top 10 23S/28S probes in terms of  
417 the proportion of AD brain samples displaying overabundance of matching reads (numbered 1–10  
418 in **Figure 8C**). The probes were used to retrieve matches from the most highly affected AD brain  
419 samples in the EBB dataset (legend to **Figure 9**), and contigs were assembled. In most cases a  
420 single contig was generated that represented the majority of matching reads (if two contigs with  
421 similar numbers of read matches were obtained, both are listed). These top contigs were used for  
422 species identification by database searching.

423  
424 **Figure 9A** shows the top species overabundant in AD brain versus control, sorted according to  
425 microbial class, in terms of both the proportion of AD samples showing differential abundance  
426 versus control (left, 1–10) and the absolute abundance in AD brain ( $\log_2$  readcounts). The highest-  
427 abundance species over-represented in AD brain versus control (and also the second most  
428 differential) was identified as *Cortinarius*, a Basidiomycota fungus related to the known human  
429 pathogen *Schizophyllum*. Other fungi identified included another Basidiomycota, *Tausonia*, that is  
430 related to *Cryptococcus*, three *Aspergillus*-like Ascomycota (sac fungi) – *Acrocalymma*,  
431 *Aureobasidium*, and *Alternaria* – and *Komagataella*, that is related to another human pathogen  
432 species, *Candida*. Among the bacteria over-represented in AD brain, the Proteobacterium  
433 *Sphingomonas* (also *Ralstonia*) was the highest-abundance bacterial species detected, followed by  
434 the Firmicutes *Streptococcus* and *Staphylococcus/Bacillus*, also known human pathogens.

435  
436 We previously reported that species identified in human brain are not monophyletic (Hu *et al.*  
437 2022), and instead represent a clade of related sequences. To address this, we used probes

438 corresponding to a representative species, *Malassezia* (a Basidiomycota fungus related to  
439 *Cortinarius*, and that is present in both control and AD brain, **Table S1**), retrieved all matching  
440 sequences from two control brains and two AD brains, and assembled phylogenetic trees. As  
441 shown in **Figure S2**, the exact sequences in the four brain samples were not identical.  
442 Nevertheless, some subclades did appear to be present in AD brain but not in control brain.  
443 However, this is based on a small number of samples; further research will be necessary to  
444 determine whether the exact strains differ between AD and control brain.

445  
446 Overall, our findings based on second-round 23S/28S rRNA analysis are consistent with (and  
447 confirm) our observations on AD and control brain based on 16S/18S rRNA, demonstrating that  
448 select bacterial and fungal species are over-represented in AD brain, sometimes by a large margin.  
449 Further, they confirm that some individuals (and brain regions) have exceptional levels of brain  
450 microbes, whereas others appear to have only low levels in the specific brain regions examined.

451

452

## 453 DISCUSSION

454

455 This is the first study, to our knowledge, that has analyzed the composition of the brain  
456 microbiome in health and disease across the entire tree of life, as well as the absolute levels of  
457 microbes versus host cells. The central conclusions are as follows. (1) Remarkably diverse cellular  
458 species are present in human brain. In addition to fungi and bacteria, we report archaea,  
459 chloroplastida, amoebzoa, basal eukaryota, and holozoa/metazoa, taxa that have not been  
460 studied previously. We confirm our previous estimate that there are approximately 0.14 bacteria  
461 and 0.05 fungi per control host cell (less than 1/10 000 by volume (Hu *et al.* 2022)), although in  
462 some seriously affected samples (e.g., AD case male M66 in Figure 4, this rises to 1.8 microbes per  
463 host cell). (2) Comparable profiles of brain microbes are present in bilateran species including  
464 *Drosophila*, octopus, fish, amphibian, bird, and mammals, and these may thus have accompanied  
465 brain evolution in bilaterans, raising the issue of whether some microbes might be beneficial for  
466 brain function (Lathe & St Clair 2020). (3) In human, the gut microbiome is far more diverse than  
467 that of brain, and brain microbes detected may represent a distinct subset (~20%) of those in gut,  
468 although this remains to be confirmed. (4) Microbial abundance is often restricted to select brain  
469 regions, and these regions differ between individuals. (5) Adenovirus type C represents 83% of all  
470 viral sequences in brain; the detection of late transcripts is suggestive of ongoing productive  
471 infection; few human herpes virus sequences were detected. (6) Select cellular and viral  
472 species/subspecies are present in two or more brain regions of the same individual, indicative of *in*  
473 *vivo* spreading.

474

475 We provide evidence that particular microbes are over-represented in AD brain. For bacteria,  
476 *Streptococcus* and *Staphylococcus/Bacillus* species are classical pathogens, whereas *Sphingomonas*  
477 has been reported as an emerging pathogen (Ryan & Adley 2010). Among the fungal species,  
478 *Tausonia* is a sister species to *Cryptococcus neoformans*, a known human pathogen, *Komatagaella*  
479 is a sister species to *Candida albicans*, and *Alternaria*, *Aureobasidium*, and *Acrocalymma* are  
480 closely related to another opportunistic invader, *Aspergillus*. High sequence homologies were  
481 detected between AD brain RNAs and these species, for example 98% over 117 nt with  
482 *Cryptococcus*, 100% over 106 nt with *Candida*, and 98% over 181 nt with *Aspergillus*. The final  
483 species highlighted, *Cortinarius*, is unusually a member of the Agricales subgroup of  
484 Basidiomycota, another fungal group where opportunistic infections of human have been  
485 reported (Chowdhary *et al.* 2014). An unknown Chloroplastida species was also detected that is  
486 possibly related to the green alga, *Nephroselmis* (discussed further below).

487

488 We urge caution in the identification of individual species because several groups (bacteria, fungi,  
489 and chloroplastida in particular) are extremely diverse, and what we classify as one taxon might in  
490 fact be an unusual species from another taxon that has not yet been characterized. In addition,  
491 there are concerns that some chloroplastida might reflect lifelong exposure to plant pollens that  
492 potentially could enter solid tissues (discussed in (Hu *et al.* 2022)). This also applies to fungi, but  
493 the upregulation of chitinases in AD brain (see below) argues against this as being the major  
494 explanation, at least for fungi.

