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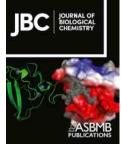
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# Vitamin D signaling inhibits HBV activity by directly targeting the HBV Core promoter

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#### ABSTRACT

Clinical and epidemiological studies support a role for vitamin D in suppressing hepatitis B virus (HBV). This antiviral role of vitamin D is widely attributed to Vitamin D Receptor (VDR)/Retinoid Х Receptor (RXR)mediated regulation of host immunomodulatory genes through Vitamin D Response Elements (VDREs) in their promoters. Here, we investigated the ability of calcitriol  $(1\alpha, 25(OH)_2D_3)$ , metabolically activated Vitamin D) to directly regulate HBV activity through this signaling pathway. We observed that calcitriol selectively inhibited only the HBV-core promoter without affecting the HBV-PreS1, HBV-PreS2/S, or HBx promoters. We then identified a VDRE-cluster in the HBV-core promoter that is highly conserved across most HBV genotypes. Disruption of this VDREabrogated calcitriol-mediated cluster suppression of the HBV-core promoter. Furthermore, we showed VDR interacts directly with the VDRE-cluster in the HBVcore promoter independent of RXR. This demonstrates that calcitriol inhibits HBVcore promoter activity through a noncanonical calcitriol-activated VDR-pathway. Finally, we observed that calcitriol suppressed expression of the canonical HBVcore promoter transcripts, pregenomic RNA and precore RNA in multiple HBV cell culture models. Additionally, calcitriol inhibited the secretion of HBeAg and HBsAg (HBV-encoded proteins linked to poor disease prognosis), without affecting virion secretion. Our findings (a) identify VDR as a novel regulator of HBV-core promoter activity, (b) explain at least in part the

correlation of vitamin D levels to HBV activity in clinical studies, (c) have implications on the potential use of vitamin D along with anti-HBV therapies, and (d) lay the groundwork for studies on vitamin Dmediated regulation of viruses through VDREs in virus promoters.

### INTRODUCTION

It is estimated that 2 billion people have been infected with HBV at some point in their lifetime, which has led to more than 350 million chronic HBV (CHB) infections worldwide (1). CHB leads to depletion of liver function and is a leading cause of hepatocellular carcinoma (HCC) (2). Hence, it is important to understand mechanisms regulating virus activity, so as to develop interventions to improve prognosis (3). Serum vitamin D level has been inversely linked to HBV activity in numerous clinical and epidemiological studies, however the role of vitamin D in HBV biology is not well understood (4). In this study we explore the ability of vitamin D to regulate HBV activity.

HBV is an enveloped small DNA virus belonging to the Hepadnaviridae family. Its 3.2 kb genome has four major promoters, which interact with host- and HBV-encoded transcription factors to regulate HBV transcripts (5). A graphical illustration of the HBV genome depicting the relative position of the HBV promoters and their canonical transcripts has been provided (Fig. S1). (i) The PreS1/S and (ii) PreS2 promoters yield two transcripts that are translated into surface proteins which constitute the viral envelope (iii) The HBx promoter regulates the production of the oncogenic HBx protein and (iv) the HBV-core promoter regulates two transcripts: the precore RNA (pcRNA) and the pregenomic RNA (pgRNA). The HBV pgRNA represents the full-genetic template of the virus that is packaged into the capsid and later reverse-transcribed. The HBV

pcRNA encodes the HBeAg (hepatitis B 'e' antigen) which is secreted from infected hepatocytes (6). In addition to HBeAg, the HBV genome encodes another secretory protein, HBsAg (hepatitis B surface antigen; an HBV surface protein). These secretory proteins are primary markers used for diagnosis of HBV infection and their presence is linked to poor disease prognosis (6)(7)(8)(9)(10)(11)(12)(13).

Vitamin D can regulate genes involved in vital cellular processes, such as mineral homeostasis, cell cycle regulation and immunomodulation, through its metabolically active form known as calcitriol  $1\alpha$ ,25-dihydroxyvitamin D3 or  $(1\alpha, 25(OH)_2D_3).$ Calcitriol binds and activates a nuclear receptor (NR) known as Vitamin D Receptor (VDR). VDR can activate or repress transcription by binding DNA motifs known as Vitamin D Response Elements (VDREs) at proximal or distal sites from the TSS (Transcription Start Site) (14). VDREs are usually composed of two hexameric core sequences (Direct Repeats or DRs) separated by 3 nucleotides (DR3-type VDREs) (14)(15). Activated VDR usually heterodimerizes with another NR, Retinoid X Receptor (RXR), at VDREs to activate gene expression (14). Deviations from conventionally observed events in the VDRpathway are associated with negative regulation of the target gene. For instance, VDR has been shown to bind to VDREs in the absence of RXR to suppress transcription (16)(17)(18)(19).

Vitamin D deficiency in CHB is linked to increased viral replication (20)(21), poor disease prognosis and progression to HCC (22)(23). CHB patients having sufficient vitamin D levels show better response to anti-HBV therapy, as observed by an improved virological response to nucleos(t)ide analogues (24). Furthermore, aberrations in the *VDR* gene, specifically VDR *FokI*  polymorphisms, associated with are increased susceptibility to HBV infection and an increased risk of HCC (25)(26). Taken together, these clinical findings strongly support the role of vitamin D and its induced VDR-pathway in regulating HBV activity and HBV-related liver disease. These observations have been primarily attributed to the ability of vitamin D to improve the innate and adaptive immune response of the host (27)(28). The presence of VDREs in the HBV genome and the regulation of its promoters directly through the VDRsignaling pathway has not been explored. Here, we hypothesized that vitamin D can regulate HBV promoter activity directly through its signaling pathway, therefore potentially altering transcription, translation of viral proteins and ultimately HBV replication. Our hypothesis is supported by numerous studies which have shown that other members of the NR superfamily including PPARα, RXRα, FXRα, HNF4 and TR4 can directly bind and regulate transcription from the HBV genome (29)(30)(31)(32). Furthermore, VDR has been previously suggested to directly bind and alter viral promoter activity in HIV-1 (33).

In this study, we tested the ability of calcitriol to regulate HBV promoters directly. We demonstrated that calcitriol negatively regulates only the HBV-core promoter. We then screened the core promoter for the presence of functional VDREs and tested their role in the calcitriol-mediated inhibition of the core promoter. We further use binding assays to better understand the mechanism by which calcitriol and its target receptors inhibit the core promoter. Finally, we study the effect of calcitriol in context of the whole-HBV genome, for its ability to regulate HBVcore promoter transcripts (pgRNA and pcRNA), HBV secretory proteins (HBsAg and HBeAg) and HBV virion secretion in different HBV cell culture models.

### RESULTS

# HBV-core promoter is selectively supressed by calcitriol

Luciferase reporter assays were performed to test the effect of calcitriol on the activity of each of the four HBV promoters in three hepatic cell lines: HepG2, Huh7 and HepG2.2.15. Before conducting these experiments, we wanted to ensure these cell lines respond to the vitamin D-activated VDR-pathway. For this purpose, we studied the expression of CYP24A1, a host gene that is known to be transactivated by VDRE-VDR/RXR interactions in its promoter (34)(35)(36). We observed a significant increase in the expression of CYP24A1 mRNA by qPCR in all the 3 hepatic cell lines in the presence of 10 nM calcitriol, confirming that the vitamin D signalingpathway is active in all the three cell lines used in this study (Fig. S2).

