High altitude Relieves transmission risks of COVID-19 through meteorological and environmental factors: Evidence from China

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CRediT author statement

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# 1 High Altitude Relieves Transmission Risks of COVID-19

# 2 through Meteorological and Environmental

# **3 Factors: Evidence from China**

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# 22 Graphical Abstract



Abstract: Existing studies reported higher altitudes reduce the COVID-19 infection 34 rate in the United States, Colombia, and Peru. However, the underlying reasons for this 35 phenomenon remain unclear. In this study, regression analysis and mediating effect 36 model were used in a combination to explore the altitudes relation with the pattern of 37 transmission under their correlation factors. The preliminary linear regression analysis 38 indicated a negative correlation between altitudes and COVID-19 infection in China. 39 40 In contrast to environmental factors from low-altitude regions (<1500 m), high-altitude regions (>1500 m) exhibited lower PM2.5, average temperature (AT), and mobility, 41 accompanied by high SO<sub>2</sub> and absolute humidity (AH). Non-linear regression analysis 42 further revealed that COVID-19 confirmed cases had a positive correlation with 43 mobility, AH, and AT, whereas negatively correlated with SO<sub>2</sub>, CO, and DTR. 44 Subsequent mediating effect model with altitude-correlated factors, such as mobility, 45 AT, AH, DTR and SO<sub>2</sub>, suffice to discriminate the COVID-19 infection rate between 46 low- and high-altitude regions. The mentioned evidence advance our understanding of 47 the altitude-mediated COVID-19 transmission mechanism. 48

79 Key words: COVID-19, environmental factors, altitude, mediating effect model,

80 transmission mechanism

81

# 82 **1. Introduction**

The outbreak of novel respiratory disease 2019 (COVID-19) has posed a global 83 health crisis (Cao, 2020). With the rage of COVID-19, there have been over 0.24 billion 84 confirmed cases and 4.99 million deaths as of 30 October, 2021 according to John 85 Hopkins University (Hopkins, 2021). COVID-19 infects host cells via binding their 86 trans-membrane protein ACE2 (angiotensin-converting enzyme 2), together with 87 88 transmembrane serine protease 2 (TMPRSS2) (Li et al., 2020). The typical clinic symptoms of COVID-19 infected patients were cough, fever, dyspnea, myalgias, 89 diarrhea, nausea, and vomiting (Goyal et al., 2020), with a low incidence of congestion, 90 rhinorrhea, sore throat and diarrhea (Fu et al., 2020). Understanding the environmental 91 indicator of COVID-19 contributes to guiding public health policy-making. Imposed 92 city lockdown, and quarantine measures sharply reduced newly confirmed cases (Lian 93 et al., 2021). Though population flow drives spatio-temporal distribution of COVID-19 94 in China (Jia et al., 2020), available epidemiological data from Americas implied a 95 correlation between altitudes and the incidence of COVID-19, such as Argentina, Brazil, 96 97 Canada, Colombia, Costa Rica, Ecuador, Mexico, Peru, and USA (Arias-Reyes et al., 2020a; Millet et al., 2021; Segovia-Juarez et al., 2020). For instance, the average 98 COVID-19 infection rate in the United States decreased by 12% per 495 meters of 99 elevation (Stephens et al., 2021). The relative mechanism for this phenomenon remains 100 unclear. 101

High-altitude regions (e.g., Tibetan region of China) exhibited lower COVID-19 102 prevalence due to the relatively low population and mobility (Arias-Reyes et al., 2020a). 103 Adjusted regression models including population density supported a negative 104 105 correlation between COVID-19 cases and altitudes (Cano-Pérez et al., 2020). In addition, subsequent population-scale regression analysis from the United States 106 107 revealed that high altitudes are adverse to the transmission of COVID-19 (Stephens et al., 2021). Even though the effect of population density decreased, a noticeable 108 difference of COVID-19 infection in high- and low-altitude regions was observed 109 (Segovia-Juarez et al., 2020). Such divergence may decrease the half-life and survival 110

of the virus in high UV exposure in high-altitude regions (Arias-Reyes et al., 2020b;
Cadnum et al., 2020). Low pressure in high-altitude regions also affected lung
physiology (Breevoort et al., 2020). Clinic symptoms from low- and high-altitude
COVID-19 patients are primarily consistent while less prone to diarrhea at high-altitude
COVID-19 patients in Gansu Province (Yue et al., 2020).