495

496 A central issue is whether any of these signals might be generated through contamination during  
497 sample preparation and/or in the reagents employed (discussed in (de Goffau *et al.* 2018);  
498 extended in (Hu *et al.* 2022)). However, brain from germfree mice was reported to be devoid of  
499 microbes (Roberts *et al.* 2018), although this preliminary work remains to be confirmed. In our  
500 work we excluded very low-level (potentially contaminant) signals. Moreover, our brain samples  
501 (EBB) were obtained, processed, and sequenced in parallel by the same investigators, but gave  
502 500-fold (or more) differences in readcounts for each probe. In addition, the profiles of all samples  
503 were distinct from one another, arguing firmly against the possibility that the signals represent  
504 systematic operator or reagent contamination.

505

506 Viruses face the same concern. Nevertheless, some sequences detected through homology to  
507 variola major virus correspond to vaccinia virus, an attenuated virus vaccine that was widely used  
508 in previous generations to eradicate smallpox – smallpox vaccination in the UK and USA was  
509 discontinued in 1971/1972, pointing towards long-term persistence of viral sequences in human  
510 brain and away from sample/reagent contamination. Luis Carrasco and colleagues have confirmed  
511 the presence of bacteria and fungi in AD brain by proteomics, immunohistochemistry, and  
512 peptidoglycan analysis, also arguing against contamination (Pisa *et al.* 2015; Pisa *et al.* 2017). In  
513 addition to hyphae-like structures, the fungal cell wall component chitin has also been reported in  
514 AD brain (Castellani *et al.* 2005; Castellani *et al.* 2007; Pisa *et al.* 2016), but was not found in brain  
515 from multiple sclerosis patients (Sotgiu *et al.* 2008). Indeed, upregulation of human endogenous  
516 antifungal chitinase mRNA in AD brain (Choi *et al.* 2011; Sanfilippo *et al.* 2016; Pinteac *et al.* 2021;  
517 Watabe-Rudolph *et al.* 2012; Magistri *et al.* 2015) is strongly indicative of *in vivo* fungal infection  
518 that cannot be explained by sample contamination. In our differential analysis it is implausible that  
519 only AD samples (and not control samples) would be contaminated. In sum, the combined weight  
520 of evidence argues against the possibility that some of our findings might be ascribed to  
521 contamination, although one should remain vigilant for this possibility.

522

523 A second issue concerns whether the species we detect are long-term invaders or were recently  
524 acquired (*in vivo* biocontamination type 2B in (Hu *et al.* 2022)). For example, the cause of death in  
525 AD is predominantly through acute and chronic pulmonary (and perhaps systemic) infections  
526 caused by agents such as adenoviruses and associated pulmonary bacteria. Therefore, one might  
527 suspect that these could have invaded the brain only well after AD had developed. For fungi,  
528 however, Carrasco and colleagues reported that fungal hyphae and glycoproteinaceous structures  
529 are present in brain (see above), structures that take months or even years to develop (Alonso *et al.*  
530 2018), arguing against peri-mortem acquired infection of brain tissue, at least for fungi.  
531 However, this remains an open issue for other taxa because of the possibility that microbe  
532 abundance might increase in the immediate post-mortem period (Emery *et al.* 2022).

533

534 On balance, the data argue that diverse microbes are present in AD brain, and some specific  
535 groups are overabundant. Could any of these microbes lead to brain damage culminating in AD?

536 Identifying a causal relationship between microbial infection of the brain and AD is not easy, for  
537 multiple reasons that have plagued research in the field.

538  
539 First, control samples are likely to include several individuals with prodromal AD (i.e., normal  
540 cognition despite the presence of brain pathology). A key concept in this debate is that of  
541 'cognitive reserve' (Stern 2012). The term was introduced with the aim of explaining well-known  
542 factors that offer significant protection against AD, such as educational and occupational  
543 attainment. Briefly, the concept posits that individuals with better-performing, more flexible,  
544 and/or functionally redundant brain circuits can better tolerate damage. This interpretation, now  
545 widely accepted, means that – in two individuals with exactly the same extent of brain damage –  
546 one may be diagnosed as AD, and the other (with greater cognitive reserve) as 'cognitively  
547 normal'. For this reason we expect that our 'control' cohort will contain several individuals with  
548 AD-type brain pathology, which would be consistent with our findings, but which is certain to  
549 complicate analysis. Conversely, because of diagnostic overlaps between conditions such as  
550 vascular dementia, Lewy body dementia, and AD, our 'AD' cohort is certain to contain individuals  
551 with other non-AD conditions that may or may not share a common pathoetiology.

552  
553 Second, there is increasing evidence that AD itself is not a singular condition, and has different  
554 pathobiological subtypes (Jellinger 2020). Indeed, the EBB cohort of AD patients included one  
555 individual with vascular dementia, and one with Lewy body dementia, two conditions that overlap  
556 with AD, and both of whom were diagnosed with AD during life. Within AD itself, Zheng and Xu,  
557 based on molecular data, classified AD into two subtypes (synaptic and inflammatory) (Zheng & Xu  
558 2021), whereas Levin *et al.*, based on positron emission tomography (PET) scanning, classified AD  
559 into three different subtypes (Levin *et al.* 2021), of which 'typical' (49% of cases) and 'limbic  
560 predominant' (45%) were the major types. However, it is possible that these share a common  
561 pathoetiology, and differ only in the brain regions most affected. A meta-analysis of biological  
562 subtypes of AD was recently published (Ferreira *et al.* 2020). It is not known how these categories  
563 correspond to the samples studied in our current work.

564  
565 Third, the location of damage is likely to be crucial. Studies over the past 30 years have found  
566 major discrepancies between overall neuropathology and cognitive impairment: some individuals  
567 with marked pathology had no obvious signs of dementia, whereas other subjects with major  
568 cognitive impairments had little or no obvious neuropathology. The most intriguing individual in  
569 Snowdon's illuminating Nun Study cohort, Sister Mary, lived to the grand age of 102 without any  
570 evident cognitive impairment, but was found postmortem to have more neuropathology  
571 (neurofibrillary tangles and neuritic plaques) in her hippocampus than all but one of the other  
572 sisters ( $N = 118$ ) (Snowdon 1997). Conversely, in Fischer's 1907 paper he remarked that, in four of  
573 16 patients with senile dementia, amyloid plaques were completely absent in the brain regions  
574 studied (edited translation in (Bick *et al.* 1987)). Recent work in our laboratory has confirmed this  
575 finding, and many non-demented individuals have severe neuropathology, whereas multiple AD  
576 patients have little neuropathology in the brain regions examined so far (**Figure S3**).