Each of the four HBV promoters (i.e. HBVcore promoter, PreS1 promoter, PreS2/S promoter and HBx promoter) were cloned separately into the PGL3-basic construct, upstream of the luciferase reporter gene (Fig. 1A). The activity of each of the 4 HBV promoters was assessed using luciferase reporter assays. The addition of 10 nM calcitriol led to a significant reduction in the HBV-core promoter activity in all the three cell lines; while the other HBV promoters were not affected (Fig. 1B, 1C and 1D). It should be noted that the HepG2.2.15 cells contains two head-to-tail dimers of the HBV type 'D' genome (37). Therefore, the HBV transcripts and proteins constitutively expressed in HepG2.2.15 did not affect the calcitriol-mediated inhibition of the HBVcore promoter in reporter assays.

## The HBV-Core promoter contains a highly conserved cluster of putative VDREs

Eight consensus sequences representing each of the HBV genotypes (A to H) were

generated from the sequences (n=5757)available in the HBV database (https://hbvdb.lyon.inserm.fr/HBVdb/HBVd bIndex) (38). We screened for DR-3 type VDREs in the sense and anti-sense strand of HBV-core promoter in these consensus sequences, using the criterion described in the methods section. The results have been graphically represented as the number of putative VDREs in a sliding window of 25basepairs along the consensus sequence of the HBV-core promoter for each genotype. A peak corresponding to a cluster of 3 or more putative VDREs was identified within upstream regulatory region (URR) of the HBV-core promoter in all HBV genotypes, with the exception of genotype 'G' which had no VDREs (Fig. 2A). Only genotype 'B' had an additional putative VDRE on its antisense strand.

All experiments in this study were carried out with HBV genotype 'D' (HBV serotype *ayw*). It contains a total of 3 putative VDREs clustered in a window of 24 nucleotides (from 1724 to 1748; numerical coordinates on HBV genome as per GenBank Sequence V01460.1). The position and sequence of the VDREs in HBV genotype 'D' have been described in Table S1.

Analysis of all genotype 'D' sequences in the HBV database (n=1068) revealed that the presence of a VDRE-cluster in the core promoter was consistent, with over 95% of the sequences having at least 3 VDREs clustered together in the core promoter. In fact, >94% of all sequences (n=5757) of all HBV genotypes (A, B, C, D, E, F and H) had 3 or more VDREs clustered together in the core promoter, with the exception genotype 'G' in which majority of sequences did not contain any VDREs (Fig. 2B). Further analysis of the 24-nucleotide sequence carrying the VDRE-cluster showed that it was highly conserved at each position across HBV genotypes A-H, with the exception of genotype 'G' (Fig. 2C). This is consistent with our findings using the consensus sequence of genotypes A-H (Fig. 2A) and those with each sequence within genotypes A-H (Fig. 2B), where we observe VDREs are conserved across HBV genotypes other than genotype 'G'. Taken together, these findings show that VDREs are consistently observed in the HBV-core promoter and the region in which they cluster is highly conserved.

### Disrupting VDREs in the HBV-core promoter abrogates calcitriol-mediated suppression

We then wanted to test whether calcitriolmediated suppression of the HBV-core promoter occurred through the identified VDREs. To that end, we created mutations in the HBV-core promoter to disrupt VDREs, while ensuring motifs binding other transcription factors were unaltered. Detailed analysis revealed that the identified VDREcluster was in close proximity to numerous important Nuclear Receptor Response Elements (NRRE) and overlapped with two Sp1 binding sites (Fig. 3A) (29)(32)(39)(40)(41). Mutating Sp1 sites has been previously shown to almost completely inhibit transcription from the HBV-core promoter, hence this restricted the number of bases that could be altered to 2 (1739 and 1740; underlined bases in Fig. 3A) for the purpose of disrupting VDREs in the cluster (41) (please see methods section for details on the mutations disrupting VDREs).

The activity of mutated HBV-core promoter was compared to that of the wildtype HBVcore promoter in the presence or absence of calcitriol in HepG2, Huh7 and HepG2.2.15 cell lines using luciferase assays. As observed earlier, expression of the wildtype HBV-core promoter (Core wildtype) was significantly reduced in the presence of the ligand. However, there was no significant change in the activity of the mutated HBVcore promoter (Core Mutant) in the presence of calcitriol in the 3 hepatic cell lines (Fig. 3B, 3C and 3D). These findings showed that disrupting the identified VDREs abrogated the calcitriol-mediated suppression of the HBV-core promoter.

In silico analysis showed that the HBV genotype 'G' did not contain the identified VDREs (Fig. 2A). Hence we wanted to test the effect of calcitriol on the core promoter of this naturally occurring HBV variant lacking VDREs. The core promoter activity of HBV genotype 'G' remained unaffected in the presence of calcitriol in luciferase reporter assays in the three hepatic cell lines; thus further supporting our findings that calcitriol acts through the identified VDRE-cluster to regulate HBV-core promoter activity (Fig. S3A, S3B and S3C).

#### VDR binds to the identified HBV VDRE cluster to regulate HBV-core promoter activity

Having demonstrated that calcitriol acts through the identified HBV VDREs to suppress core promoter activity, we went on to perform gel shift assays to investigate whether VDR/RXR interacts directly with the HBV VDRE-cluster. A fragment of the Rat pit-1 promoter carrying an established VDRE known to bind VDR and RXR was used as a procedural positive control for the electrophoretic mobility shift assay (EMSA; Fig. S4) (42).

Fluorescently labelled (6-FAM), doublestranded probes carrying the VDRE-cluster of the HBV-core promoter (Fig. 4A) were incubated with in vitro translated (IVT) VDR and/or RXR to study their binding. We observed that VDR interacts with the HBV VDRE-cluster independent of RXR (lane 4, Fig. 4B). RXR alone could not interact with the HBV VDRE-cluster (lane 3, Fig. 4B). Furthermore, addition of unlabelled doubleoligonucleotides stranded caused a concentration dependent reduction in the complex formed, confirming that the observed band corresponds specifically to the VDR-probe interaction (lane 6 and lane 7, Fig. 4B).

We also performed ChIP to assess the interaction of VDR and RXR with the HBVcore promoter in HepG2 cells transfected with the greater-than-genome-length HBV construct in the presence or absence of calcitriol. The chromatin from these cells was extracted and genetic material bound to VDR or RXR was pulled-down using their respective antibodies, followed by its quantitation by qPCR (see methods section for details). We observed approximately a 3.5-fold increase in binding of VDR to the HBV-core promoter in the presence of calcitriol (Fig. 4C). However no significant enrichment of RXR was observed at the HBV-core promoter in the presence of the ligand, thereby further supporting our finding that VDR interacts the HBV-core promoter independent of RXR (Fig. 4D). The CYP24A1 promoter contains established VDREs known to bind VDR and RXR and a positive control hence served as (34)(35)(36). We observed an increased binding of both VDR and RXR at the CYP24A1 promoter in the presence of calcitriol demonstrating that the canonical vitamin D-signaling pathway is active in these cells. The HBV preS1 promoter did not have any putative VDREs and served as a negative control for this experiment. No significant enrichment of VDR and RXR was observed at the PreS1 promoter. Taken together, these results indicate that VDR binds to the HBV VDRE-cluster in a RXR independent manner to suppress HBV-core promoter activity. As observed in the HBVnon-canonical core promoter, RXRindependent binding of VDR has been previously shown to supress promoter activity (16)(17)(18)(19).