The main transmission route of COVID-19 includes direct contact, respiratory 116 droplet, and fecal-oral route (Hindson, 2020). Extensive studies have explored the role 117 of social parameters (e.g., migration scale index and population density), climate 118 factors (e.g., temperature, humidity, rainfall) and air pollutants (NO<sub>2</sub>, SO<sub>2</sub>, CO) in 119 COVID-19 transmission (Jia et al., 2020; Lian et al., 2021; Shakil et al., 2020; Zang et 120 121 al., 2022). For instance, the Ensemble Empirical Mode Decomposition (EEMD) analysis indicated the limited seasonal modulations on COVID-19 evolution (Huang et 122 al., 2021). It has been also reported that high altitudes can influence the occurrence and 123 intensity of influenza A (H1N1, H5N1, H5N8) (da Costa et al., 2018; Scolamacchia et 124 al., 2021), and decrease COVID-19 infection (Segovia-Juarez et al., 2020; Stephens et 125 126 al., 2021). The high altitude at 4500 m down-regulates the expression of ACE2, thereby probably protecting them against COVID-19 replication in host cells(Mendes et al., 127 2019). However, the synergy effect of different factors on COVID-19 transmission 128 needs deep inquiry. 129

Geographical distribution of China covers complete data of COVID-19 confirmed 130 cases ranging from low- and high-altitude regions, it can be adopted as a model to 131 132 explore the relevant mechanisms of altitude-dependent COVID-19 infection. In this study, pandemic data (COVID-19 confirmed cases, death cases, as well as relevant 133 134 climate factors and air pollutants) of 339 cities in China were collected. We formulated the statistical null hypotheses for falsification: H1<sub>0</sub>, there are no inverse correlations for 135 altitude with COVID-19 confirmed cases; H2<sub>0</sub>, altitude has no effect on environmental 136 factors (CO, NO<sub>2</sub>, PM2.5, PM10, SO<sub>2</sub>, O<sub>3</sub>, AT, AH, DTR and mobility); H3<sub>0</sub>, 137 environmental factors (CO, NO<sub>2</sub>, PM2.5, PM10, SO<sub>2</sub>, O<sub>3</sub>, AT, AH, DTR and mobility) 138 have no effect on COVID-19 confirmed cases. 139

To further understand explore the altitude-mediated COVID-19 transmission 140 mechanism, an altitude-infection rate nonlinear regression analysis validated the 141 hypothesis that high altitudes reduce COVID-19 infection. A comparative analysis of 142 environmental factors were conducted in low-altitude regions (<1500 m) and high-143 altitude regions (>1500 m). Utilizing nonlinear regression analysis explored the 144 relationship between altitude-related factors and COVID-19 infection. Subsequently, 145 the multiple mediating effect model analysis elucidated the mechanism of altitude-146 mediated COVID-19 infection. The mentioned findings provide profound insights into 147 the relationship between altitudes and COVID-19 infection in China 148

# 149 **2 Material and Methods**

### 150 **2.1 Collection of COVID-19 confirmed cases**

151 A dataset of daily confirmed cases of COVID-19 was collected from 10 January, 2020 to 10 May, 2021 by excluding the imported cases in China. Data collection can 152 153 fall to two time periods: (i) During January 10 to March 1, 2020, the National Health Commission of the People's Republic of China (NHC) released the local cases of 154 COVID-19 in China while the local cases of Argentina derives from the Johns Hopkins 155 156 Coronavirus Resource Center (Hopkins, 2021) (ii) During March 2, 2020 to May 10, 2021, the local cases of COVID-19 infection were compiled from the NHC(NHC, 157 2021), which distinguished the local cases from the imported cases of COVID-19 on 158 each day. 159

#### 160 **2.2 Environmental factors collection**

High-altitude region was above 2500 m (Moore and Regensteiner, 1983). Given 161 the topography of China, the altitude falls to typical three terrain grades, which covers 162 163 Qinghai-Tibet Plateau (Grade I > 4000 m above sea level), major basin regions of China (Grade II with an altitude of 1000-2000 m), and main plains of China (Grade III < 500 164 m above sea level). In contrast to Grade III, the altitude variations in Grade I and II 165 revealed distinct environmental factors. Based on the confirmed COVID-19 patients in 166 China, high-altitude regions (>1500 m) and low-altitude regions (<1500 m) represent 167 below 1500 m and above 1500 m, respectively. 168