577  
578 This argues that even fairly widespread brain pathology *per se* does not produce AD; instead, the  
579 specific location of the damage may determine the outcome, as we have argued (Lathe & St Clair  
580 2023), a concept firmly supported by our finding that microbes are abundant in specific brain  
581 regions of a single individual, but are largely absent from adjacent regions. Moreover, although we  
582 report here the presence of microbes in several brain regions, we have not so far examined other  
583 key brain areas. In addition to limbic thalamus (Aggleton *et al.* 2016) and locus ceruleus (LC) where  
584 neurodegeneration parallels cognitive decline (Kelly *et al.* 2017), we have been unable to examine

585 brainstem cholinergic nuclei such as the nucleus basalis of Meynert (NBM). This is an important  
586 omission because many AD cases respond to medications that increase cholinergic signaling – the  
587 cholinergic hypothesis of AD (Bartus *et al.* 1982) – but expert neuropathologists advise that the  
588 NBM is almost impossible to dissect in fresh sections because of the lack of histologically  
589 discernable features, and future efforts will be necessary to overcome this problem. Other regions  
590 warranting attention include the substantia nigra where damage has been implicated in the  
591 pathoetiology of Parkinson's disease, a condition that is sometimes comorbid with AD.

592  
593 Fourth, we suspect (by analogy to the lung and gut) that there may be a normal brain microbiome,  
594 and that some species found in brain may be harmless/innocuous bystanders, or might even be  
595 beneficial (Lathe & St Clair 2020). By contrast, some select groups or subgroups could be highly  
596 damaging, perhaps by secreting toxins that kill host neurons. Further analysis of toxins will be  
597 necessary.

598  
599 Overall, however, our data point to a pattern of microbial upregulation in around one half of AD  
600 patients, and these cases could potentially correspond to one of the AD subtypes described  
601 previously (see above). To address this, future work in the field will need to accurately subclassify  
602 AD into different subtypes. However, this leaves open the possibility that neuropathology in  
603 roughly one half of AD cases is not associated with microbes and has a different pathoetiology  
604 (e.g., chemical/environmental). Nevertheless, we have not so far looked at other potentially  
605 crucial brain regions in these individuals, and/or explored only one side of the brain; we stress that  
606 our 'non-microbial' cases of AD may have infections in other brain regions or in the other side of  
607 the brain.

608  
609 Despite these caveats, the fungal microbes we identify are remarkably similar to (and confirm)  
610 those previously suggested to be overabundant in AD brain, specifically fungi related to  
611 *Cryptococcus/Malassezia*, *Candida*, and *Aspergillus* (Alonso *et al.* 2014; Pisa *et al.* 2015; Pisa *et al.*  
612 2017; Alonso *et al.* 2018). Confirmed brain infections with *Cryptococcus* have previously been  
613 diagnosed as dementia/AD (e.g., (Steiner *et al.* 1984; Ala *et al.* 2004)). The bacterial species  
614 identified are also similar to those previously reported (e.g., (Emery *et al.* 2017)), noting that  
615 bacterial infection of the brain can also cause dementia (e.g., (Gonzalez *et al.* 2019)). In general,  
616 the species we report are known causes of human pathology. This includes viruses. The finding  
617 that adenovirus is the major virus present in human brain, both in controls and in AD, is of interest  
618 because adenovirus is a known cause of brain disease including meningitis in immunocompetent  
619 children (Schwartz *et al.* 2019), although more rarely in adults. In addition, adenoviruses can cause  
620 long-term CNS inflammation in animal models (Dewey *et al.* 1999).

621  
622 In AD, of all the differential signals, fungi related to *Schizophyllum*, *Aspergillus*, *Tausonia*, and  
623 *Candida* are the most prominent, whereas *Sphingomonas* and *Staphylococcus* and related species  
624 are the predominant bacterial differentials. Given that fungi have more ribosomes than bacteria  
625 (Hu *et al.* 2022), normalization for this factor suggests that they may be present in brain in  
626 approximately equal cell numbers. Fungi and bacteria often synergize for growth both *in vitro* and  
627 in a clinical setting (Förster *et al.* 2016; Deveau *et al.* 2018; Little *et al.* 2021), and AD may  
628 therefore be associated with synergistic polymicrobial infections, as previously speculated (Pisa *et al.*  
629 2017).

630  
631 These findings suggest that AD may be a polymicrobial disease in the same sense that pulmonary  
632 disease is polymicrobial – pneumonia-like symptoms can be caused by influenza virus in one  
633 individual, by coronavirus in a second, and by bacteria such as *Streptococcus pneumoniae* or

634 *Haemophilus influenzae* in another, or even by fungi such as *Aspergillus fumigatus* in others. These  
635 pathogens often contribute synergistically to pulmonary disease.

636  
637 The finding of an uncharacterized chloroplastida (algae/plant-related) species in AD brain is of  
638 interest because cases of human infection with algae have been reported (Lass-Flörl & Mayr 2007)  
639 and an algal virus of unknown provenance found in human samples was associated with cognitive  
640 impairment (Yolken *et al.* 2014). *Toxoplasma* spp. (the etiologic agents of toxoplasmosis) are also  
641 classified as chloroplastida and have previously been implicated in brain disease including  
642 schizophrenia and dementia (Yolken *et al.* 2009; Yang *et al.* 2021). Further research will be  
643 necessary to characterize the chloroplastida species detected in AD brain and evaluate their  
644 potential contribution to pathology.

645  
646 Despite these suggestive findings, there is a need for independent confirmation using techniques  
647 that are not based on nucleic acid detection, such as direct culture of live microbes from brain  
648 tissue, and work in this direction is ongoing (D. Corry, personal communication).

649  
650 Looking wider, our analysis fits with theories of programmed aging (Goldsmith 2013; Skulachev &  
651 Skulachev 2018) leading to immune system decline (immunosenescence (Makinodan 1980); (Lathe  
652 & St Clair 2023) for extensive review). Indeed, the cause of death in AD is not from loss of normal  
653 cognition but is typically from pulmonary infection (e.g., (Kukull *et al.* 1994; Beard *et al.* 1996)  
654 and/or atherosclerosis and cardiovascular disease (also associated with infection (Dunn 2004;  
655 Lathe *et al.* 2014)). In this context it would be of interest to determine whether the microbes  
656 found in AD brain are a specific subset of (and thus represent migration from) the microbiome of  
657 the gut (or of other tissues), or have an independent origin (perhaps being acquired through the  
658 olfactory system).

659  
660 In conclusion, we have identified a shortlist of microbes that are overabundant/over-represented  
661 in AD brain and which may contribute to neurodegeneration. Our results confirm and extend  
662 previous reports of polymicrobial infections of AD brain, which need to be corroborated by culture  
663 of microbes from AD brain tissue and/or onwards transmission to rodents ((Branton *et al.* 2013);  
664 David Corry, personal communication).