#### Calcitriol suppresses transcription and translation from the HBV-core promoter in the full-length HBV Genome

The HBV-core promoter gives rise to two transcripts, (i) pgRNA and the (ii) pcRNA. They have been observed to express coordinately as well as distinctly from each other. Therefore the HBV-core promoter has been considered to function as two independent promoters regulating two distinct transcripts (39). Hence, we assessed the calcitriol-mediated regulation of both pgRNA and pcRNA in HepG2, Huh7 and HepG2.2.15 cell lines, as well as in HepG2-NTCP cells infected with HBV. It should be noted that these cell lines represent three different HBV cell culture models, (i) greater-than-genome-length HBV transfection model (in HepG2 and Huh7 cells), (ii) stably integrated HBV-genome model (HepG2.2.15 cells) and (iii) HBV infection model, in which HepG2.2.15 derived HBV was used to infect HepG2-NTCP cells. The addition of calcitriol led to a significant reduction in pcRNA (Fig. 5A) and pgRNA (Fig. 5B) levels in all 3 models. We further quantitated the HBeAg secreted using ELISA and observed a similar reduction in this translated-product of pcRNA in the presence of calcitriol (Fig. 5C). We also observed a similar reduction in HBsAg secretion by ELISA in the presence of the ligand (Fig.5D). Taken together, these results further support that calcitriol negatively regulates HBV-core promoter activity, as observed by reduction of HBV pcRNA and pgRNA transcripts in three different HBVcell culture models. We also show that calcitriol inhibits the production of secretory HBeAg and HBsAg. It should be noted that both these secretory proteins have been linked to poor disease prognosis in HBV infection (8)(9)(10)(11)(12)(13).

## Calcitriol does not lead to a significant reduction in virion secretion

Clinical studies indicate vitamin D inhibits HBV activity (20)(21). Here we tested the effect of calcitriol on HBV virion secretion in vitro. We captured secreted virions from the supernatant of cells, (i) either transfected with greater-than-genome-length constructs of HBV (HepG2 and Huh7), (ii) having an integrated HBV genome (HepG2.2.15) or (iii) infected with HBV (HepG2-NTCP) in the presence of calcitriol, as described above. The DNA from the captured virions was isolated and quantitated by qPCR. We observed that virion secretion in each of the models was marginally reduced (by up to 12%) in the presence of calcitriol, but this reduction was not statistically significant in any of the models (Fig. 5E).

### DISCUSSION

The antiviral mechanisms of vitamin D are poorly understood and is generally attributed ability to regulate host to its immunomodulatory genes by vitamin D-VDR-pathways, activated thereby strengthening host immune response (27)(28)(43)(44). However in this study, we demonstrate that vitamin D signaling acts directly through a VDRE-cluster in the HBVcore promoter suppressing key HBV transcripts and HBV proteins. This study hence conceptually advances our current understanding of the antiviral role of vitamin D and highlights the ability of VDR to bind to VDREs in the virus genome to suppress virus activity.

We demonstrated that calcitriol negatively regulated HBV-core promoter activity in three hepatic cell lines (Fig. 1), while the other HBV promoters were unaffected. We then screened the HBV-core promoter for DR3-type VDREs in silico and identified a conserved cluster of three overlapping VDREs (1724-1748) in the URR of the HBVcore promoter. Studies have identified HBVcore promoter mutants having unique clinical pathogenesis. Some of these mutations, for instance that at 1741, overlap with the identified VDREs (45). Studying VDR-VDRE interactions in relevant core promoter mutants may help us better understand the basis of differences in the unique clinical outcomes associated with these variants.

The VDREs in the HBV-core promoter were highly conserved across HBV genotypes 'A-H', with the exception of genotype 'G' which had no VDREs (Fig. 2A). Our findings suggest that the absence of VDREs (occurring naturally in HBV genotype 'G' core promoter; Fig. S3) or the disruption of VDREs (by mutations introduced in HBV genotype 'D'; Fig. 3) in the HBV-core promoter render it non-responsive to vitamin D-signaling. These results confirm that vitamin D regulates the HBV-core promoter through the identified VDRE-cluster in the HBV genome and also validates our in silico method for identifying VDREs. The ability of vitamin D to inhibit viral genes directly through VDREs in the virus genome has not been reported previously.

A complex network of host nuclear factors regulate HBV-core promoter activity. Some of these factors are ubiquitous, such as Sp1 and Tata Binding Protein (TBP), while others such as hepatocyte nuclear factor-3 (HNF-3) and HNF-4 are specifically enriched in the liver (45)(46). In this study we identify VDR, another ubiquitous member of this superfamily of NRs which binds and regulates the HBV-core promoter. Conventionally, VDR heterodimerizes with RXR to bind DR3-type VDREs to activate gene expression. In contrast, we demonstrate that ligand activated VDR binds to the VDRE-cluster in the HBV-core promoter independent of RXR (Fig. 4B, 4C and 4D). This non-canonical **RXR-independent** binding of VDR to VDREs has previously been reported to suppress gene expression (16)(17)(18)(19). In keeping with these findings, we also observe that the RXR-independent binding of VDR to the HBV-core promoter leads to its inhibition.

The addition of calcitriol led to a significant decrease in transcription of pgRNA and pcRNA (Fig. 5A and 5B). Furthermore, calcitriol significantly inhibited HBeAg and HBsAg secretion in the 1.3X HBV genome transfection model, the integrated HBVgenome model and the in vitro HBV infection model (Fig. 5C and 5D); this is keeping with previous studies that have linked core promoter activity to HBeAg and HBsAg levels (41)(47)(48)(49). Clinical studies have linked higher levels of HBV secretory proteins with increased risk of liver fibrosis, progression to HCC and poorer clinical outcomes (8)(9)(10)(11)(12)(13). Numerous studies have identified cellular mechanisms by which these HBV secretory proteins can development lead to the of HCC (50)(51)(52)(53)(54). In addition, reduction in HBsAg levels and loss of HBeAg have been used as therapeutic end points (55)(56). The pathogenic role of HBeAg and HBsAg highlights the clinical significance of vitamin D-mediated suppression of these HBV proteins and merits further investigation on supplementation of this micronutrient along with anti-HBV therapies to improve prognosis in CHB.