169

To examine the correlation between environmental factors and COVID-19

infection in-depth, various meteorological data, air pollution and urban basic data from 170 74 cities were collected, respectively (Table S1). Meteorological data were obtained 171 from the information center of ministry of ecology and environment of the People's 172 Republic of China (CMA, 2021) from January 10 to March 1, which involved average 173 temperature (AT), diurnal temperature range (DTR), absolute humidity (AH) and air 174 pollutants (e.g., PM2.5, PM10, SO<sub>2</sub>, CO, NO<sub>2</sub> and O<sub>3</sub>). All altitude data for 74 cities 175 originated from the National Geomatics Center of China (NGCC, 2021). Mobility for 176 74 cities from Jan 10 to March 1 was determined according to Baidu Migration Map 177 (qianxi, 2021). 178

The R package of nCOV2019 (Wu et al., 2020) was adopted to summarize the daily cumulative chart of confirmed cases by provinces and cities in China as of March 1. The infection summary map was employed in ArcGIS10.7.

#### 182 **2.3 Statistic analysis**

183 To explore the altitude-mediated COVID-19 transmission mechanism, we 184 employed the statistical null hypotheses for falsification:

185 H1<sub>0</sub>: There are no inverse correlations for altitude with COVID-19 confirmed cases.

H2<sub>0</sub>: Altitude has no effect on environmental factors (CO, NO<sub>2</sub>, PM2.5, PM10, SO<sub>2</sub>,
O<sub>3</sub>, AT, AH, DTR and mobility).

H3<sub>0</sub>: Environmental factors (CO, NO<sub>2</sub>, PM2.5, PM10, SO<sub>2</sub>, O<sub>3</sub>, AT, AH, DTR and
mobility) have no effect on COVID-19 confirmed cases change.

H4<sub>0</sub>: Altitude has no mediating effect on COVID-19 transmission by changing
environmental factors.

192 To test  $H1_0$  hypothesis, we applied linear regression (F-test) to understand the 193 relationship between confirmed cases of COVID-19 and altitudes from 74 cities. Taking 194 into consideration of strict city lockdown measures, relevant data of Hubei Province 195 were excluded.

To test H2<sub>0</sub> hypothesis, we provided a comparative analysis of environmental factors at low-and high-altitude regions by two independent t-test using SPSS v.20.0. The results were expressed as mean  $\pm$  SEM. p-value of <0.05 was considered statistically significant. Subsequently, spearman correlation analysis (F-test) was applied to examine the correlation between environmental factors and altitude.

To test H3<sub>0</sub> hypothesis, a nonlinear regression (F-test) model was exploited to explore the correlation between confirmed cases and various factors (AH, AT, DTR,

203 PM2.5, PM10, SO<sub>2</sub>, CO, NO<sub>2</sub> and O<sub>3</sub>, and mobility), respectively. We calculated 204 correlation coefficients to test the hypotheses and to assess the strength of relationships. 205 All nonlinear curve fit complied with spearman correlation by applying RStudio 4.0.3. 206 A significant difference of nonlinear regression analysis was identified at p<0.05.

Finally, we created a mediation model analysis to test the H4<sub>0</sub> hypothesis. To 207 further explore whether altitude-mediated COVID-19 infection, a mediation model was 208 209 used to evaluate the association between altitude and confirmed cases mediated by environmental factors. If the 95% CI of indirect effect did not contain 0, it indicated 210 that the mediating effect was significant. The mediation model was controlled for 211 covariates (CO, NO<sub>2</sub>, PM2.5, PM10, SO<sub>2</sub>, O<sub>3</sub>, AT, AH, DTR and mobility) and the study 212 213 variables were standardized. If there is an intermediary variable, it indicates the existence of the mediation effect (Liang et al., 2021). Such a nonparametric technique 214 215 has been extensively adopted to analyze small sample sizes since it can effectively avoid the interference of original data distribution. The detailed procedures of 216 mediating effect are described as previously (Rucker et al., 2011; Zhu et al., 2020c). 217

All regression analyses have been carried out using the statistical package R
version 3.5