665  
666 However, association does not prove causation, and one needs to keep an open mind about  
667 whether microbes are the cause of neuroinflammation and neurodegeneration in AD, or whether  
668 they merely invade tissue that is already degenerating from another cause. Further analysis in  
669 animal models will be necessary to evaluate whether specific microbes are, or are not, causally  
670 implicated in the pathoetiology of AD. Evidence of clinical remediation through antimicrobial  
671 medications offers a potential way forward, noting that the exact treatment may need to be  
672 tailored to the exact species present (which would depend on the individual), and would therefore  
673 require the development of *in vivo* detection techniques.

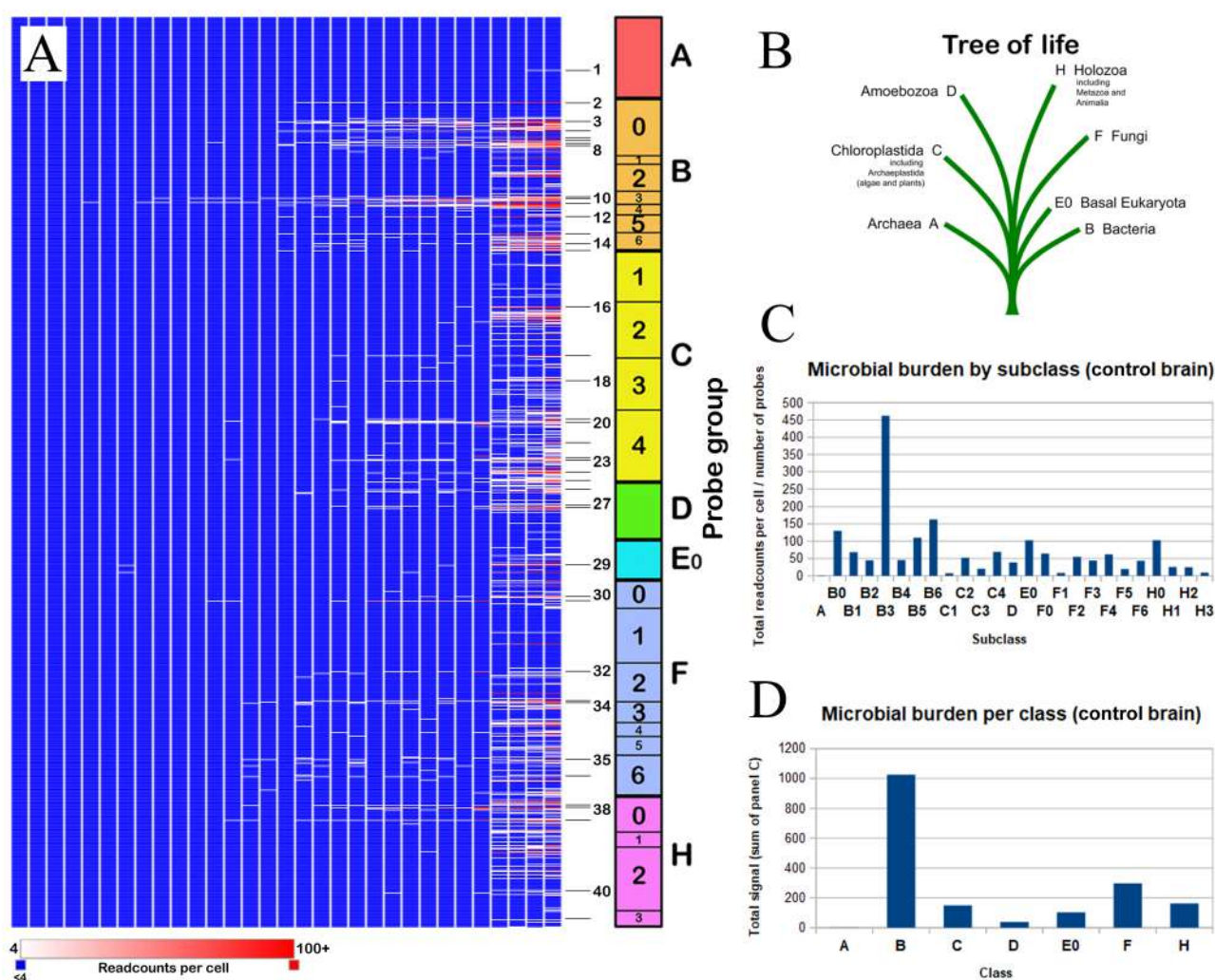
674  
675 Of note, there are emerging indications that boosting innate immunity by administration of  
676 vaccines and/or adjuvants can be protective. The risk of developing AD was 4.8-fold lower in those  
677 receiving BCG vaccine than in untreated individuals (Gofrit *et al.* 2019), and smaller but significant  
678 reductions have been seen with other vaccines (Liu *et al.* 2016; Schnier *et al.* 2022; Scherrer *et al.*  
679 2021; Lehrer & Rheinstein 2021; Amran *et al.* 2021; Wiemken *et al.* 2021; Wilkinson *et al.* 2022).  
680 These and other strategies to enhance the immune system warrant investigation. In addition,  
681 given the abundance of *Aspergillus*- and *Cryptococcus*-like microbes in AD brain, and their  
682 involvement in other human diseases, research to develop vaccines against these and other

683 fungal groups may be warranted (Levitz 2017; Caballero Van Dyke & Wormley, Jr. 2018; Oliveira *et*  
684 *al.* 2021).

685  
686 In sum, we provide evidence suggesting that the brain harbors its own remarkably complex  
687 microbiome that extends beyond bacteria and fungi to include chloroplastida and other taxa,  
688 some of which are overabundant/over-represented in AD. Future analyses will need to extend  
689 microbial analysis to include other brain regions, and similar analyses are warranted in other  
690 disorders such as Parkinson's disease, schizophrenia, multiple sclerosis, and atherosclerosis where  
691 an infectious component has long been suspected.