In this study we observe a marginal reduction in virion secretion in the presence of calcitriol, however this reduction was not statistically significant (Fig. 5E). HBV pgRNA serves as a vital replicative intermediate in the HBV lifecycle, hence its levels are directly linked to HBV replication, thereby virion secretion. In contrast, HBeAg has been shown to inhibit HBV replicative activity in cell culture models (57)(58). The reduced HBeAg levels in the presence of vitamin D may augment to HBV replication, enhancing virion production and secretion;

thus masking the impact of decreased HBV pgRNA levels on virion secretion. Furthermore, numerous host genes have been shown to regulate HBV transcription and translation. Many host genes are involved in important stages of the HBV lifecycle, such as viral particle assembly and secretion (59). Vitamin D and its target receptors have been previously shown to extensively alter the cellular transcriptome and regulate numerous cellular processes (60). Vitamin D may affect crucial host genes involved in the HBV lifecycle. For instance, cdc2-like kinase (CDK2) is involved in core protein phosphorylation, a process which is essential for virion assembly (61). Studies have shown that calcitriol suppresses CDK2 activity, and therefore can potentially inhibit HBV assembly (62)(63). This may at least in part explain the lack of significant inhibition of virion secretion in vitro.

The liver plays a pivotal role in metabolism in the body, hence hepatocytes have the ability to quickly respond to the availability of nutrients and micronutrients by altering its gene expression profile through various transcription factors. Shlomai et al. proposed HBV to be a model of a 'metabolovirus'; they suggest that HBV couples its transcriptional and replicative activity to nutritional cues using these transcription factors. For instance, metabolic genes such as PEPCK and G6Pase activated during gluconeogenesis, can also enhance HBV replication (64). FXR $\alpha$  is another NR which can regulate transcriptional activity of the HBV-core promoter, and its expression is enhanced during starvation (31). We propose micronutrients should also be added to this model for their ability to regulate HBV activity. Studies have implicated the potential role of vitamin A (through RXR $\alpha$ ) (30)(65) and vitamin E in regulating HBV replication (66)(67). In this study we show vitamin D is an important micronutrient which can regulate HBV activity. Taken together these studies highlight the importance of nutrition in managing hepatitis B.

In summary, we identified functional VDREs within the HBV-core promoter which can directly bind VDR non-canonically in a **RXR-independent** manner leading to suppression of HBV activity in (a) reporter assays, (b) 1.3x HBV constructs in liver cells, (c) a hepatic cell line with stably integrated HBV genome and (d) in an in vitro HBV infection model. Vitamin D signaling through VDREs in the HBV-core promoter leads to a reduction in HBV transcripts (HBV pgRNA and HBV pcRNA) and HBV proteins (HBeAg and HBsAg) (Fig. 6). The identification of VDR as a novel host factor regulating HBV-core promoter activity, advances our current understanding of HBVhost interactions. Of note, the ability of vitamin D to reduce HBeAg and HBsAg levels suggests a potential role for this micronutrient as a supplement along with anti-viral therapies for HBV. Most of our understanding of the antiviral role for vitamin D is limited to its ability to modulate host genes, primarily immune response genes. In contrast, this work sheds light on how vitamin D signaling may directly impact virus activity through VDREs present in virus genomes. Our results lay the groundwork for further studies on mapping of VDREs in virus genomes and understanding their biological role.

### **EXPERIMENTAL PROCEDURES**

## Cell Culture, Transfection and Calcitriol Treatment

HepG2, Huh7 and HepG2.2.15 cells were grown in DMEM (Gibco) supplemented with 10% FBS (Gibco), 2mM L-glutamine (Himedia Laboratories Pvt Ltd) and Penicillin-Streptomycin solution (100 U/ml each; Gibco) at 37°C and 5% CO<sub>2</sub>. Transfection was done using Lipofectamine 2000 (Invitrogen) as per the manufacturer's protocol. We added 10 nM of calcitriol (Sigma) dissolved in 95% ethanol or vehicle-control (95% ethanol) to the medium immediately after transfection.

HepG2-hNTCP-C4 cells constitutively express the sodium taurocholate cotransporting polypeptide (NTCP) membrane transporter required for HBV internalization, thus making these cells susceptible to HBV infection. These cells were grown in DMEM/F-12 + GlutaMax (Gibco) supplemented with 10mM HEPES (Gibco), penicillin, 200 units/mL 200µg/mL streptomycin, 10% FBS (Gibco), 50 µM hydrocortisone (Sigma), 5 µg/ml insulin (Gibco) and 400 µg/mL G418 (Himedia Laboratories Pvt Ltd, TC025), as described previously (68).

### HBV Preparation and Infection

HBV derived from HepG2.2.15 cells (Genotype 'D') was used to infect HepG2-NTCP cells as described previously (69). Briefly, media from HepG2.2.15 cells grown in T-175 flask was harvested every 3 days, centrifugation cleared by and then precipitated with PEG8000 (Promega, V3011) and 2.3% NaCl. The precipitate containing HBV was washed and resuspended in media at 200-fold concentration, followed by quantitation of the HBV DNA by real-time PCR (see Table S2 for details on primers).

HepG2-hNTCP-C4 cells were infected in 6well plates at 1 x  $10^6$  genome equivalents (GEq)/cell in infection media (complete media used for culturing HepG2-hNTCP-C4 cells described above, supplemented with 4% PEG8000 and 2% DMSO) for 24 hours (70). Following the incubation, the cells were washed thrice and grown in culture media in the presence of 10 nM calcitriol or vehiclecontrol.

#### Real-Time PCR Assays

RNA was extracted 24 hours after addition of calcitriol using RNeasy Mini Kit (Qiagen) as per manufacturer's protocol. DNsae-I treated (New England Biolabs) RNA (1 µg) was used for cDNA synthesis using iScript cDNA synthesis kit (Bio-rad). Faststart essential DNA green master (Roche) was used for realtime PCR, with appropriate primers (see Table S2). A standard curve was prepared to determine the absolute quantity of GAPDH. pcRNA and HBV-core promoter transcripts. The amount of pgRNA was calculated by subtractive analysis (pgRNA = Core promoter transcripts - pcRNA). pgRNA and pcRNA levels were normalized to that of GAPDH(71)(72)(73).

### Luciferase Assay

Cells seeded in 24-well plates were transfected with 0.49  $\mu$ g of luciferase reporter construct (PGL3 vector carrying promoter of interest) and 0.01 $\mu$ g of pRL-TK (internal control) per well. Vehicle or 10 nM calcitriol was added immediately after transfection. The cells were processed and luminescence was measured 24 hours after ligand treatment using the dual luciferase reporter assay system (Promega) according to manufacturer's protocol.

## In silico VDRE Identification and Conservation Analysis

Whole-genome consensus sequences for each HBV genotype ['A' (n=874), 'B' (n=1754), 'C' (n=1438), 'D' (n=1068), 'E' (n=302), 'F' (n=257), 'G' (n=39) and 'H' (n=25)] were generated using sequences available in the HBV Database (38). This was done using MAFFT offline program with G-INS-i strategy and an in-house Python code (74)(75). The consensus core promoter of each genotype was screened for VDREs using another Python code developed in our lab (Available on GitHub at: https://github.com/divyachoudhary2809/VD RE ). The code was designed to screen for DR-3 type VDREs with the consensus

sequence RGDKYR (R = G or A, D = A,G or T, K = G or T, Y = C or T) tolerating up to 1 mismatch from this sequence, with the exception of G at the second position. This criterion was selected based on previous reports (76)(77) and our analysis of functionally verified VDREs. For conservation analysis, alignments for the VDRE containing region in each genotype was generated using MAFFT, and further analyzed using a python code (74)(75).