# 220 **3 Results**

#### 221 **3.1 High altitude decreases on COVID-19 confirmed cases**

To reflect the correlation between COVID-19 confirmed cases and altitudes the 222 basic statistics information from 8178 confirmed cases covering 74 cities of China were 223 collected from January 2020 to May 2021(Fig. 1a). The confirmed cases of COVID-19 224 exhibited obvious aggregation and distribution nearby Hubei Province. Several 225 contiguous provinces (Hunan, Henan and Anhui) had higher COVID-19 confirmed 226 cases ranging from 1000 to 10000. In contrast, other contiguous provinces, including 227 Jiangxi, Chongqing, Shanxi, attenuated the confirmed cases of COVID-19. Subsequent 228 229 linear regression analysis (R=0.415) showed a significant negative correlation between altitudes and COVID-19 confirmed cases (Fig. 1b), which challenged the H10 230 hypothesis. COVID-19 data from Argentina also shared a similar trend with that of 231

232 China (Fig. 1c). These evidence indicate altitude-dependent COVID-19 infection may

233 be a universal phenomenon.

234



235

Fig. 1. a, Geographic patterns of COVID-19 confirmed cases from China as of May 31, 2020;
b, c, linear correlation analysis between altitudes and infection rate of COVID-19 in China (b)
and Argentina (c)

# 239 3.2 Comparative analysis of environmental factors at low-and high-altitude 240 regions

Previous analysis revealed that altitudes reduced the COVID-19 infection in 241 China, we speculated that environmental factors in high-altitude regions are responsible 242 for the COVID-19 infection. High-altitude regions significantly decreased PM2.5, AT, 243 AH and mobility (p < 0.05), along with high level of SO<sub>2</sub> and DTR as compared to the 244 low-altitude regions, (Fig. 2). The change in altitudes has no significant impact on the 245 PM10, CO, O<sub>3</sub>, and NO<sub>2</sub> (p>0.05). Among all parameters, air pollutants SO<sub>2</sub> at >1500 246 m was 2-fold higher than at <1500 m (Fig. 2c). Climatic factors (e.g., AT and AH) are 247 248 sensitive to altitude changes; their levels above 1500 m were 5.1- and 3.8-fold lower than that below 1500 m, respectively (Fig. 2g, 2i). Although imposed quarantine 249 measures in high-altitude regions showed less than 50% mobility of low-altitude 250 regions (Fig. 2j). Spearman correlation analysis was carried out to examine the 251 correlation between environmental factors and altitude (Table 1). Notably, PM2.5, 252

253 PM10, SO<sub>2</sub>, CO, and DTR were positively correlated with altitudes with an r-value of 254 >0.24, while altitudes were negatively correlated with mobility, AT and AH, and their 255 correlation coefficients were -0.236, -0.460, and -0.497, respectively. However, there 256 was no significant correlation between altitudes, NO<sub>2</sub> and O<sub>3</sub>. Collectively, this 257 findings disproved H2<sub>0</sub> hypothesis, namely, altitude has a significant correlation with 258 environmental factors except for NO<sub>2</sub> and O<sub>3</sub>.



259

Fig. 2. Comparative analysis of air pollutants, climate factors and social factors from lowaltitude region (<1500 m) and high-altitude region (>1500 m). AT: ambient temperature; AH: absolute humidity; DTR: diurnal temperature range. \* represents significant difference while ns indicates no significant difference. \* P<0.05, \*\* P<0.01, \*\*\* P<0.001.

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Factor	correlation index(r)	<b>P</b> value	
PM2.5	0.244*	0.036	
PM10	0.291*	0.012	
$SO_2$	0.475***	< 0.001	
СО	0.442***	< 0.001	
$NO_2$	0.104	0.376	
O3 8h	-0.202	0.084	
Mobility	-0.236*	0.043	
AT	-0.460***	< 0.001	
DTR	0.454***	< 0.001	
АН	-0.497***	< 0.001	

<sup>265</sup> Notes: AT: ambient temperature; AH: absolute humidity; DTR: diurnal temperature range. "\*\*\*" and "\*" represent

266 *p*<0.001 and *p*<0.05, respectively.

#### 267 **3.3 Environmental factors of the COVID-19 transmission**

To explore whether environmental factors have an effect on COVID-19 infection in China, spearman correlation analysis was applied to investigate the correlation between COVID-19 infection and environmental factors (Table S2, Fig. 3). Based on the correlation coefficients, the mentioned environmental factors are divided into three categories, namely dominant, secondary and other factors.



273

Fig. 3. Spearman correlation analysis between environmental factors and COVID-19 confirmed cases. Mobility (a); Air pollutants: SO<sub>2</sub> (b), CO (f); Climatic parameters: Average temperature (c), absolute humidity (d), diurnal temperature range (e).