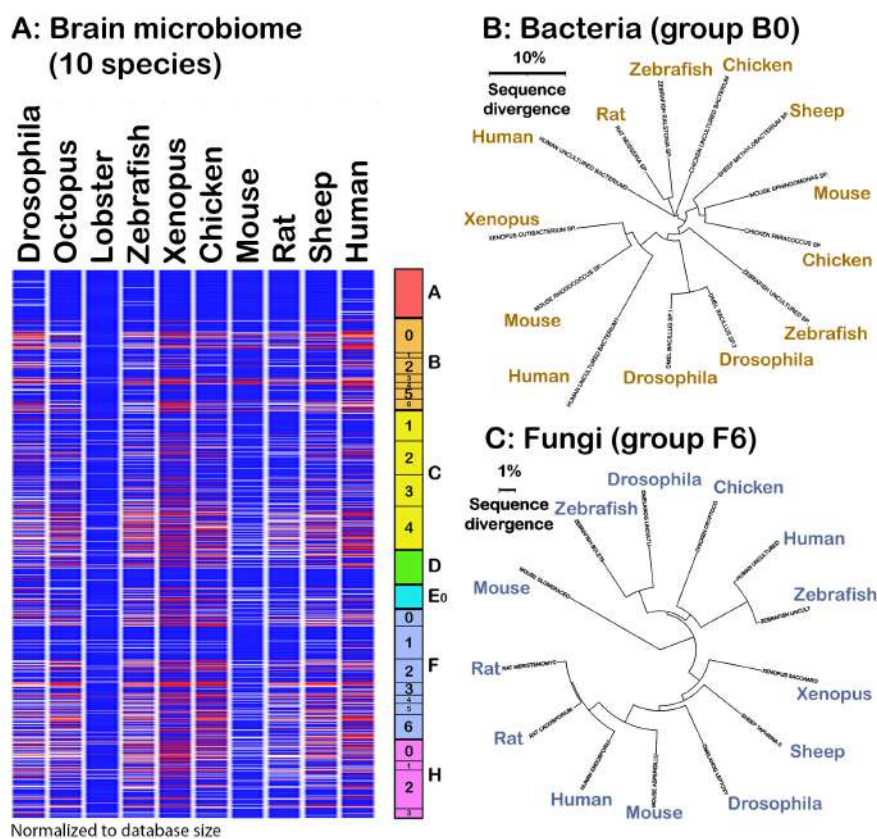
692  
693 **ACKNOWLEDGMENTS**

694  
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701

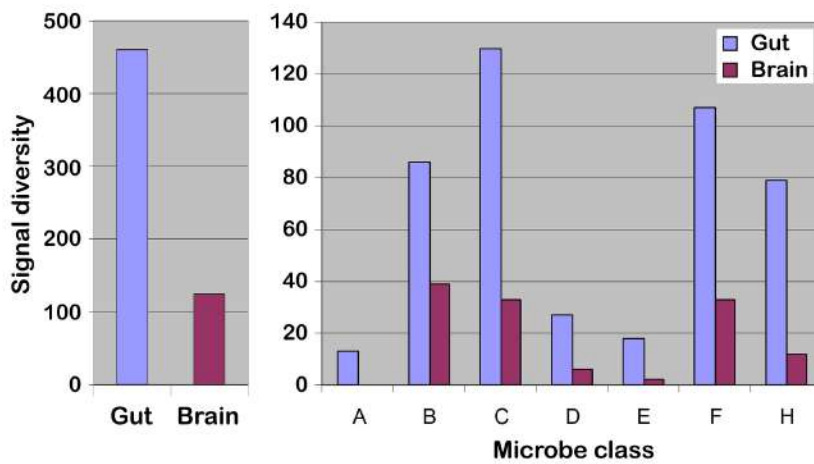


**Figure 1.** Microbes in normal human brain as assessed by small subunit 16S/18S rRNA sequences (electronic tree of life, eToL (Hu *et al.* 2022)), normalized to endogenous housekeeping genes and calculated as readcounts per host cell. In this and the following figures brain samples are sorted left to right in order of increasing abundance. Signals of interest in this figure are numbered 1–41; formal species identifications confirmed by independent large subunit 23S/28S rRNA sequences are presented in Table S1. Microbial categories are: A, archaea; B, bacteria; C, chloroplastida; D, amoebzoa; E0, basal eukaryota; F, fungi; and H, holozoa/metazoa.