### Plasmid Constructs and Cloning

The greater-than-genome-length (1.3X) of the HBV genotype 'D' cloned into pSLIRES-11 construct was a gift from Dr. Syed Naqui Kazim (Jamia Millia Islamia, New Delhi, India). pSG5-hVDR and pSG5-hRXR used for in vitro translation in the gel shift assays were kind gifts from Dr. Peter Jurutka (Arizona State University, USA) and Dr. Christopher Sinal (Dalhousie University, Canada) (78)(79).

The regions corresponding to the (i) HBV-Core promoter (ii) PreS1 promoter (iii) PreS2/S promoter and (iv) HBx promoter were amplified separately by PCR from the 1.3X HBV genome construct (genotype 'D') using appropriate primer pairs given in Table S2 (80). The promoter amplicons were digested and inserted between KpnI and SacI (New England Biolabs) sites upstream of the luciferase reporter gene in the pGL3-basic construct. The consensus HBV genotype 'G' core promoter sequence (Fig. S5A) was purchased as a double-stranded synthetic fragment (GeneArt strings; ThermoFisher) and inserted between KpnI and XhoI (New England Biolabs) sites in the PGL3-basic construct for luciferase reporter assays.

Modification of the HBV-core promoter in the PGL3-basic construct was done as described previously (81). Overlapping primers carrying the desired modifications so as to disrupt the VDRE-cluster are provided in Table S2. A combination of a point mutation (G to A at 1740) and a deletion (T at 1739) introduced in the wildtype PGL3-Core promoter disrupted the original sequences of all three VDREs in this cluster, while ensuring the overlapping Sp1 binding sites were intact (Fig. 3A).

#### Gel-Shift Assay

Hybridized double-stranded oligonucleotides corresponding to the VDREs in (a) Rat Pit-1 gene promoter (a human gene known to contain VDREs and regulated by vitamin D; positive control) and (b) HBV-core VDREcluster were procured from Integrated DNA Technologies (IDT). The oligonucleotides used were 5' 6-FAM labelled or unlabeled (competitor oligonucleotide). The sequence of the probes used are: Rat Pit-1 VDRE -AAAACAGAAGTTCATGAGAGTTCATG GGGATT and HBV-Core VDRE-cluster -TAAAGACTGGGAGGAGTTGGGGGGAG GAGATT. pSG5-hRXR construct, pSG5hVDR construct and the pSG5 vector were used to prepare hRXR Alpha, hVDR and cell extract (negative control) by in vitro translation using the TnT Quick Coupled Transcription/Translation System (Promega) as per the manufacturer's protocol. The in vitro translated protein was incubated at 26°C for 10 minutes in a binding buffer [10 mM HEPES (pH 7.9), 150 mM KCl, 1 mM dithiothreitol, 0.2 µg/µl of poly(dI-C), 5% glycerol] in a total volume of 20µl. This was followed by addition of the desired 6-FAM probe to the IVT product to a final concentration of 5nM and further incubation at 24<sup>0</sup>C for 30 minutes. The probe-protein complex was resolved on a non-denaturing 8% (w/v) polyacrylamide gel in 0.5X TBE (Himedia Laboratories Pvt Ltd.). Cold unlabelled competitor oligonucleotides were added at the same time as the 6-FAM probe, when required as indicated.

### Chromatin Immunoprecipitation (ChIP)

HepG2 cells were grown in T75 flasks to a confluency of about 90% and transfected

with 1.3X HBV genome construct. Vehicle or 10nM calcitriol was added immediately after transfection. Cells were processed after 24 hours using the EZ-ChIP Chromatin Immunoprecipitation Kit (Millipore) as per the manufacturer's protocol. Briefly, cells treated with 1% formaldehyde were washed, pelleted and lysed to release chromatin. The cell lysate was sonicated using Bioruptor Plus Sonication System (Diagenode) for 4 X 10 cycles, for 30s ON/30s OFF at "high" setting to obtain DNA ranging from 200bp -1000bp. The sheared chromatin was incubated with anti-VDR antibody (Abcam, ab3508), anti-RXRa antibody (Cell Signalling Technology, D6H10) or Rabbit IgG (Thermo Fisher Scientific, 02-6102). Protein G Agarose beads were added to each sample the next day for 1 hour at 4°C to bind the antibodychromatin complex, which was later eluted in the presence of 1% SDS and 0.1M NaHCO<sub>3</sub>. Finally, the DNA-protein cross-linking was reversed in presence of 200nM NaCl at 65°C for 4 hours and the DNA was subsequently purified using spin columns provided in the kit. Real-time PCRs were performed with primers listed in Table S2 to quantitate the immunprecipitated target DNA.

### Virion Estimation

HBV virion estimation was done using a technique previously developed in our lab, with a few modifications (82). HepG2, Huh7 (transfected with 1.3X HBV genome construct), HepG2.2.15 and HepG2-NTCP (infected with HBV) cells were grown in 6 well-plates in the presence of 10nM calcitriol or vehicle. The supernatant from each sample was collected 72 hours after transfection and added to four wells of HBsAg ELISA plates

(MONOLISA, Bio-rad). 150µl of supernatant was added to each well of the HBsAg ELISA plate, along with DNaseI (New England Biolabs) and incubated for 2 hours at 37°C for immuno-capture of the viral particles. Each well was washed 5 times with 1XPBS (Himedia Laboratories Pvt Ltd.) and further treated with 25µl proteinase K per well. The captured virions were lysed and the virion-associated DNA was isolated using QIAamp DNA Mini Kit (Qiagen) as per the manufacturer's suggested protocol. Virionassociated DNA was quantitated by RT-PCR using the primers given in Table S2.

## Estimation of Secretory HBsAg and HBeAg

HepG2 and Huh7 cell lines were transfected with 1.3X HBV genome construct, while HepG2.2.15 contains stably integrated HBV. HepG2-NPCP cells were infected with HBV as described above. Vehicle or 10nM calcitriol was added immediately after transfection or infection, and the supernatant was collected after 48 hours. The supernatant was appropriately diluted in 1XPBS to ensure absorbance values are in the linear range. Secreted HBsAg was quantitated using HBsAg ELISA plates (MONOLISA, Biorad), while HBeAg was quantitated on HBeAg ELISA plates (Diasorin) as per the manufacturer's instructions (83).

### Statistical Analysis

All data was generated with atleast 3 independent experiments (n=3). The data was analyzed using using the Student's *t*-test and *P* values < 0.05 were considered significant.

## DATA AVAILABILITY

The python code used for detection of VDREs is freely available for download at GitHub: https://github.com/divyachoudhary2809/VDRE\_. All data are available in the main text or the

supplementary materials. All constructs prepared in this manuscript are available on request to the corresponding author.

#### SUPPORTING INFORMATION

This article contains supporting information.

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#### **CONFLICT OF INTEREST**

The authors declare that they have no conflicts of interest with the contents of this article.