# 278 **3.3.1 Mobility is dominant factor for COVID-19 infection**

279 Mobility represents the behavior of the travelers leaving from one city to another 280 city for short time period by spatial displacement, including airplane, high-speed rail,

ship, coach and private car. It was observed that the change of mobility is positively
correlated with COVID-19 infection (Fig. 3a). Mobility <1, it slightly contributed to</li>
the decrease in confirmed cases; whereas COVID-19 infection dramatically increased
when the mobility exceeded 2.

## 285 3.3.2 AT, DTR, AH, CO and SO<sub>2</sub> are secondary factors responsible for COVID-

286 **19 infection** 

287 An obvious S-shaped curve was observed between environment factors (AT, SO<sub>2</sub>) and COVID-19 confirmed case (Fig.3b,3c). The level of SO<sub>2</sub> has a negative correlation 288 with confirmed cases above a threshold of 8  $\mu$ g·m<sup>-3</sup>, and then confirmed cases 289 rebounded near  $25\mu g \cdot m^{-3}$ . However, AT ranging from 0 to 15 °C exhibited a positive 290 correlation with confirmed cases while AT below 0 °C showed a low distribution of 291 COVID-19 infection (Fig.3c). Unlike SO<sub>2</sub>, the relationship between CO and confirmed 292 cases is an arched curve (Fig.3f), and the cases reached the maximum level when the 293 concentration of CO was 0.8 mg·m<sup>-3</sup>. Similarly, COVID-19 confirmed cases increased 294 first and then decreased with the changes of AH (Fig. 3d), its corresponding 295 threshold values was 6  $g \cdot m^{-3}$ . As opposed to the mentioned, DTR has a negative 296 relationship with confirmed cases, and possessed three different slopes (Fig. 3e). Thus, 297 we can challenge the H3<sub>0</sub> hypothesis because environmental factors (e.g. mobility, AT, 298 DTR, AH, CO and SO<sub>2</sub>) have an impact on COVID-19 confirmed cases. 299

## 300 3.3.3 O<sub>3</sub>, NO<sub>2</sub>, PM2.5 and PM10 have no impact on COVID-19 infection

In the mentioned parameters, other factors (e.g.,  $O_3$ ,  $NO_2$ , PM2.5, and PM10) did not impact COVID-19 infection (Table S2), which was inconsistent with the existing studies (Zhu et al., 2020b). For example, 4.86 mg·L<sup>-1</sup> ozone-water could deactivate SARS in 3 min. However, the effect of altitude on PM2.5 and PM10 was significantly correlated. With the increase in altitudes, the content of particulate pollutants in the air shows an upward trend, this provides reasonable explanation of low COVID-19 infection in high-altitude regions.

## 308 3.4 Mediation model analysis reveals altitude-mediated COVID-19 infection

To examine the potential mechanism of altitude-mediated COVID-19 infection, a 309 mediation model analysis was conducted to assess the correlation of altitudes, 310 environmental factors, COVID-19 infection. As shown in Fig.4, the environment 311 factors of altitude on confirmed cases was negative associations (IE = -0.020, p < 0.01) 312 and the 95% bias-corrected bootstrap confidence interval was -0.040 to 0.000, which 313 indicated indirect effect of environment factors on confirmed cases (Table 2). In 314 addition, the direct effect of altitude on confirmed cases (ADE = -0.020, p < 0.001) was 315 also significant, indicating that environment factors partially mediated the relationship 316 317 between altitude and confirmed cases, thereby we can disprove the H4<sub>0</sub> hypothesis (Fig. 1). These evidences suggest that the altitude can influence COVID-19 infection by 318 changing corresponding environmental factors. 319



Fig.4. Multiple mediating effect model between altitude and confirmed cases. AT: ambient temperature; AH: absolute humidity; DTR: diurnal temperature

323 range.