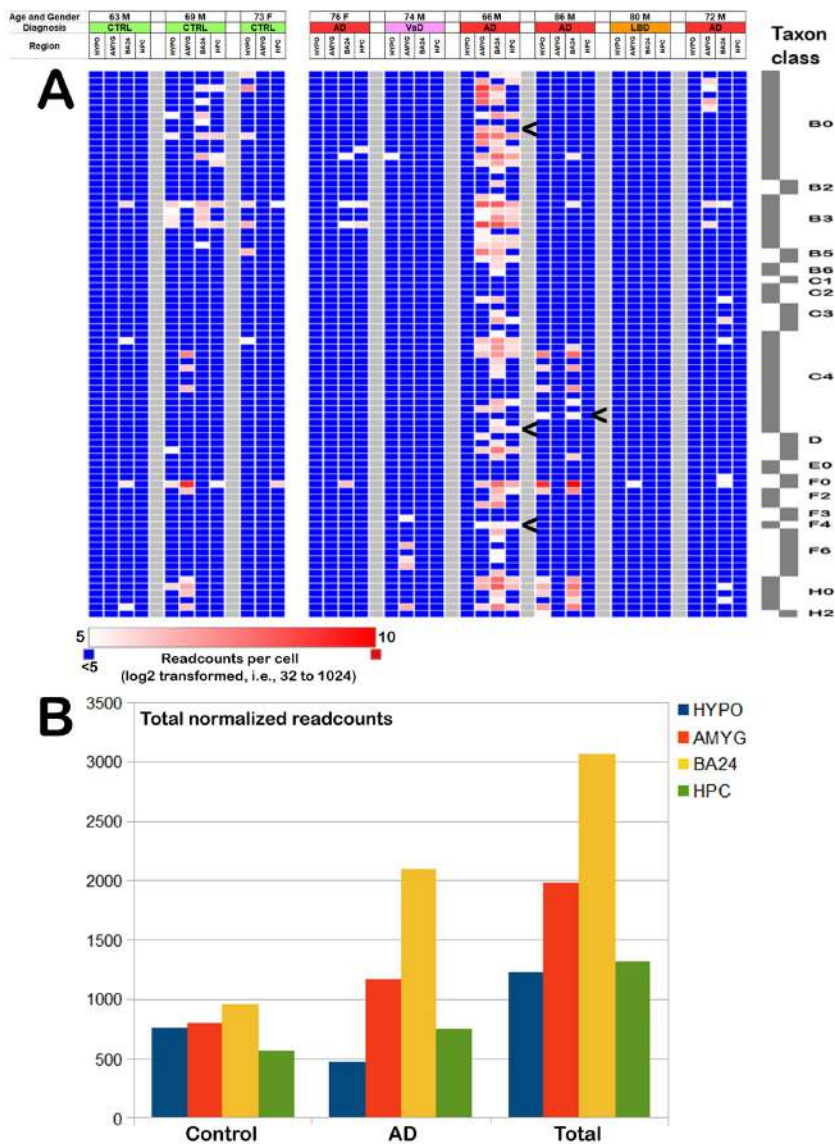




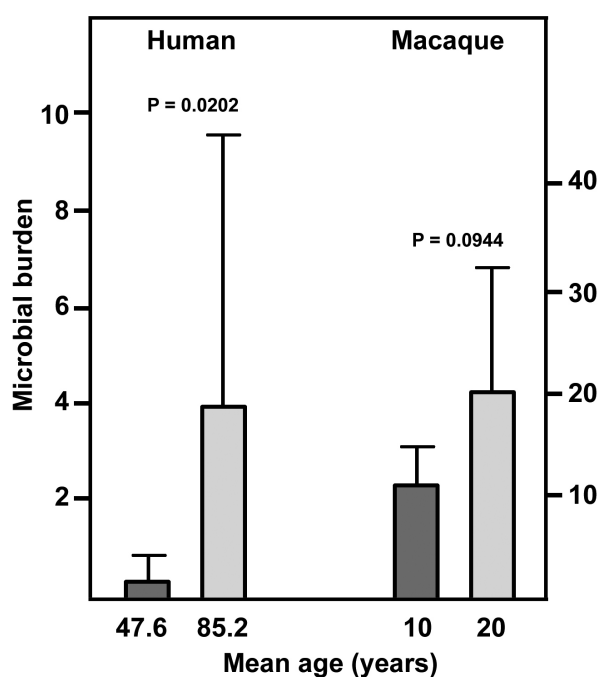
**Figure 2.** Similar microbial profiles in brains of bilateran species from *Drosophila melanogaster* to human. (A) Microbial profiles across 10 different species. Because normalization to (human) housekeeping gene expression was not possible, intensities were normalized to database size (no scale is therefore presented). In addition, back-subtraction against the host genome/transcriptome was not possible, but all signals inspected by direct sequence retrieval were found to be microbial in origin. (B,C) Sequences matching bacterial group B0 and fungal group F6 were collected from brain samples from different species (octopus and lobster were omitted for simplicity) and used to draw phylogenetic trees based on sequence similarity. Bacterial (B0) sequences were more sequence diverse than for fungi (F6), but there was evidence for evolutionary conservation. For example, the rat bacteria sequence (identified as a *Neisseria*-related species) is 87% identical to the zebrafish sequence (identified as a *Ralstonia*-related sequence) over 1480 nt, although fungal F6 sequences appear to be more conserved: for example 92.52% identity over 1013 nt between the brain F6 fungi of insect (*Drosophila* brain uncultured fungus) and fish (zebrafish brain *Boletaceae* sp.). Abbreviations: ASPERGILLU, *Aspergillus* sp.; BOLETA, *Boletaceae* sp.; CRYPTOOCO, *Cryptococcus* sp.; DMELANOG, *Drosophila melanogaster*; ENDOSPORIUM, *Endosporium* sp.; GLOMERACEO, Glomeraceous sp. LEPTOXY, *Leptoxyphium* sp.; MERISTEMOMYC, *Mristemomyces* sp.; SACCHARO, *Saccharomyces* sp.; UNCULT: uncultured fungus.



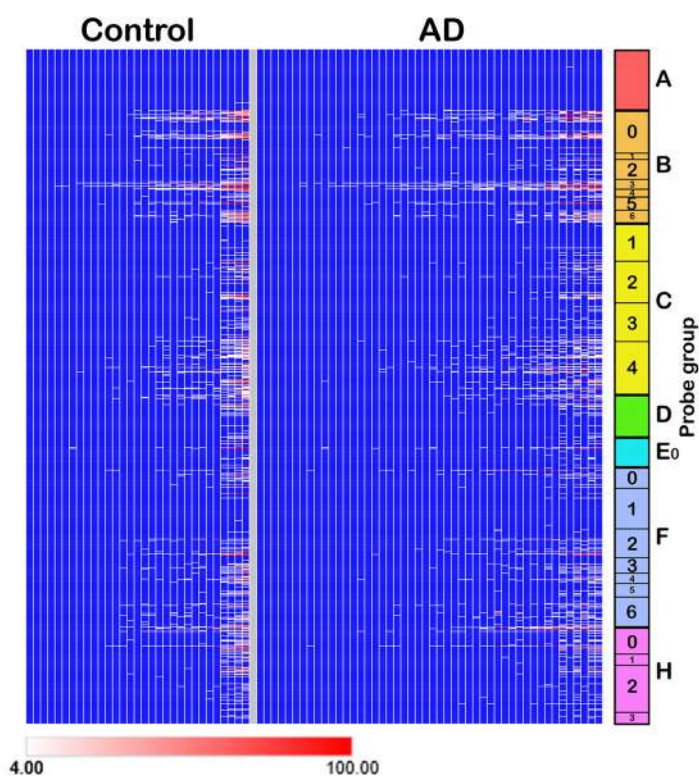
**Figure 3.** In terms of diversity, the brain microbiome appears to be a subset of the gut microbiome. Microbial groups are classified A–H as in Figure 1. (Left) Total signals in gut and normal brain from 1000+ probes. (Right) Total signals categorized for each microbial group. Because internal normalization to housekeeping genes was not possible for gut samples, absolute abundances could not be determined. Instead, to assess diversity, any signal matching a given probe was considered to be 'positive', and the graphs present the total number of probes (signal diversity) in each class that find matches in gut and brain. This demonstrates that the gut microbiome is about fourfold more diverse than the brain microbiome.