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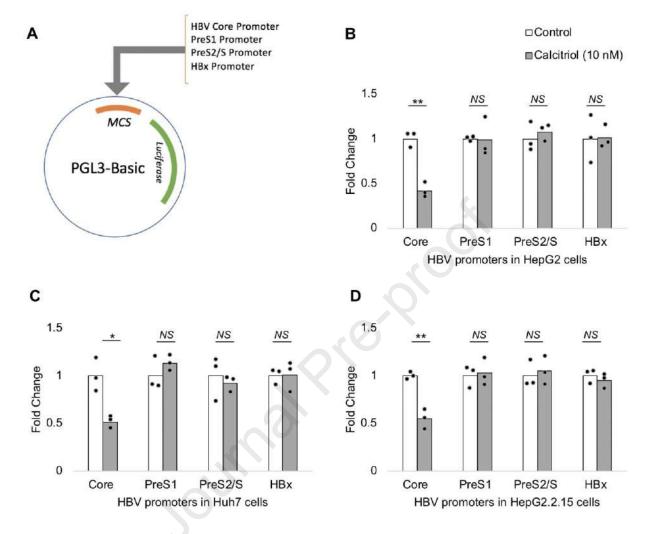
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#### **ABBREVIATIONS**

Hepatitis B Virus (HBV), Vitamin D Receptor (VDR), Retinoid X Receptor (RXR), Vitamin D Response Elements (VDREs),  $1\alpha$ ,25-Dihydroxyvitamin D3/calcitriol ( $1\alpha$ ,25(OH)<sub>2</sub>D<sub>3</sub>), Chronic HBV (CHB), Hepatocellular Carcinoma (HCC), precore RNA (pcRNA), pregenomic RNA (pgRNA), Hepatitis B Surface Antigen (HBsAg), Hepatitis B e-Antigen (HBeAg), Nuclear Receptor (NR), TSS (Transcription Start Site), Direct Repeats (DRs), Upstream Regulatory Region (URR), Nuclear Receptor Response Elements (NRRE), Electrophoretic Mobility Shift Assay (EMSA), Tata Binding Protein (TBP), Hepatocyte Nuclear Factor-3 (HNF-3), Hepatocyte Nuclear Factor-4 (HNF-4), Endoplasmic Reticulum (ER)



#### **FIGURES AND FIGURE LEGENDS**

Fig. 1. Vitamin D selectively suppresses the HBV-core promoter in luciferase assays. (A) Each of the four HBV promoters (HBV-Core, PreS1, PreS2/S and HBx promoter) were cloned upstream of the luciferase reporter gene in the PGL3-basic construct. The activity of each of the promoters was tested in the presence of vehicle-control (without calcitriol) or 10nM calcitriol in hepatic cell lines, (B) HepG2, (C) Huh7 and (D) HepG2.2.15 by luciferase assay, 24 hours after transfection. HBV-core promoter activity was significantly supressed, while the activity of the remaining HBV promoters was unaffected in the presence of the ligand. All data are means  $\pm$ S.D. for three independent experiments (n=3). \**P*<0.05, \*\**P*<0.01, *NS*: not significant were analysed by paired Student's *t*-test.

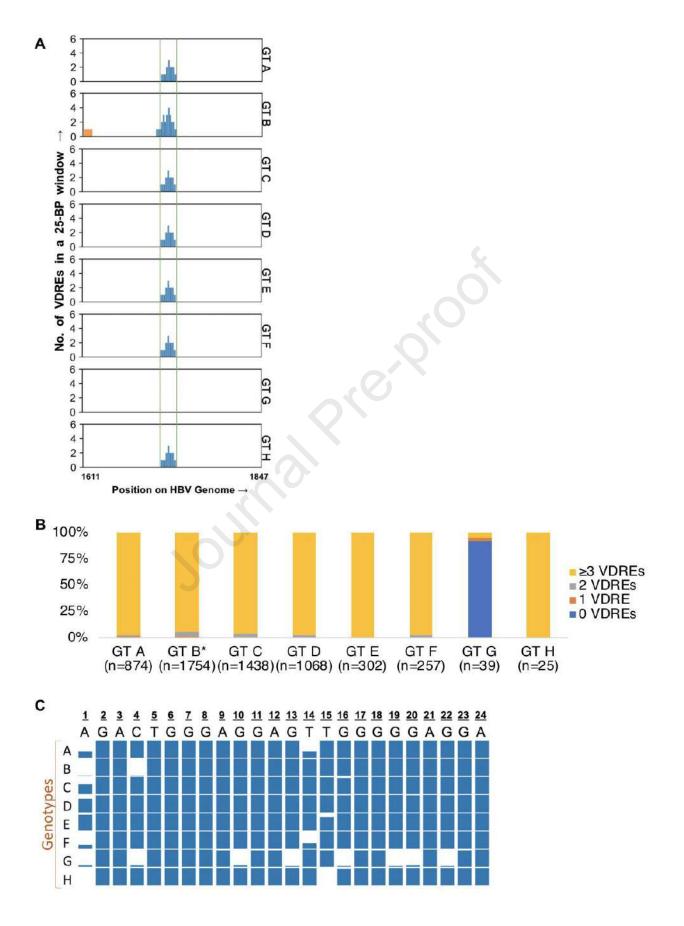
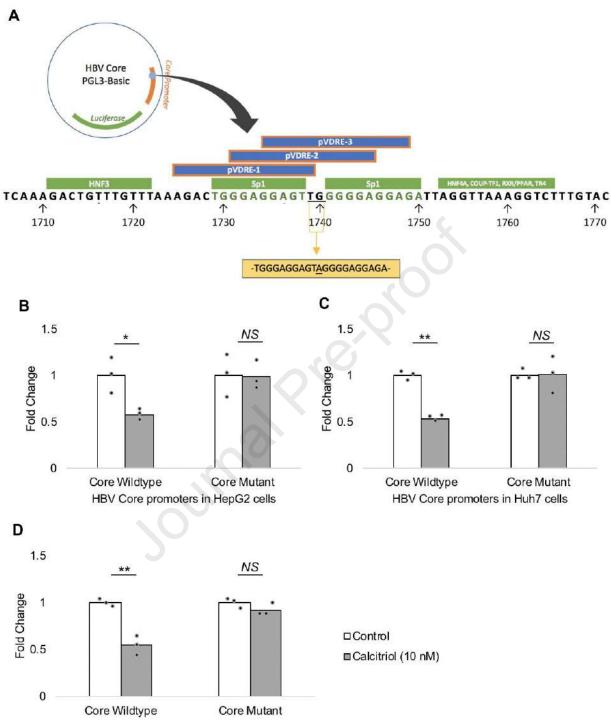


Fig. 2. Identification of a VDRE-cluster in the HBV-core promoter that is conserved across most HBV genotypes. (A) In silico analysis identified a cluster of overlapping VDREs on the sense strand (blue bars) in the HBV-core promoter (1724-1748 in genotype 'D', highlighted with green box) in seven HBV genotypes (GT A, GT B, GT C, GT D, GT E, GT F and GT H; nucleotide numbering may vary subtly across HBV genotypes). HBV genotype 'G' was the exception with no VDREs in this region. HBV genotype 'B' additionally has one VDRE on the antisense strand (orange bar) (B) Graphical representation of conservation of the HBV VDRE-cluster within genotypes. The HBV-core promoter sequence of genotypes A-H in the HBV database (n=5757) were analyzed for the presence of VDREs. More than 94% of all sequences of seven HBV genotypes (GT A, GT B, GT C, GT D, GT E, GT F and GT H) had 3 or more VDREs. Most of the sequences from HBV genotype 'G' had no VDREs. (C) Majority of the positions of the 24-nucleotide region corresponding to the HBV VDRE-cluster is highly conserved across HBV genotypes. All sequences from genotypes A-H in the HBV database (n=5757) were analyzed for conservation using the HBV VDRE-cluster of HBV genotype 'D' (Sequence on top). The height of each bar corresponds to the percentage of sequences with a conserved nucleotide at a given position when using the VDRE-cluster from HBV genotype 'D' as the reference sequence. This region was highly conserved across most HBV genotypes, with the exception of genotype 'G'. \* Majority (> 85%) of the sequences in genotype 'B' had an additional VDRE cluster (i.e. 4 VDREs in the cluster instead of 3).