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320

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        Table 2 A mediating effect between infected rates and altitude
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Factor	IE	ADE	Total Effect
SO <sub>2</sub>	-0.010(-0.026,0.000)*	-0.029(-0.063,0.010)	-0.038(-0.075,0.000)**
CO	-0.002(-0.012,0.010)	-0.040(-0.075,0.000)**	-0.042(-0.084,-0.010)**
Mobility	-0.020(-0.045,0.010)	-0.019(-0.049,0.010)	-0.040(-0.071,0.010)
AT	-0.010(-0.025,0.000)*	-0.031(-0.062,0.010)	-0.041(-0.074,0.000)**
DTR	-0.007(-0.021,0.010)	-0.035(-0.078,0.010)	-0.042(-0.082,0.000)***
AH	-0.012(-0.027,0.000)*	-0.029(-0.064,0.010)*	-0.041(-0.072,-0.010)***

325 Note: AT: ambient temperature; AH: absolute humidity; DTR: diurnal temperature range. \*\*\*\*': P<0.001, \*\*\*:

326 *P*<0.05. '\*': *P*<0.1.

327

# 328 **4 Discussion**

### 329 4.1 Altitudes is negatively correlated with the COVID-19 infection

13% of the cities in China are located in middle- and high-altitude regions (>1500 330 With the improvement of infrastructure 331 m above sea level). and 332 convenient transportation, population flow at high-altitude regions are still active. 333 Our observations found that high altitudes is associated with the COVID-19 infection 334 in China, in accordance with existing studies conducted in Colombia (Cano-Pérez et al., 2020), Peru (Quevedo-Ramirez et al., 2020; Segovia-Juarez et al., 2020), United States 335 (Stephens et al., 2021), and Mexico (Woolcott and Bergman, 2020). After the effects of 336 337 population density was eliminated, an obvious negative correlation between altitudes and infection rates was still identified in Peru, thereby demonstrating that altitude has 338 the potential to influence the COVID-19 infection (Segovia-Juarez et al., 2020). 339 However, several studies debated the pros and cons of altitude-related COVID-19 340 341 infection have been also reported previously (Luks and Swenson, 2020). Admittedly, moderate intermittent hypoxia induced by high altitude is capable of improving 342 endogenous antioxidant capacity, mitochondrial and immune system function by 343 inducing relevant ROS signaling, HIF and inflammatory pathways (Ivashkiv, 2020; van 344 345 Patot et al., 2009; Yin et al., 2007). The mentioned findings also raise the possibility of hypoxia therapy in COVID-19 patients, including steroids curing for high-altitude 346 disease (e.g., dexamethasone), are equally effective against COVID-19, especially in 347 patients with severe COVID-19 (Han et al., 2019). 348

# **4.2 High- and low-altitudes regions shared obvious difference in environmental factors**

It is estimated that China's urbanization rate has increased from 17% to 60.0% in 2019, with over 600 million people migrating to cities (Bai et al., 2014). Such migration with a huge population is largely located in the coastal regions (e.g., the Yangtze River Delta and the Pearl River Delta), causing high mobility in low-altitude regions. The high-altitude regions encountered a wide range of difficulties in the construction of the

public transportation system, especially geological problems in the permafrost regions 356 (Shan et al., 2014). Furthermore, the city size and population density of high-altitude 357 358 regions are lower than in low-altitude regions. The mentioned limitations decreased the mobility of high-altitude regions, thereby reducing the transmission of the pandemic in 359 high-altitude regions. 360

361 Air pollutants are composed of organic compounds, metal particles, carbon materials, and other particulate materials (even ions) (Pandey et al., 2005). Among of 362 them, PM2.5 acts as transport medium of large amounts of toxic contaminants via 363 adsorption (Lu et al., 2015), thus posing a health risk to human (e.g., lung disease) (Tan 364 et al., 2017). Our study demonstrated that the concentration of PM 2.5 was altitude-365 dependent due to fewer developed urban agglomerations in high altitudes. Such trend 366 can be supported by Zhao et. al., reported that a higher PM2.5 level in urban centers 367 (Zhao et al., 2014). COVID-19 broke out in winter, and abundant particulate pollutants 368 (e.g., PM10) increased due to the prevalence of winter heating, especially in Northwest 369 regions of China (e.g., Shaanxi, Gansu and Ningxia) (Ou et al., 2010). With the 370 371 promulgation of national environmental protection policies, the total emission of SO<sub>2</sub> was effectively controlled (Jiang et al., 2020). Nevertheless, high-altitude regions 372 required extra fossil fuel combustion for warming, thereby causing higher SO<sub>2</sub> 373 concentration than that in low-altitude regions. For O<sub>3</sub>, NO<sub>2</sub> and CO, high population 374 density could be a main contributor for their emissions (Feng et al., 2015; Han et al., 375 2011). The present observations revealed a significant difference of AT, AH, and DTR 376 377 between high- and low-altitude regions due to their distinct climate types. High-altitude regions pertain to temperate continental climate and plateau climate, while monsoon 378 379 climate is prevalent in low-altitude regions (Shi et al., 2007)