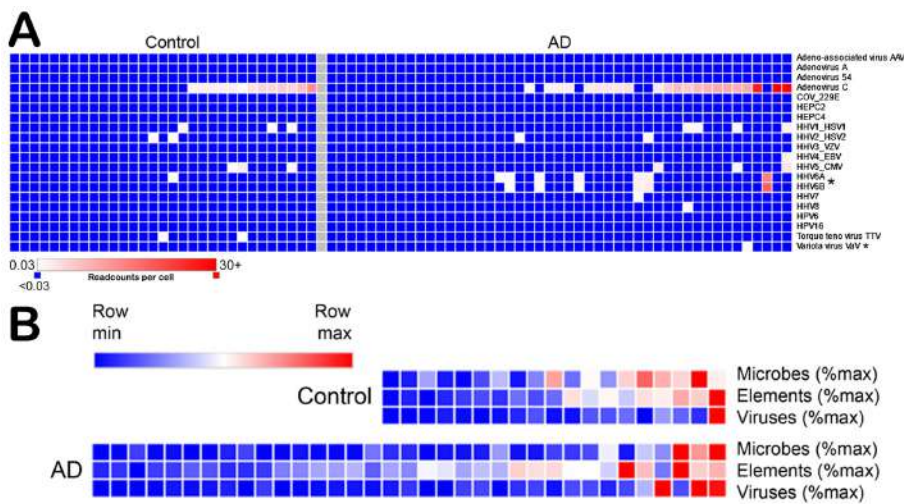
**Figure 4.** Analysis of Edinburgh Brain Bank (EBB) data. (A) Top 80 microbial signals in terms of abundance. The microbial scale (right) therefore differs from that in other figures. The cutoff has been set higher than in other figures to highlight the detail. Atypical microbes (not in other individuals) present in two brain regions of the same individual are arrowed. (B) Microbes per brain region: highest levels in cingulate cortex (BA24) overlying the hippocampus, and higher levels in cingulate cortex of patients with AD. Because of the small number of individuals sampled, statistical analysis was not undertaken.



**Figure 5.** Brain microbial burden as a function of age in (left) human hippocampus ('young',  $N = 16$ , mean age 47.6 years, range 29–59 years; 'elderly',  $N = 13$ , mean age 85.2 years, range 68–95), and (right) macaque hippocampus ('young',  $N = 6$ , all age 10 years; 'older',  $N = 6$  all age 20 years) (details in **Table S1** and references therein). Error bars are standard deviations,  $P$  values are from  $t$ -testing; the non-significance in macaque reflects the small number of samples analyzed. Because different internal controls (housekeeping gene probes) were used for human and macaque, the calculated microbial burdens are not strictly comparable. Note that the expression of the housekeeping genes employed does not change with age. Major species detected in (A) correspond to those reported here and in (Hu *et al.* 2022) (not presented). For macaque, the most abundant microbes were bacteria (Actinobacteria related to *Mycobacterium tuberculosis*, Alphaproteobacteria related to *Rickettsia japonica*, and Firmicutes related to *Bacillus subtilis*; *Bartonella* and *Escherichia* spp. were also seen), fungi (Ascomycota related to *Aspergillus fumigatus*), and apicomplexans (related to *Toxoplasma gondii*). Other species were seen but at lower abundance. No Archaea were recorded in macaque. Viral sequences were not investigated because our methodology only detects high-level homology to human viruses.

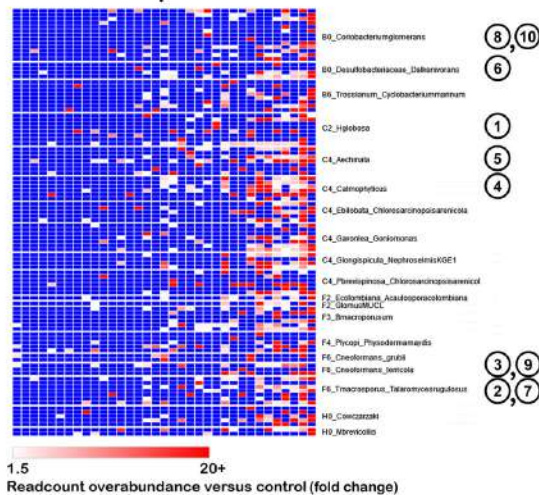


**Figure 6.** Microbial profiles in control and AD brain (arrayed left to right in terms of overall abundance) indicating that no specific microbial signals appear to be unique to AD brain.

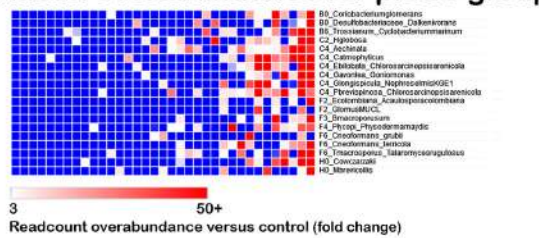


**Figure 7.** Microbial classes in control and AD brain samples. (A) Viruses: samples are sorted left to right in each case according to the total number of reads (internally normalized); a lower cutoff (0.03 reads per host cell) was employed than for cellular microbes (typically 4–5 reads per host cell) to minimize false negatives. \*Text for commentary. (B) Correlation between high abundances of microbes, 'elements' (endogenous retroviruses and retroelements), and viruses in both control and AD brain. The coefficients of determination were: cellular microbes versus viruses,  $R^2 = 0.394$ ; retroelements versus viruses,  $R^2 = 0.139$ ; and microbes versus retroelements,  $R^2 = 0.267$ . Viruses in (A) are HEPC2/4, hepatitis C2/4; HHV1/2, human herpes virus 1/2 (herpes simplex HSV1/HSV2); HHV3, varicella zoster virus (VZV); HHV4, Epstein–Barr virus (EBV); HHV5, cytomegalovirus (CMV); HHV6A/6B/7/8, human herpes viruses 6–8; HPV6/16, human papillomaviruses 6/16; TTV, torque teno virus; VaV, variola major-related virus (vaccinia virus).