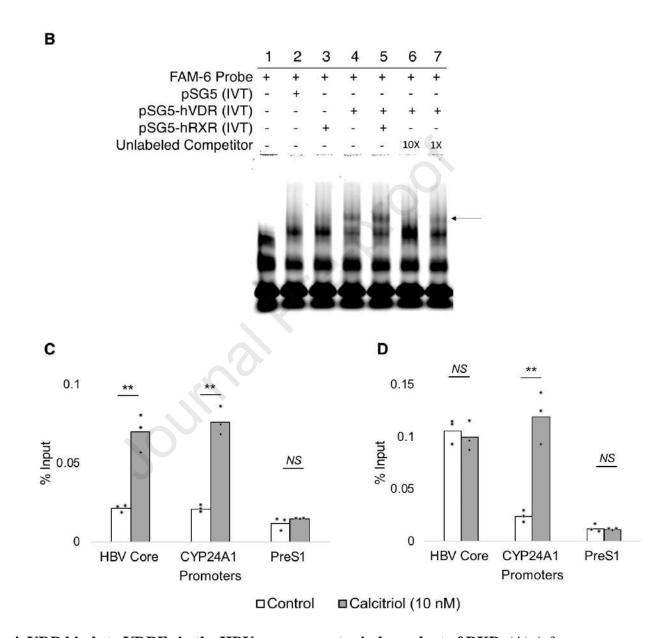
HBV core promoters in HepG2.2.15 cells

Fig. 3. Mutations disrupting the HBV VDRE-cluster abrogates calcitriol-mediated suppression of the HBV-core promoter. (A) A region of the HBV-core promoter on which various NRREs have been mapped (green boxes), along with the identified overlapping cluster of putative VDREs (pVDREs, blue boxes bordered with orange). The high degree of overlap of the VDREs with other NRREs permits the alteration of only two base pairs (underlined). Mutations at these 2 positions (the yellow box shows the mutated

core promoter sequence), performed as described in the methods section, disrupt the sequence of the 3 VDREs in the cluster. Luciferase assays were performed to test the response of this mutated HBV-core promoter (Core Mutant; with VDREs disrupted) as compared to the wildtype core promoter (Core Wildtype) in the presence of 10 nM calcitriol or vehicle-control (without calcitriol) in (**B**) HepG2, (**C**) Huh7 and (**D**) HepG2.2.15 cells. Mutations disrupting the VDRE-cluster abrogate the response of HBV-core promoter to vitamin D-signaling. All data are means  $\pm$ S.D. for three independent experiments (n=3). \**P*<0.05, \*\**P*<0.01, *NS*: not significant were analysed by paired Student's *t*-test.

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### 5'-6-FAM-taaAGACTGGGAGGAGTTGGGGGGAGGAgatt -3'

**Fig. 4. VDR binds to VDREs in the HBV-core promoter independent of RXR.** (A) A fragment of the HBV-core promoter carrying the identified VDRE-cluster (Capitalized) labelled with the 6-FAM fluorophore at the 5' end of the probe. (B) EMSA was performed using in vitro translated (IVT) VDR and RXR as detailed in the methods section. Briefly, the 6-FAM-labelled probe was incubated with VDR and/or RXR and unlabeled competitor oligonucleotides when required and resolved on a native 8% polyacrylamide gel. VDR interacts with the VDREs in the HBV-core promoter in the absence of RXR, as observed by the presence of the indicated band (see arrow) in lane 4. The addition of unlabeled

competitor oligonucleotides reduced the complex formed in a concentration dependent manner, demonstrating the specificity of the binding reaction (see lanes 6 and 7). Representative gel image from 1 of 3 separate experiments is shown (**C**) ChIP-qPCR using anti-VDR antibody confirmed the increased binding of VDR at the HBV-core promoter in HepG2 cells transfected with 1.3X HBV-genome construct in the presence of 10 nM calcitriol for 24 hours. (**D**) Whereas, no enrichment of RXR was observed at the core promoter when ChIP-qPCR was performed with anti-RXR antibody in the presence of the ligand in similar conditions. The CYP24A1 promoter having established VDREs was used as a positive control, while the HBV PreS1 promoter having no putative VDREs was used as a negative control. All data are means  $\pm$ S.D. for three independent experiments (n=3). \*P<0.05, \*\*P<0.01, NS: not significant were analysed by paired Student's t-test.

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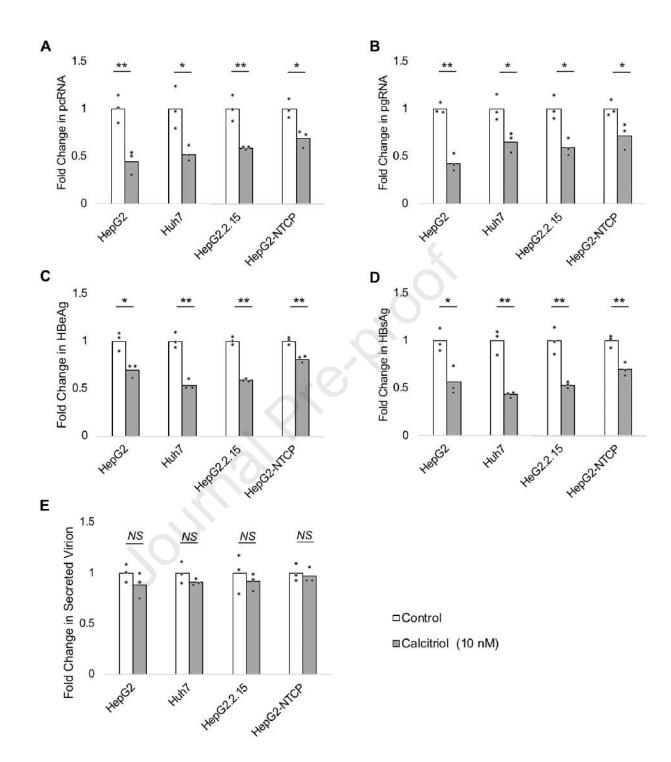
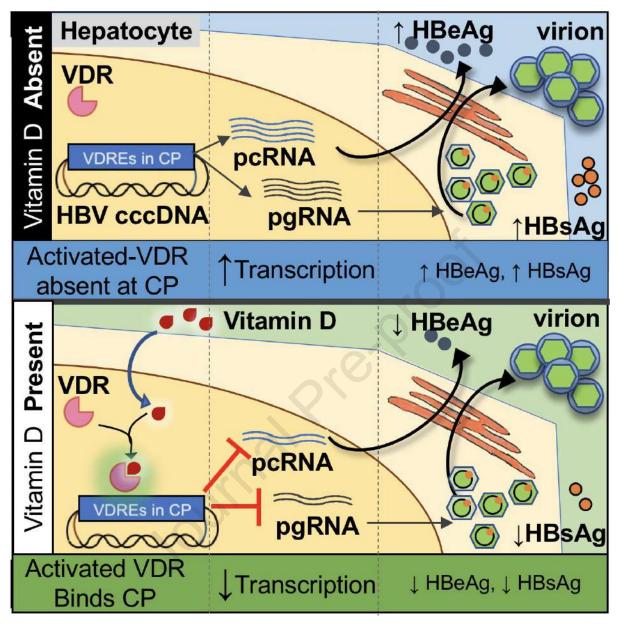