#### 380

#### 4.3 Environmental factors influence the COVID-19 transmission

Environmental factors and social customs contribute to the transmission of some 381 representative pandemic viruses (Boomhower et al., 2022). Among of these factors, 382 383 mobility is dominant factor to control the human-to-human transmission risk of COVID-19 (Jiang and Luo, 2020; Liu et al., 2020), as well as SARS (Li et al., 2005) 384

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and H1N1(Boëlle et al., 2011). It is estimated that confirmed cases increased by 105.27% 385 without Wuhan blockade based on the prediction model (Wuhan 2020 vs. seven other 386 387 lockdown cities 2020). In contrast, strict city lockdown and home quarantine order decreased the migration rate by 54.15% (Fang et al., 2020). Although low mobility is 388 accompanied with less social contact (Zhu et al., 2020c), some unexpected events (e.g., 389 George Floyd) and large festival (e.g., Kumbh Mela) led to an explosion in of new 390 confirmed cases (Valentine et al., 2020; Visaria and Dharamdasani, 2021). For example, 391 392 5-day abnormal growth were observed in all six cities surveyed, including Atlanta (4.24%), Houston (16.76%), Jacksonville (32.35%), Miami (8.3%), Orlando (51.75%), 393 and Phoenix (4.26%). This COVID-19 rebound is largely attributed to high mobility 394 and large gatherings in the absence of safe social distancing. China's current prevention 395 and control measures effectively reduced the spread of COVID-19, but travel 396 restrictions should still be maintained (Zhao et al., 2020). Similar government 397 interventions were enacted in Peru, Bolivia, and Colombia, and people's time at home 398 increased by 50%. This low mobility has significantly restricted the spread of COVID-399 19 (Zhu et al., 2020a). 400

Our studies confirmed that AT, AH and DTR affected the COVID-19 infection, in 401 lined with aerosol mediated person-to-person transmission of COVID-19 in Wuhan 402 hospital (Liu et al., 2020). The stability and activity of the virus appears to be closely 403 to AT and AH, thereby contributing to droplet mediated virus transmission (Xie and 404 Zhu, 2020). Generally, the median half-life of the novel Coronavirus in aerosol is 2.74 405 406 hours. It can live on contaminant surfaces for up to several days and still be infectious. 407 Consequently, a combination of heat and ultraviolet light irradiation was used for the 408 sterilization and prevention of COVID-19(Mahanta et al., 2021). However, our studies 409 didn't observe a significant difference of solar radiation at low- and high- altitude regions. Thus, we believe that the solar radiation showed a negligible on the 410 transmission of COVID-19 in China. 411

Unlike climatic factors, anthropogenic activities exacerbate the formation
distribution of air pollutants. Our studies revealed that air pollutants (e.g., SO<sub>2</sub> and CO)

showed considerable effect on the COVID-19 infection. Once their levels reached a 414 certain threshold, and could inhibit the transmission ability of COVID-19. Existing 415 studies also demonstrated that 3.6 ppm of SO<sub>2</sub> gas and 308 cm<sup>-2</sup>·min<sup>-1</sup> of simulated solar 416 radiation kill Encephalomyelitis viral (Berendt et al., 1971; Berendt et al., 1972). 417 However, 150 µM CO inhibits bovine viral diarrhea virus replication in bovine to some 418 extent (Ma et al., 2017; Zhang et al., 2017). Under normal conditions, vehicles took up 419 47% of total CO emissions in the air. During the Home Quarantine, CO levels in the air 420 decreased significantly with a decline in road traffic and economic activity (Dantas et 421 al., 2020). Nonetheless, the interactions between air pollutants and climatic factors are 422 still underestimated. 423