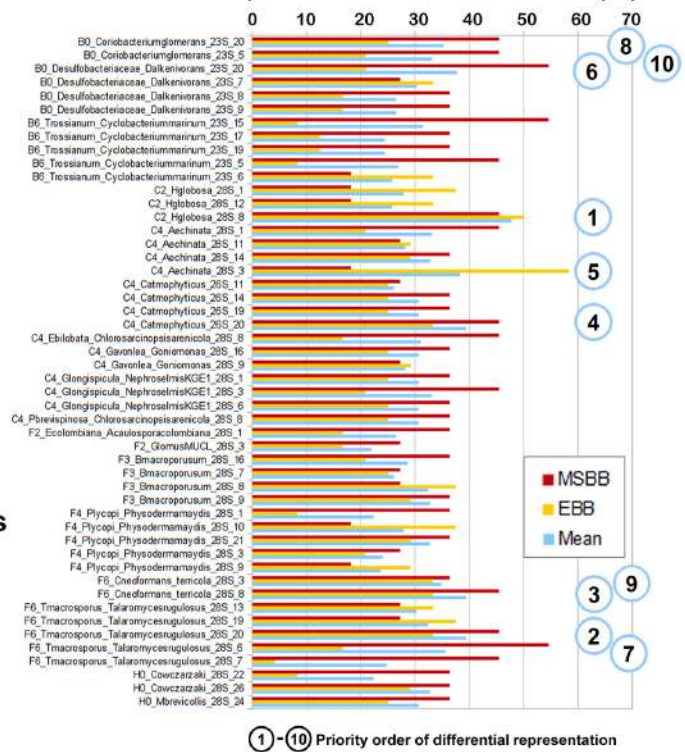
### A: Individual probes



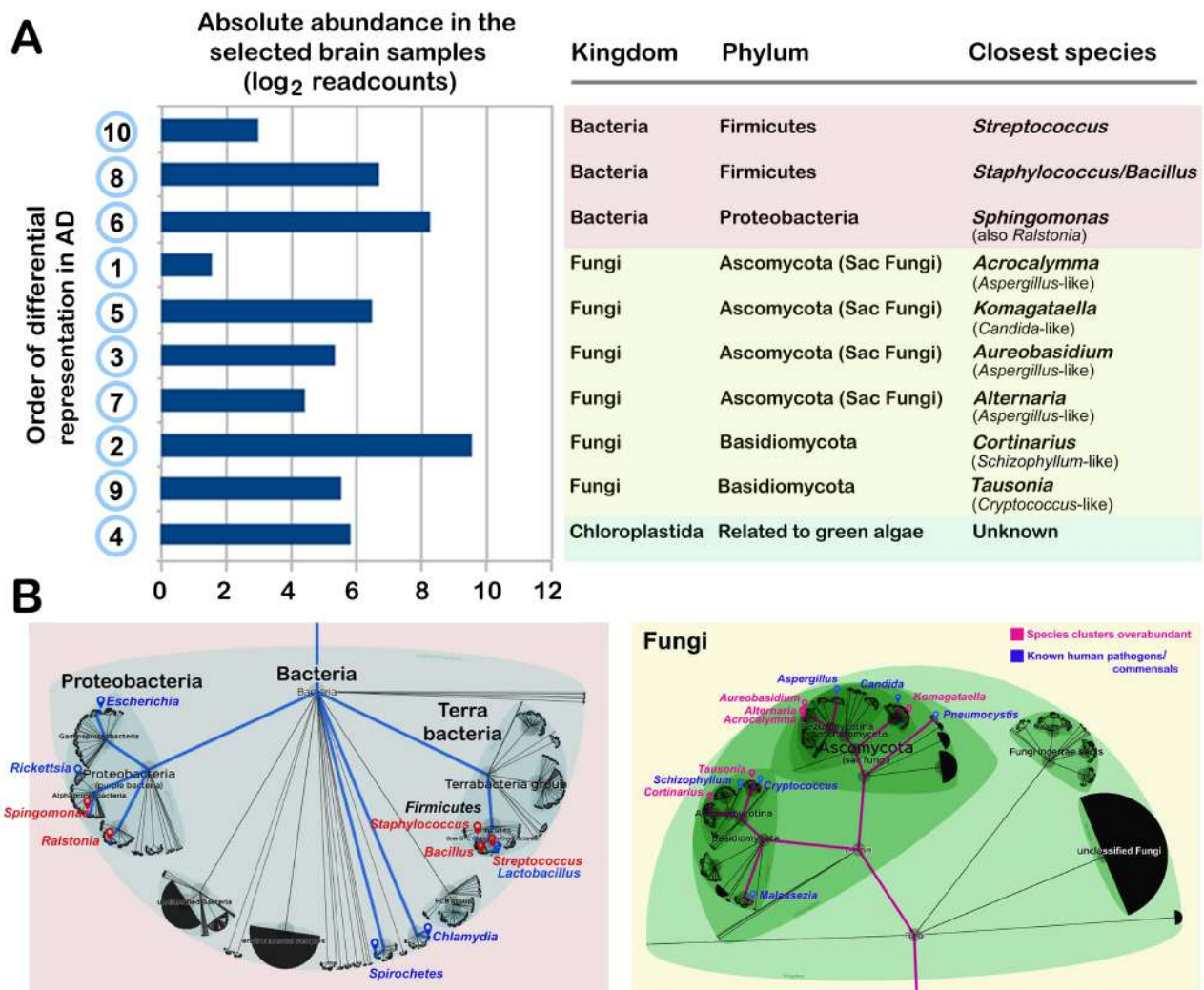
### B: Mean overabundance for probe groups



### C: AD brain samples with overabundance (%)



**Figure 8.** Differential representation in Alzheimer's disease (AD): species overabundant in AD brain versus control identified from 16S/18S rRNA were confirmed using independent 23S/28S rRNA analysis of the two largest datasets (MSBB and EBB). In panels A and B samples are sorted left to right in terms of overall excess abundance versus control. (A) Overabundances in terms of normalized readcounts for select probes per sample for AD brain samples. The top 10 differential signals are numbered according to panel C. (B) Because in panel A multiple probes have been used for each species, the mean overabundances were calculated for each species cluster (noting that these are unlikely to be monophyletic). (C) Over-representation: the proportion of AD brain samples with overabundance. The priority order in terms of mean over-representation (MSBB, red; EBB, yellow; mean of MSBB + EBB, blue) is numbered from 1 (47%, highest proportion of samples overabundant) to 10 (33%, 10th highest in mean differential in the proportion of samples showing overabundance); absolute abundances are presented in Figure 9.



**Figure 9.** Species over-represented in Alzheimer disease (AD) brain confirmed by 23S/28S rRNA analysis. (A; Left). Priority order 1–10 in terms of differential representation in AD samples versus control samples (proportion of samples showing over-representation), together with the absolute abundance in the AD samples {log<sub>2</sub> readcounts; ranging from ~3 (log<sub>2</sub> = ~1.5) to ~1000 (log<sub>2</sub> = 10)}. (A; Right) Identification of the exact species for the top 10 priority signals (from **Figure 8**) shown on the left. Species identifications are based on all matching sequences from Edinburgh Brain Bank (EBB) brain samples with high-abundance microbial signatures (SD037/18, BA24; SD014/17, HPC; SD014/17, BA24; and SD001/17, BA24; details in **Table S2**). (B) Phylogenies of the different species detected focusing on bacteria (Left) and fungi (Right): typical human pathogens/commensals are shown in blue; the species detected in AD brain are shown in red. The lower panels were drawn based on Lifemap NCBI version at <http://lifemap-ncbi.univ-lyon1.fr/>.



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