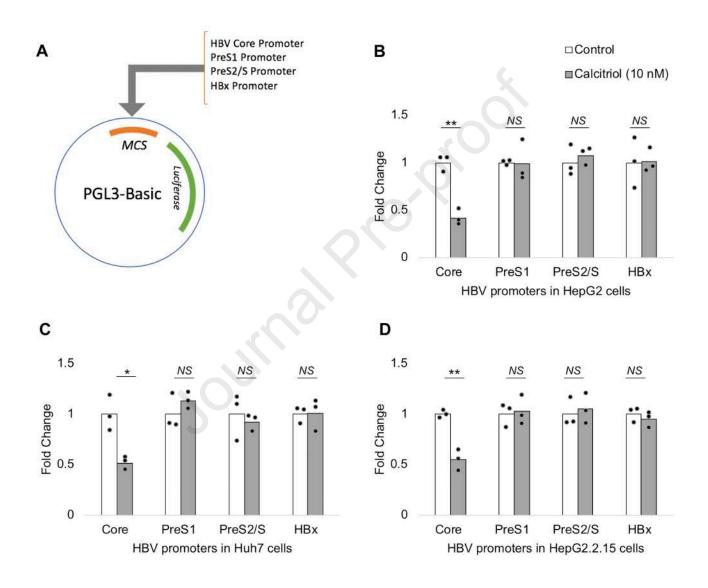
Fig. 5. Calcitriol inhibits HBV-core promoter transcripts, HBV secretory proteins but not HBV virion secretion. The 1.3X-HBV Genome construct was transfected in HepG2 and Huh7 cells, while HepG2.2.15 stably expresses HBV genome. In the HBV infection model, HBV particles derived from HepG2.2.15 cells were used to infect HepG2-NTCP cells as described in the methods section. Vehicle-control (without calcitriol) or 10 nM calcitriol was added immediately after transfection or infection, and samples were processed as described in the methods section. RNA isolation and quantitation by qPCR shows (A) HBV

pcRNA and (**B**) HBV pgRNA are supressed in the presence of calcitriol. Quantitation of HBV secretory proteins by ELISA demonstrates calcitriol negatively regulates (**C**) HBeAg and (**D**) HBsAg. (**E**) Finally, HBV virion secreted in supernatant was captured 72 hours after ligand treatment and its DNA quantitated by qPCR. The virion secretion in the presence of calcitriol was marginally reduced in all HBV cell culture models (upto 12%) in vitro, though the observation was not statistically significant in any model. All data are means  $\pm$ S.D. for three independent experiments (n=3). \**P*<0.05, \*\**P*<0.01, *NS*: not significant were analysed by paired Student's *t*-test.

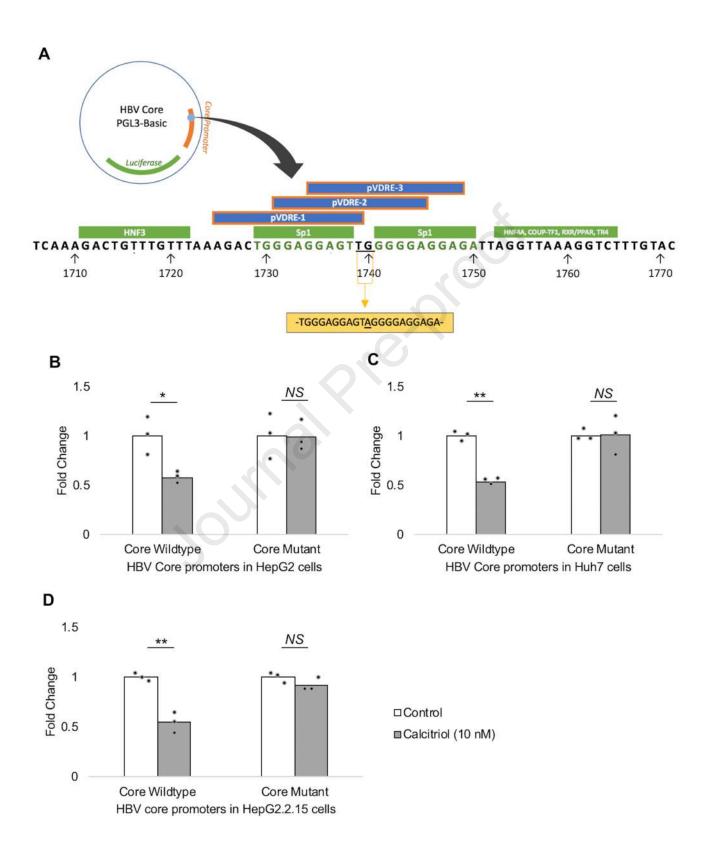
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**Fig. 6** – **Calcitriol inhibits HBV activity directly by the vitamin D signaling pathway.** The HBV-core promoter (CP) transcribes the pgRNA and pcRNA. The pgRNA serves as the genetic template for the virus and is packaged into virus particles before secretion. The pcRNA is translated to the secretory HBeAg and then then secreted via the ER (Upper panel, see left to right). Calcitriol (metabolically activated vitamin D) binds and activates its nuclear receptor, VDR (see lower panel). We identified a VDRE-cluster in the HBV-core promoter. Calcitriol-activated VDR interacts with the identified VDREs in the HBV-core promoter independent of RXR, suppressing its activity. This inhibits transcription of pgRNA and pcRNA. HBeAg and HBsAg secretion is also inhibited in the presence of the ligand. Numerous studies have highlighted the pathogenic function of HBeAg and HBsAg, hence the vitamin D-mediated inhibition of these secretory proteins may be of clinical relevance. We did not observe significant inhibition in HBV virion secretion in the presence of vitamin D.







## 5'- 6-FAM-taaAGACTGGGAGGAGTTGGGGGGAGGAgatt -3'

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в 1 2 3 4 5 6 7 FAM-6 Probe + + + + + + pSG5 (IVT) pSG5-hVDR (IVT) -+ pSG5-hRXR (IVT) + **Unlabeled** Competitor 10X 1X С D NS 0.1 0.15 : 0.1 10.05 % % Input NS NS 0.05 ٠ 0 0 HBV Core CYP24A1 PreS1 HBV Core CYP24A1 PreS1 Promoters Promoters □Control ■Calcitriol (10 nM)