In addition to the abovementioned factors, some other factors, e.g. Vitamin D, 424 Pollens and mold spores, should not be underestimated because they are associated with 425 complications of COVID-19. Previous studies found that Vitamin D deficiency may 426 induce acute respiratory distress syndrome (Grant et al., 2020), populations living in 427 the high-altitude regions had less levels of vitamin D than those living at lower altitudes 428 429 (Hirschler et al., 2019), along with low accidence of emphysema D (Mendes et al., 2019). Thus, we speculated that low vitamin D at high-latitude regions a potential 430 contribution to decreaseing the transmission of COVID-19. In most cases, the role of 431 pollen and mold spores in COVID-19 transmission is still controversial due to the 432 complexity of the transmission of COVID-19 (Shah et al., 2021). It can function as 433 potential vector of COVID-19, and could cause lung complications(Ravindra et al., 434 435 2021). However, existing studies found that pollen had a high negative correlation with the incidence of COVID-19(Hoogeveen et al., 2021). These findings are still early 436 437 speculations because it is challenging to achieve seasonal allergens exposure and lack of corresponding experimental data. Therefore, our study incorporated pollen 438 nucleomyces spores and other factors into PM2.5 and PM10 to avoid the deviation 439 caused by a single factor. 440

## 441 **4.5 Altitude mediated COVID-19 infection by changing environmental factors**

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Negative binomial regression model analysis, coupled with lag model can

accurately assess the correlation between environmental factors and COVID-19 443 infection (Zhou et al., 2021). Another study utilized meta-analysis to integrate existing 444 445 COVID-19 (Gupta et al., 2020). However, these analysis underestimates the main driven factors (e.g. altitude) associated with COVID-19 infection (Bashir et al., 2020; 446 Ma et al., 2020; Pirouz et al., 2020; Wang et al., 2020). Our studies combined the 447 nonlinear regression analysis and mediating effect to elucidate the altitude-mediated 448 COVID19 transmission mechanism (Fig. 4). Similarly, the altitude-driven influence of 449 various factors on the transmissible capacity of epidemics has been found in 450 H1N1(Perez-Padilla et al., 2013), H7N9 (Qiu et al., 2014), HIV (Hoshi et al., 2016) and 451 other dengue (Hurtado - Díaz et al., 2007). The rational allocation of public health 452 resources can be facilitated by studying the spatial and temporal characteristics of 453 COVID-19 transmission, disease prevention and control of public health workers, 454 flexible prevention and control strategies in different risk areas and effective prevention 455 measures in high-risk areas. 456

Collectively, our study provided a novel insight on altitude-mediated COVID-19 457 infection via nonlinear regression and mediating effect model, and reported altitude-458 459 related environmental factors (e.g., SO<sub>2</sub>, CO, mobility, AT, AH and DTR) as main contributors of COVID-19 infection. Though existing studies reported a higher 460 COVID-19 mortality rates in U.S. counties located at  $\geq 2,000$  m elevation versus those 461 located <1,500 m (Woolcott and Bergman, 2020), no relationship between altitude and 462 COVID-19 mortality rates was observed in China. Such divergence is correlated with 463 464 lower confirmed patients in high-altitude region of China. It's worth noting that low confirmed cases in high-altitude regions do not mean that low mortality, COVID-19 465 466 deaths mainly are induced by the patient's own symptoms.

467 **4.6 Study limitations** 

468 Due to the complexity and diversity of environmental factors, there are limitations 469 in the research of COVID-19. In order to improve the accuracy of assumptions, a 470 variety of different prediction models to validate altitude-mediated COVID-19 471 transmission could be used. On the other hand, the research on the impact of COVID-

472 19 infection rate should be expanded, including R0, epidemiological analysis, mutant 473 strain and other complex situations. Though high altitudes may decrease the 474 transmission risk of COVID-19, the mentioned populations should be considered 475 especially for COVID-19 due to technical errors and canceled ventilation of 476 commercial ventilators in high-altitude regions (Breevoort et al., 2020)

## 477 **5 Conclusion**

This study revealed the relationship between altitude and COVID-19 infection in China via nonlinear regression, spearman regression analysis, and mediating effect model. Environmental factors, such as mitigation scale index, ambient temperature, absolute humidity, diurnal temperature range, SO<sub>2</sub>, and CO, partially mediated 44.7% of the correlation between altitudes and COVID-19 infection. The mentioned evidences present more insights into the altitude-mediated COVID-19 transmission mechanism.

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# 489 **Conflict interest**

490 The authors declare no conflict of interest.

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# Highlights

- High altitudes reduce the COVID-19 infection.
- Altitude changes the levels of MSI, DTR, AH, AT, and SO<sub>2</sub>.
- Multiple mediating model confirmed altitude-dependent COVID-19 infection.

Journal Pre-proof

### **Declaration of interests**

 $\boxtimes$  The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

□ The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: